



# Device-to-Device (D2D) Communications in LTE-A Networks

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# Outline

1. Introduction to D2D
  - What is it?
  - Why use it?
  - How is it different from other technologies?
2. D2D communications classification & possible usage cases
3. D2D technical requirements
  - Link establishment
  - Basic research issues and possible solutions
4. Enhancements to an LTE-A network to enable D2D and future considerations

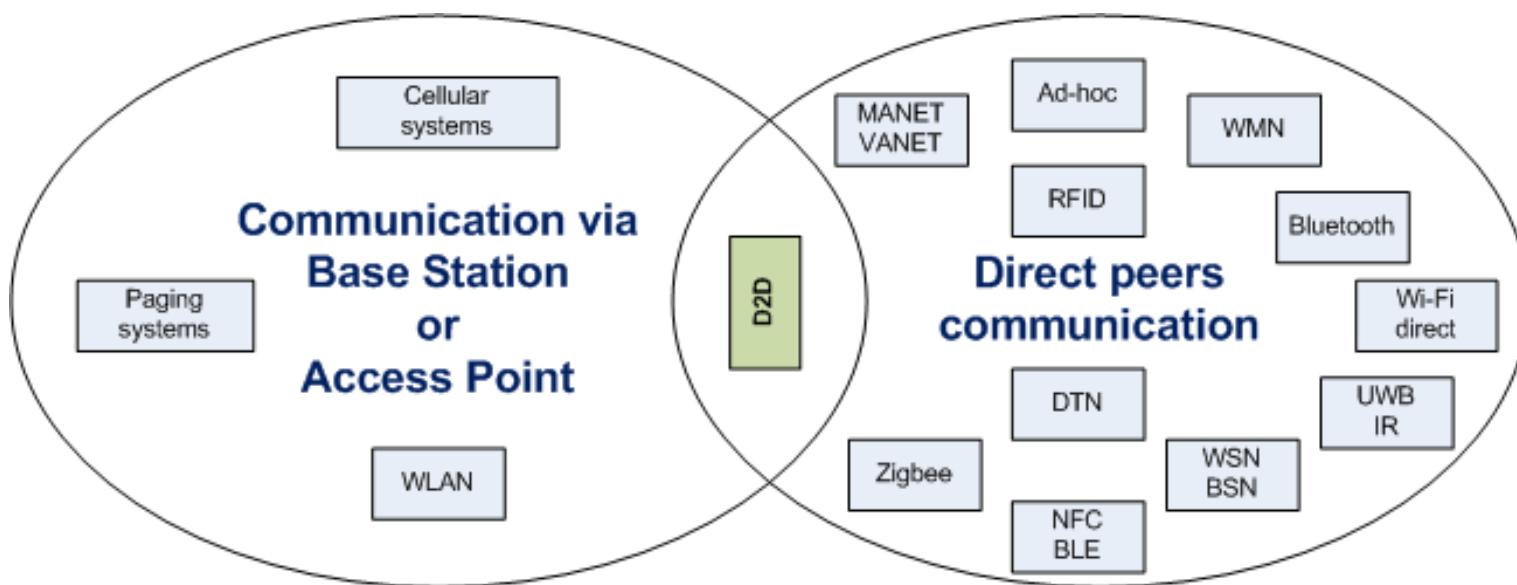


# Introduction to D2D



# Wireless technologies

Categorization based on network assistance –  
**Where D2D lies:**



**1<sup>st</sup> perspective → Control**  
**2<sup>nd</sup> perspective → Data**



# Direct communication (1/2)

- **Mobile/Vehicular Ad-hoc NETwork (MANET/VANET):** self-configuring, independent movement, frequent changes
- **Bluetooth:** short distances, unlicensed ISM band
- **Bluetooth Low Energy (BLE):** lower power & range, faster
- **Wi-Fi (Wireless Fidelity) Direct:** Without access point, Automated setup
- **Near Field Communication (NFC):** Few centimeters range, 2-way communication

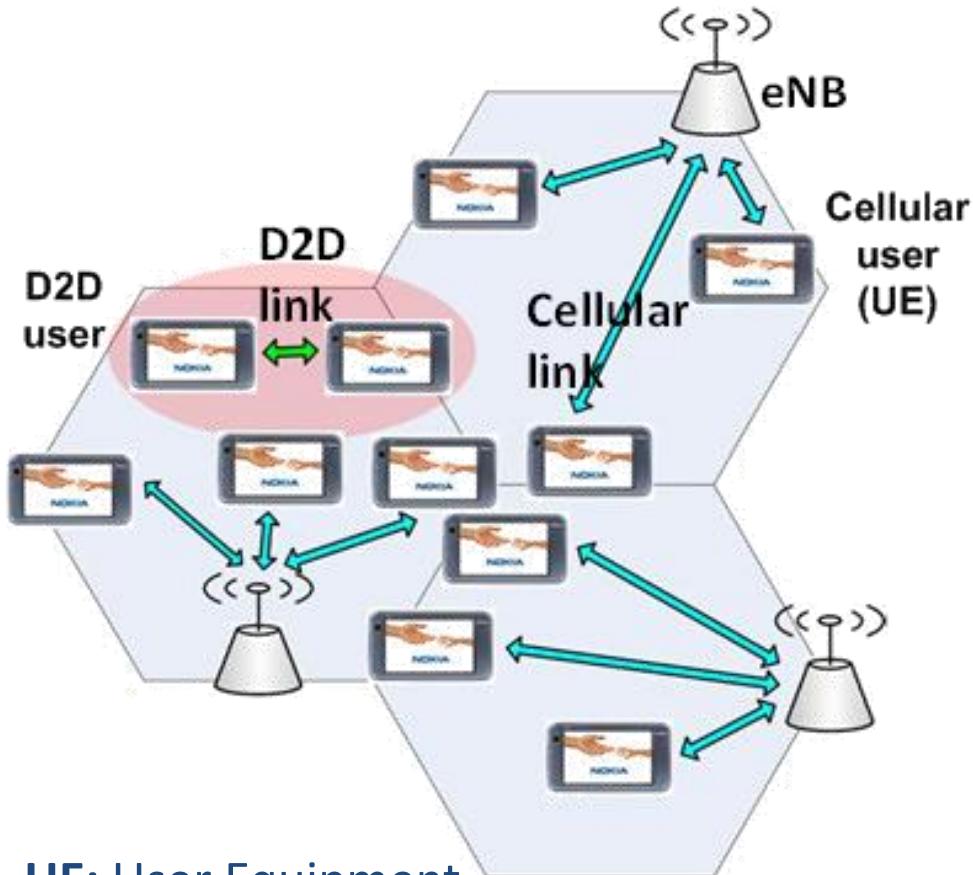




# Direct communication (2/2)

- **Zigbee:** small nodes, long battery life, ad-hoc, short range, low rate (traffic management systems, wireless light switches)
- **Wireless Sensor Network (WSN):** spatially distributed sensors, cooperate for monitoring purposes
- **Wireless Mesh Network (WMN):** more planned ad-hoc network
- **Delay/Disruption Tolerant Network (DTN):** no end-to-end path, opportunistic networks, store-carry-forward approach
- **Radio-Frequency IDentification (RFID):** Identify tags attached to objects, one-direction
- **Ultra-wideband (UWB):** very low energy level for short range, high bandwidth
- **Infrared (IR):** short range, line of sight, bidirectional

# D2D definition



UE: User Equipment  
eNB: evolved NodeB

## Define D2D as:

- Wireless & mobile **direct** pair communication without infrastructure
- **Network-assisted** D2D links
- Utilising **licensed** spectrum
- H2H or M2M
- Take advantage of the **physical proximity** of the communicating devices

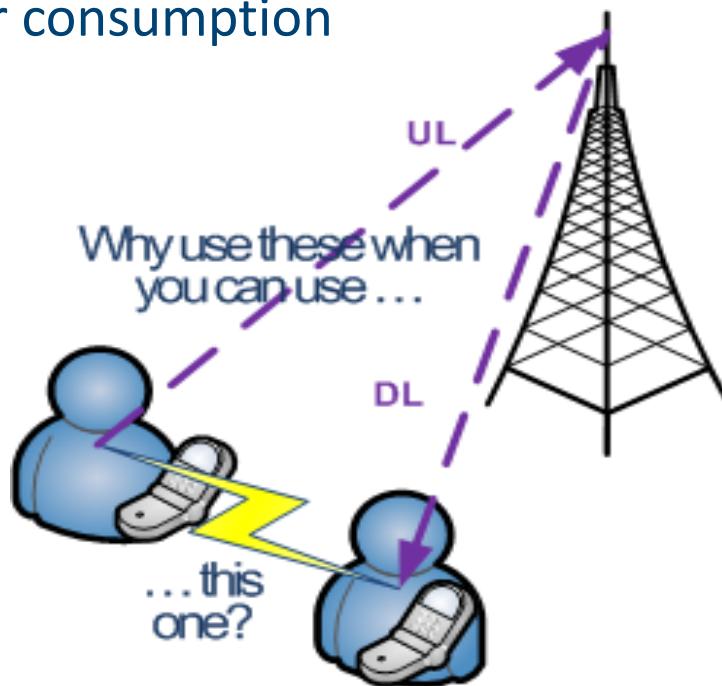
# Incentives for D2D (1/5)

- **Proximity gain:**

- Higher bit rates (throughput)
- Lower delays (latency)
- Lower power consumption

- **Hop gain:**

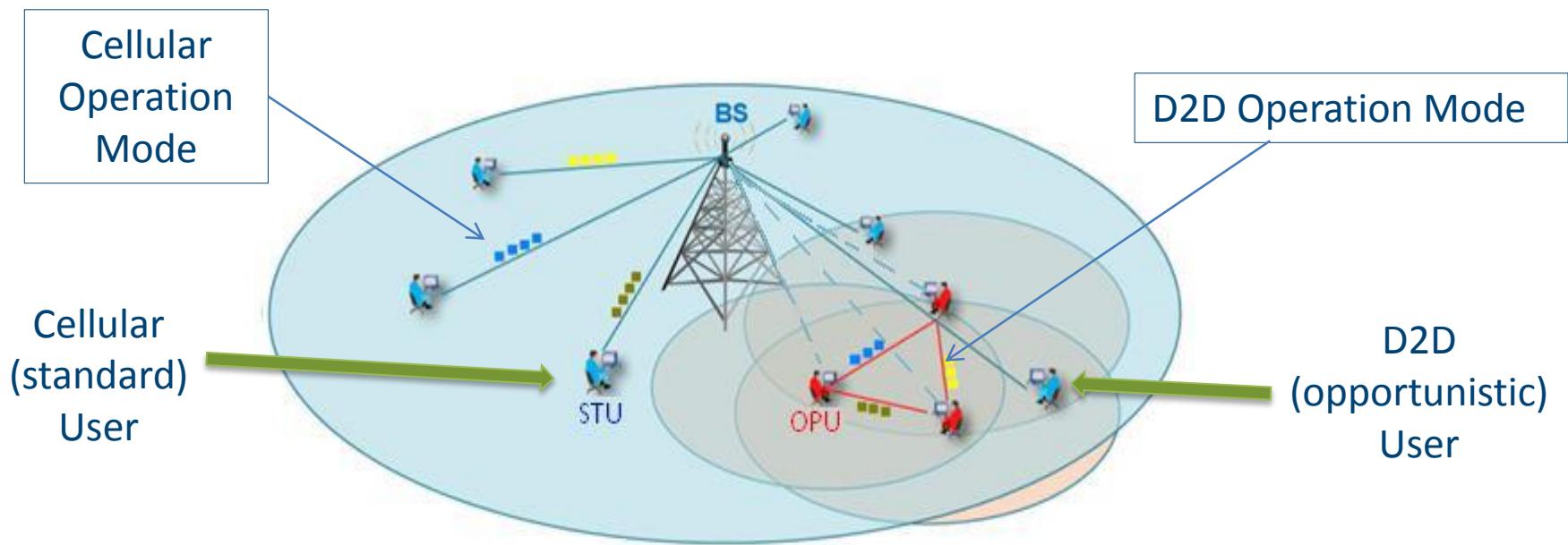
- single link (not different resource for UL/DL)!



# Incentives for D2D (2/5)

- **Reuse gain:**

- Radio resources utilization: spatial spectral reuse
- Tighten system reuse factor
- Spectral efficiency increase



# Incentives for D2D (3/5)

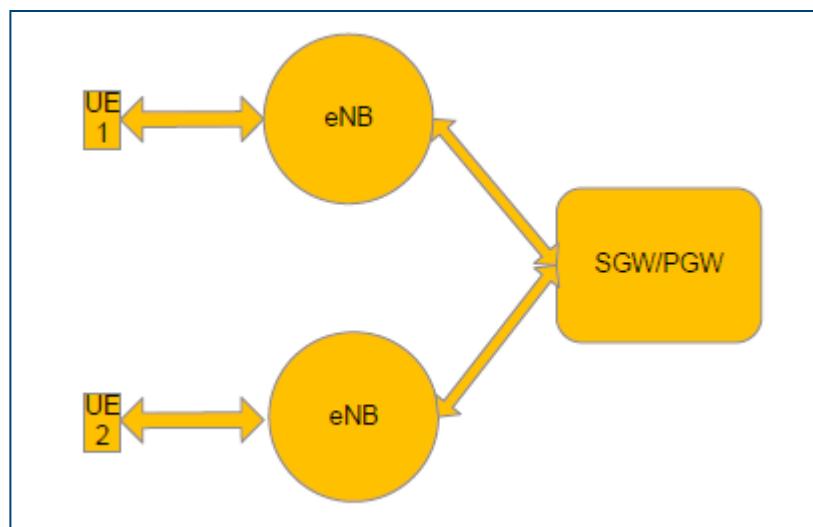
- **Increased coverage:**
  - UE relaying
  - Handle poor cellular coverage conditions
  - Appealing for emergency relief



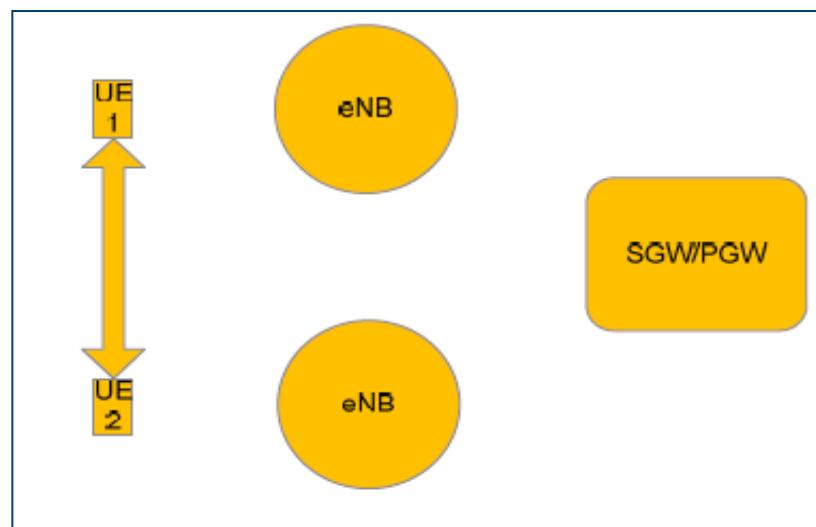
# Incentives for D2D (4/5)

- eNB offloading
- Core network decongestion

Conventional - Without D2D



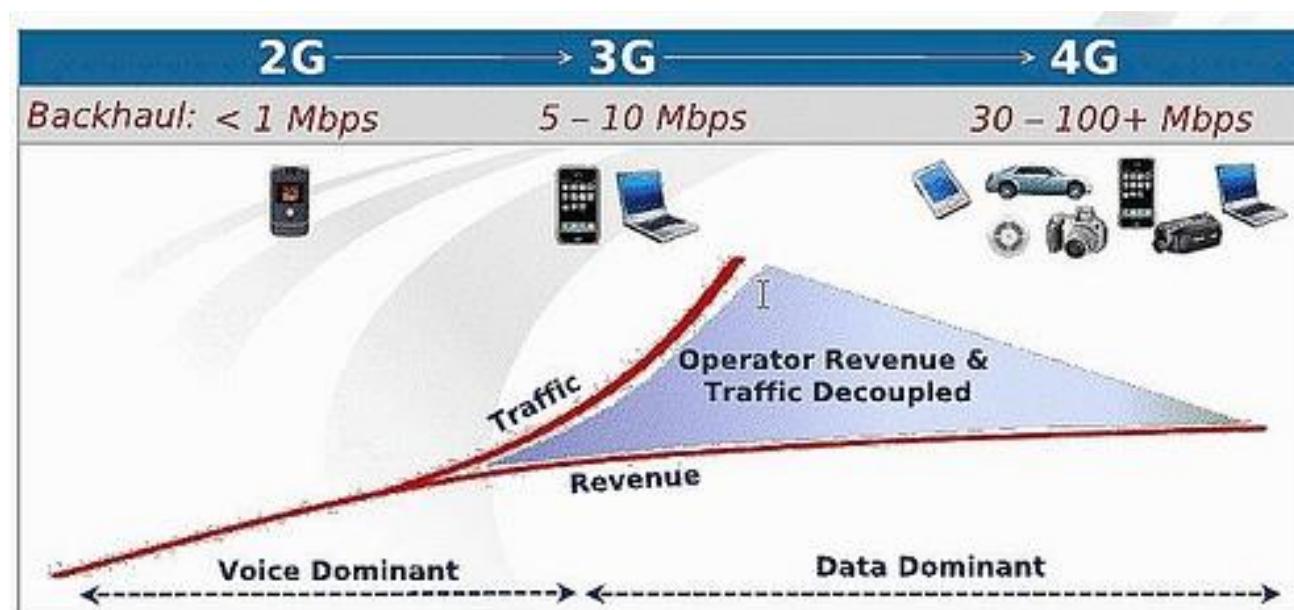
With D2D



# Incentives for D2D (5/5)

- **Operator profits:**

- Towards covering the widening gap between network traffic and service revenue

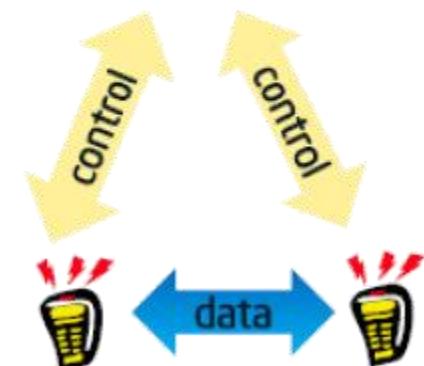


- **New types of P2P services:**

- Enables communication between consumer devices and cell phones

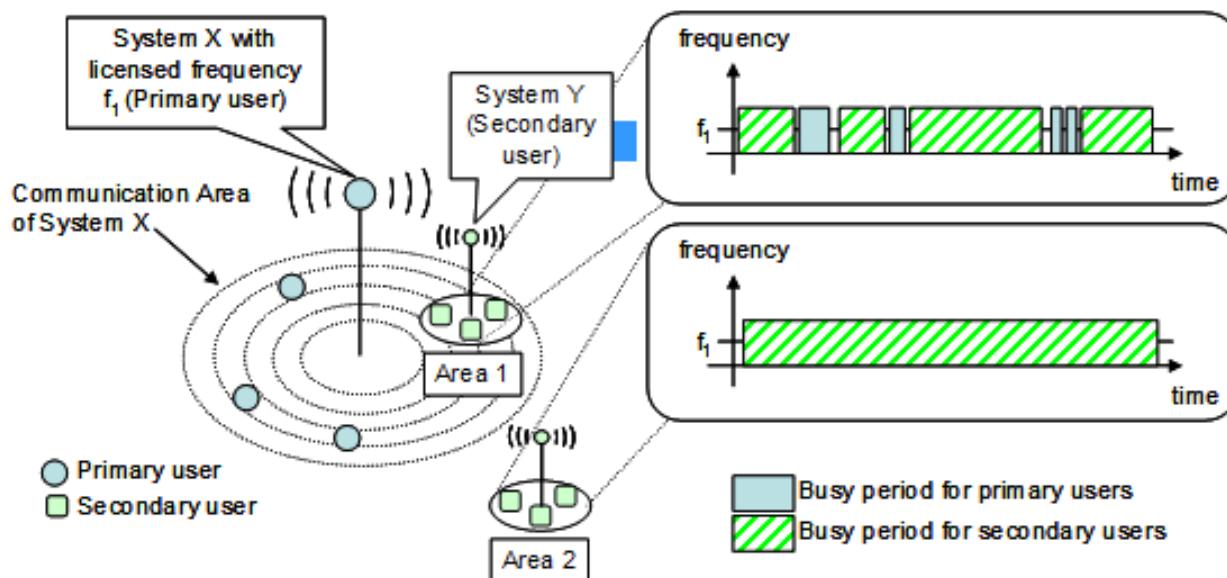
# D2D vs. current technologies

- The operator **controls** the communication process to provide better user QoE
- D2D can provide **QoS guarantee**
- D2D is **transparent** to the user  
⇒ hidden complexity, no manual detection-pairing
- Provides safe **identity** in communications
- Increased **security**
- Covers larger distances ⇒ **mobility** freedom (Bluetooth range is ≈ 10m, while a device in D2D mode has a potential range of hundreds of meters away – Remember that a D2D device may be in the cell edge but it can and should reach the eNB in cell center)
- Avoids uncontrollable **interference** of unlicensed band
- Not subject to a device's technical **limitations**
- Provides **profits** for the operators



# D2D vs. Cognitive Radio (1/2)

- Cognitive Radio:** “A fully reconfigurable wireless transceiver which automatically adapts its communication parameters to network & user demands”



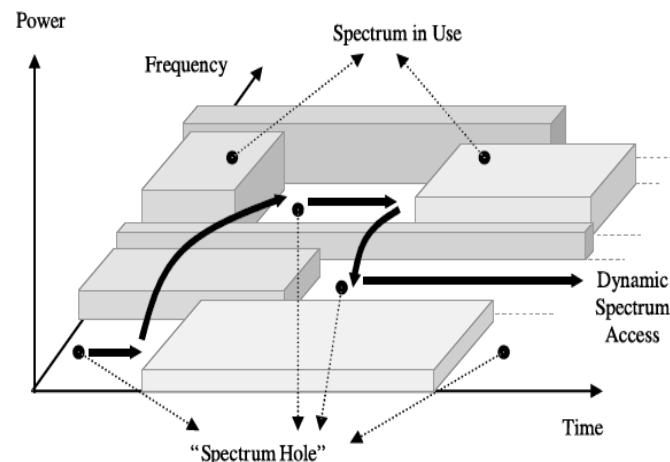
Frequency utilization of primary and secondary users in Cognitive Radio Environment



# D2D vs. Cognitive Radio (2/2)

## Spectrum Sensing Cognitive radio

- Spectrum **sensing** techniques (UE's responsibility)
- Spectrum **management**: Analysis & decision for best available spectrum  
⇒ Sophisticated algorithms & HW
- **Power control**
- Regular **exchange** of information between co-operative nodes
- Not fast enough for heavily **loaded** LTE network with fast scheduling variations
- **Optimizes** the use of available spectrum while minimizing interference





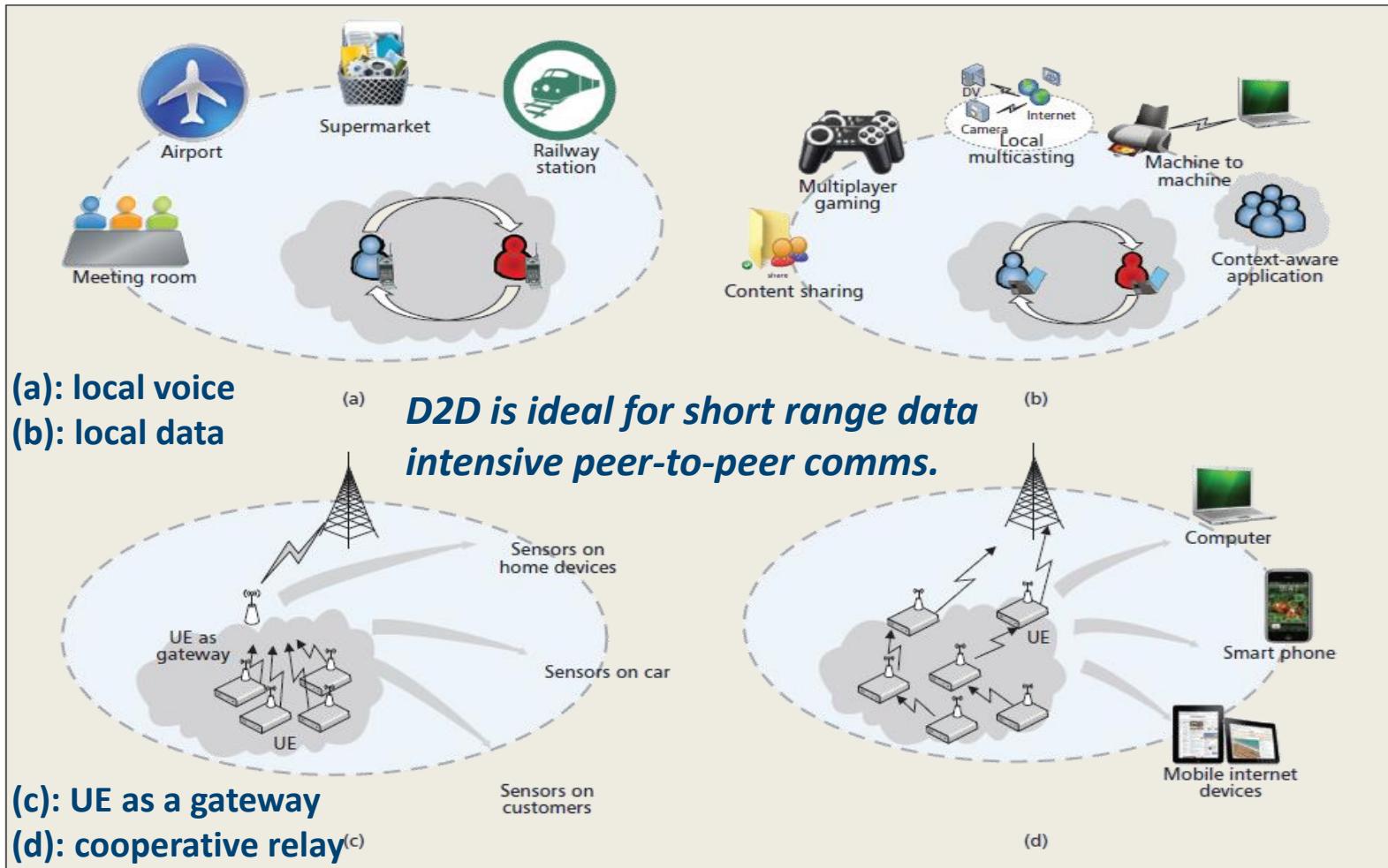
# D2D communications classification & possible usage cases



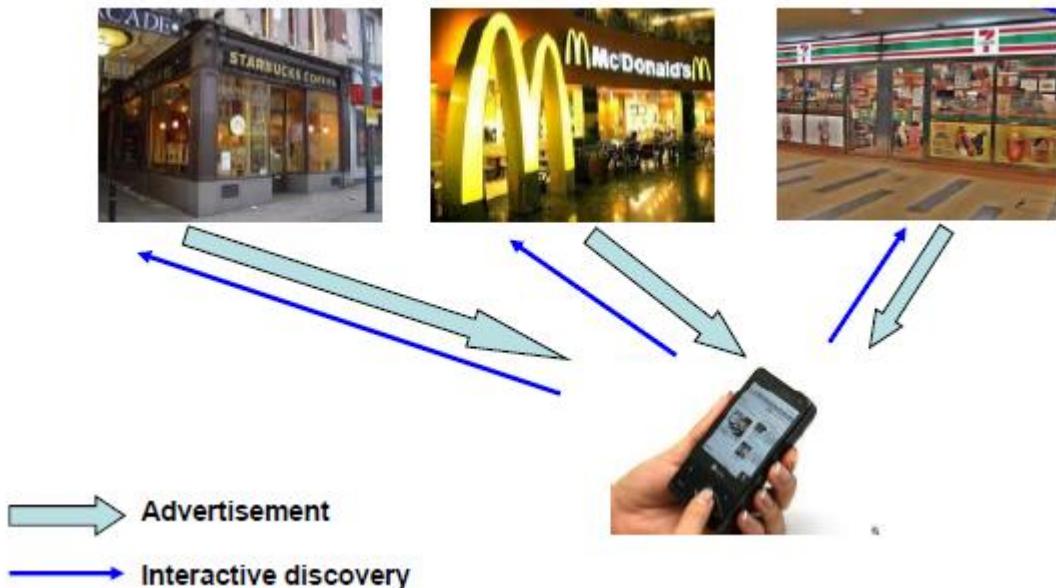
# Classification

- **Level of operator control:** Fully / Loosely controlled D2D mode (Access authentication, Connection setup, maintenance & control, Resource allocation)
- **Spectrum categories:** Unlicensed / FDD licensed / TDD licensed / Guard band between FDD and TDD
- **Resource allocation:** Distributed / Centralized
- **Peer discovery:** Distributed / Centralized
- **Paging and connection establishment:** Fully / Loosely controlled, Licensed / Unlicensed
- **Usage cases:** Peer-to-Peer / Relay

# Usage cases & applications



# D2D paradigms (1/3)



## Offloading cellular network

- D2D connections:** Visitors download promotional material from a media server (using a URL)
- Cellular network:** Phone calls & internet





# D2D paradigms (2/3)

## Location-aware social networking

- Locate your friends in the vicinity
- Find new connections that share common interests
- Buy and Sell Local Services and Goods

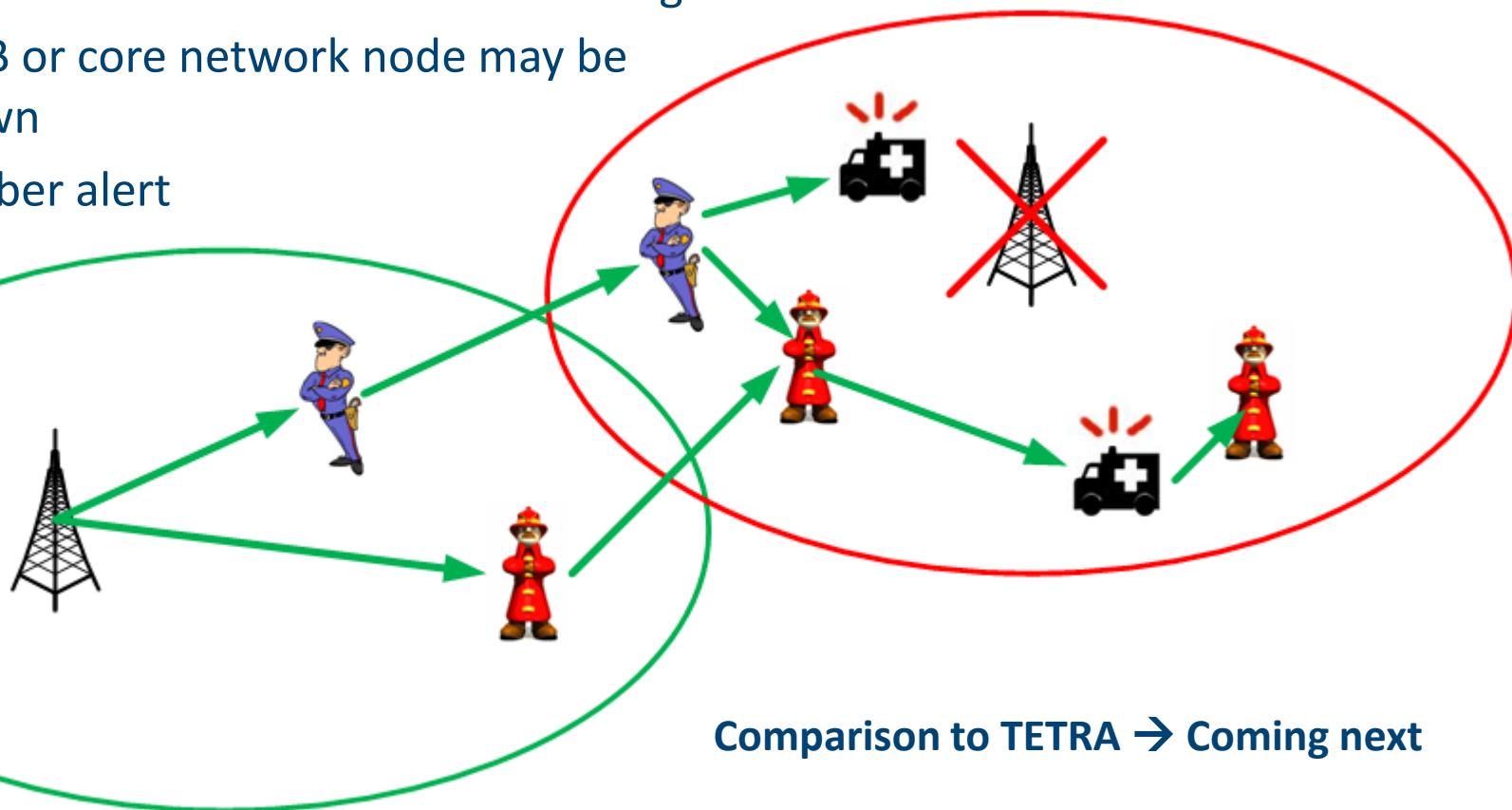


<http://www.shape.ag>

# D2D paradigms (3/3)

## Public safety & Emergency relief

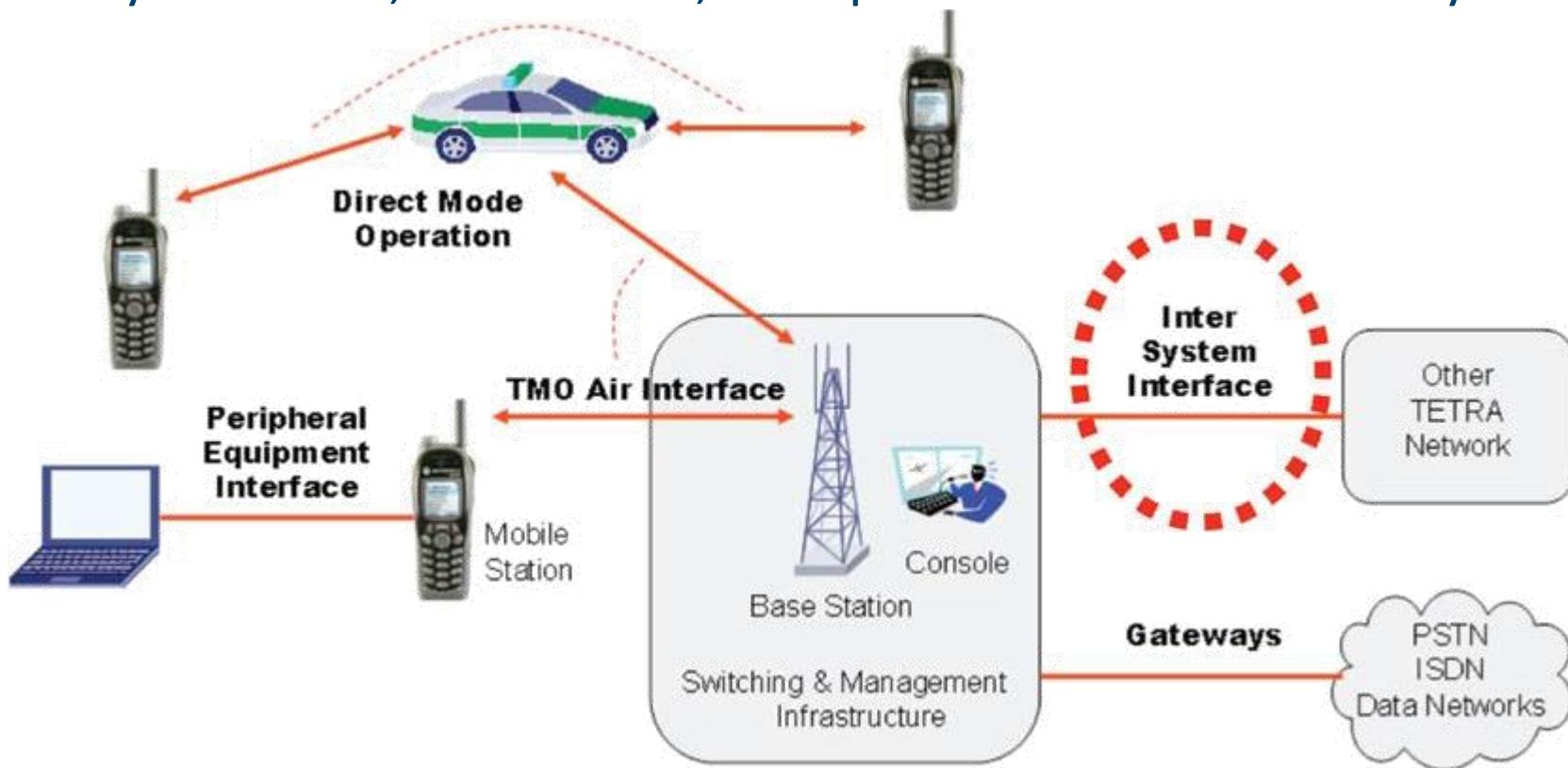
- Under no or limited network coverage
- eNB or core network node may be down
- Amber alert





# Terrestrial Trunked Radio

Use by government agencies, emergency services, for public safety networks, train radios, transport services and military





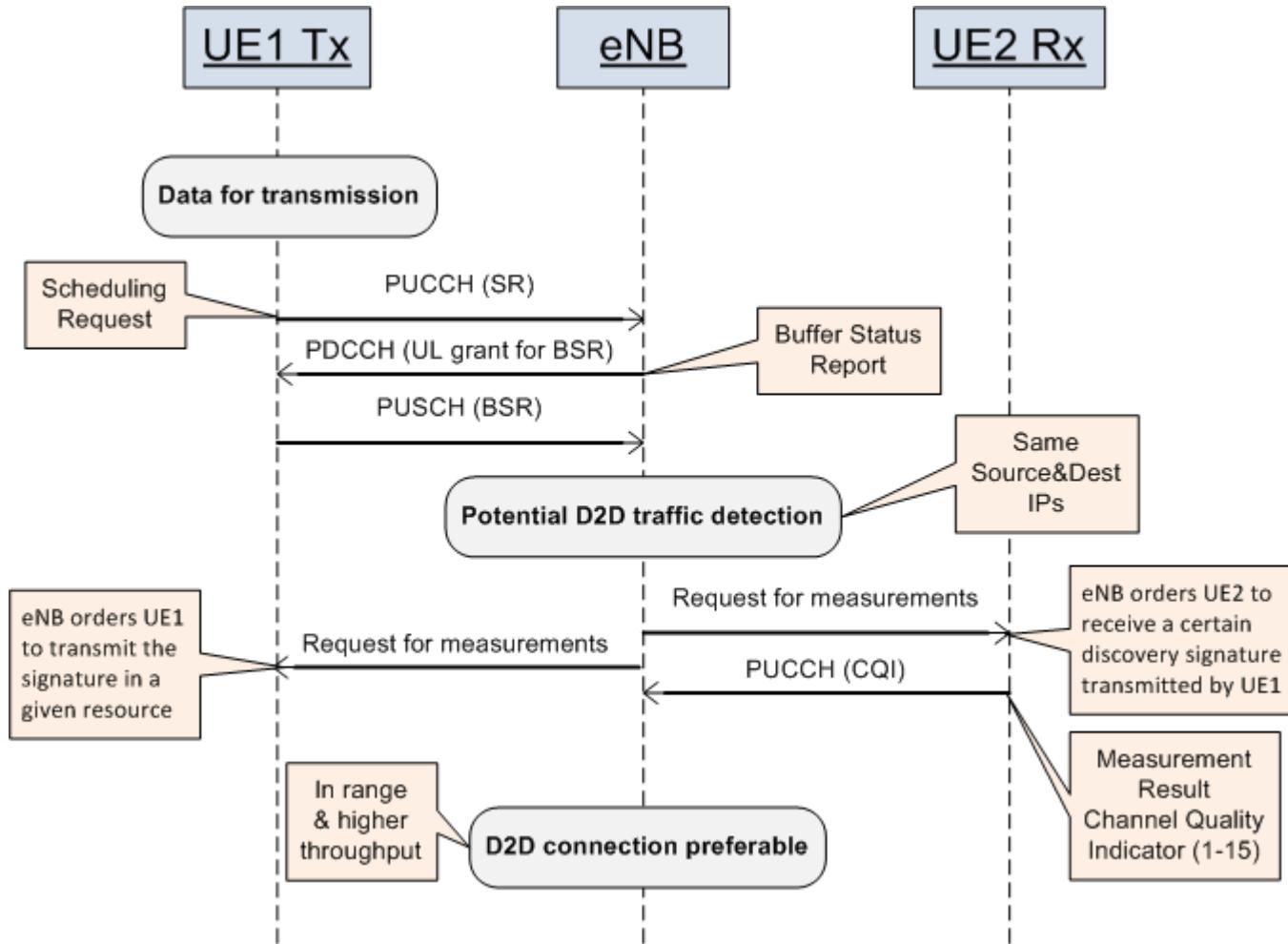
# D2D technical requirements



# An underlay to cellular comms

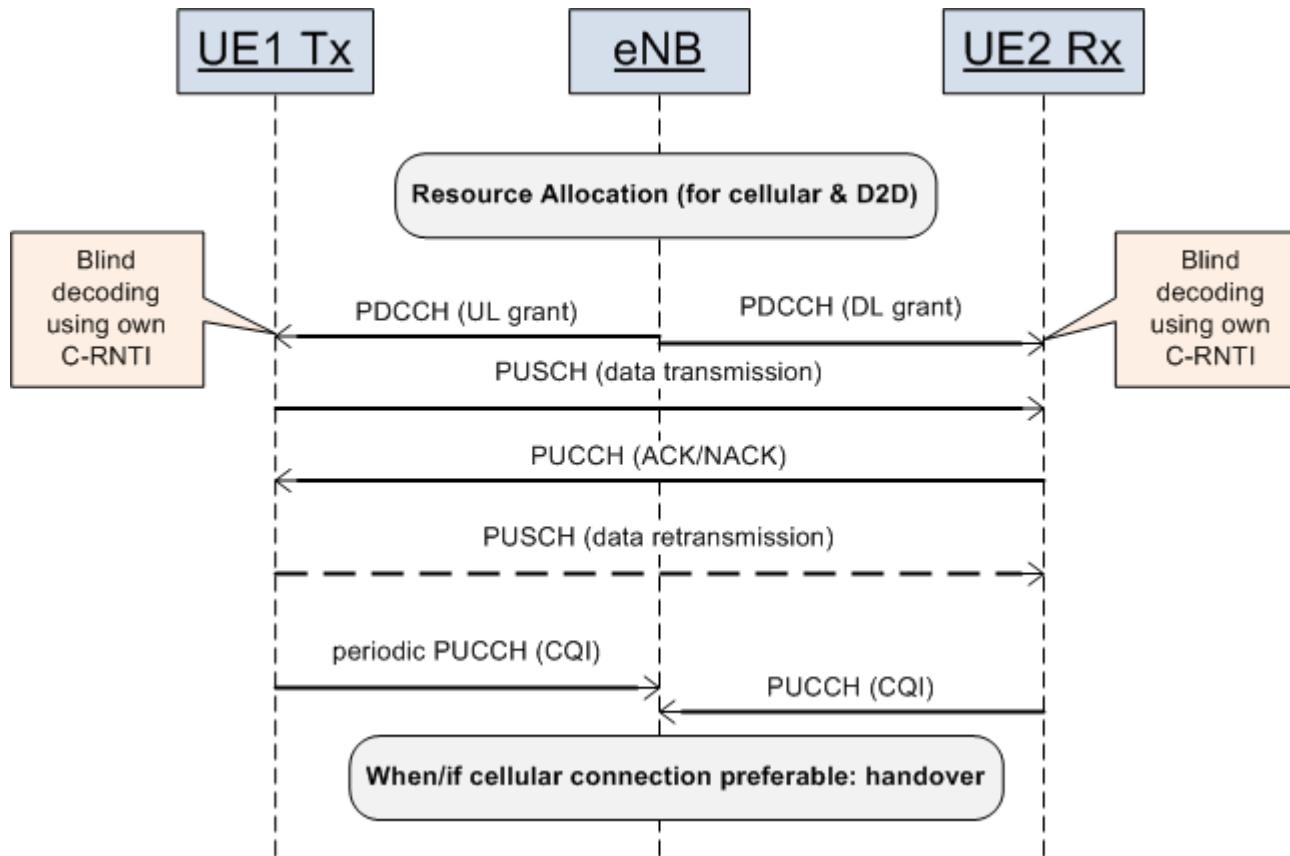
- **Motivation:** Cost-efficient access to the licensed spectrum, spatial spectrum reuse and congestion avoidance
- Capability for **hybrid** connections: UE-eNB and UE-UE
- **Handover** from D2D connection to cellular when the latter achieves higher throughput or if one of the policies is violated
- D2D UEs controlled by **eNB**: Resource allocation & Power control for interference mitigation
- **Core Network** not involved in actual data delivery → only signaling of session setup, charging, policy enforcement
- **Transparent** to the user
- **No service differentiation**

# D2D comm. establishment (1/2)



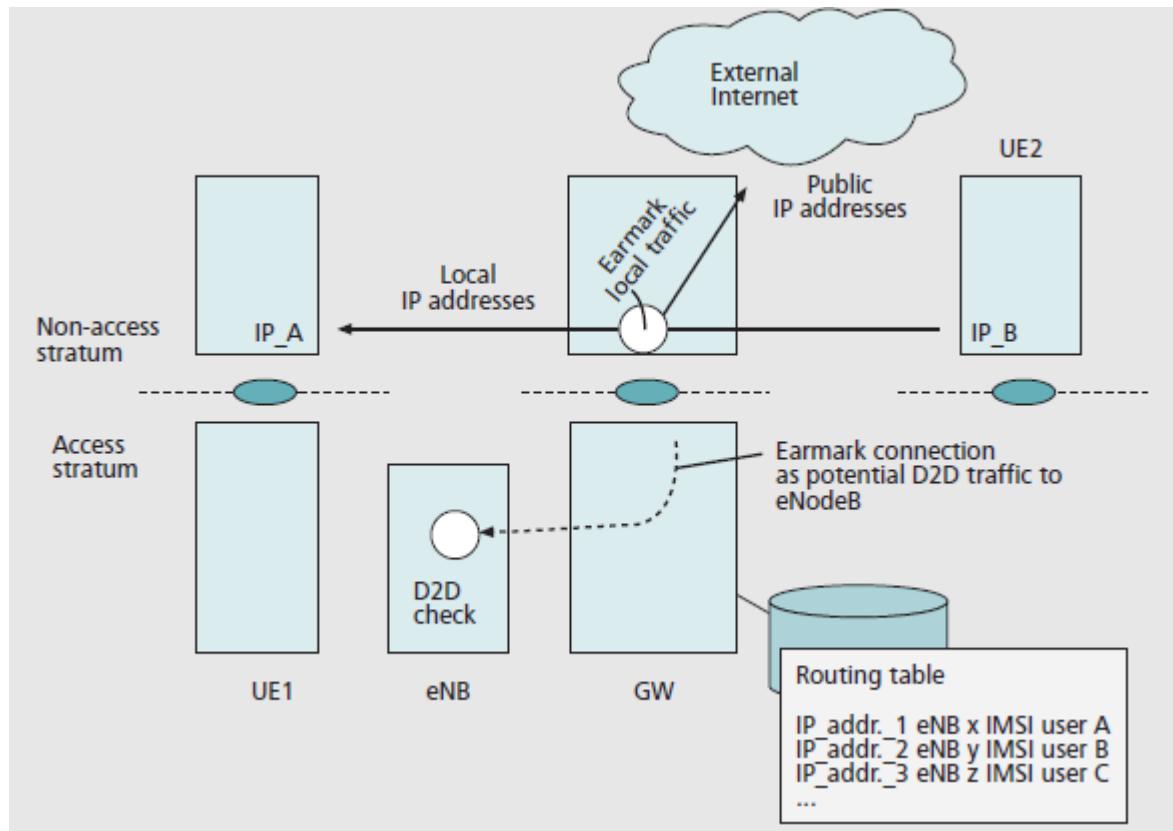


# D2D comm. establishment (2/2)



# Potential D2D traffic detection

- GW earmarks local traffic to indicate potential D2D traffic to eNB
- eNB checks if the 2 devices can set up a D2D connection





# Enhanced functionalities

## UE

- Peer discovery - paging
- Perform periodic channel measurements
- Transmit these CQI measurements to the eNB or to other UE
- Direct transmission / reception of data
- Maintain both a link to eNB + a D2D link

## eNB

- Peer discovery
- Physical layer procedures (synchronisation)
- Connection establishment
- Interference coordination
- Radio resource management (mode selection, scheduling, PRB allocation)
- D2D Power control
- Handover: D2D ↔ Cellular



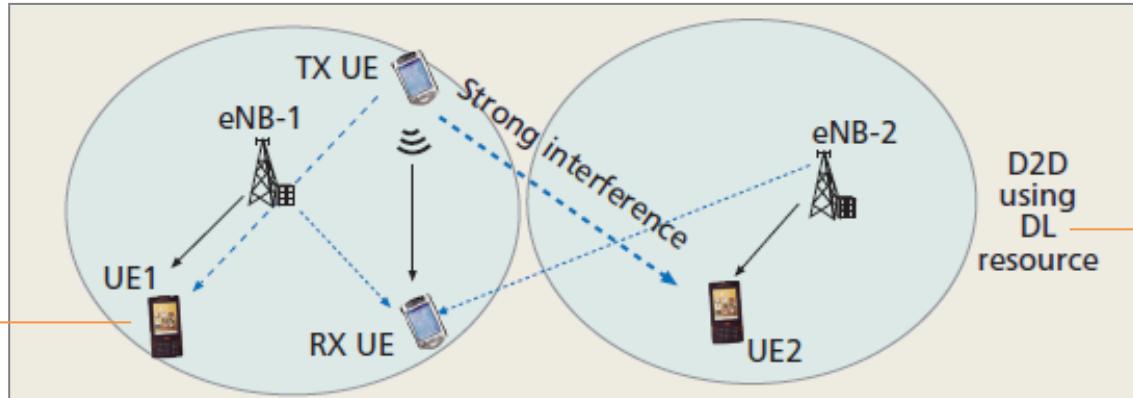
# Main research issues overview

- Intra- and Intercell interference mitigation
- Radio resources allocation management
- Best mode selection
- Power control optimisation mechanism
- Trade-offs between cellular and D2D performance
- D2D session setup and management
- Peer device and service discovery techniques
- Paging



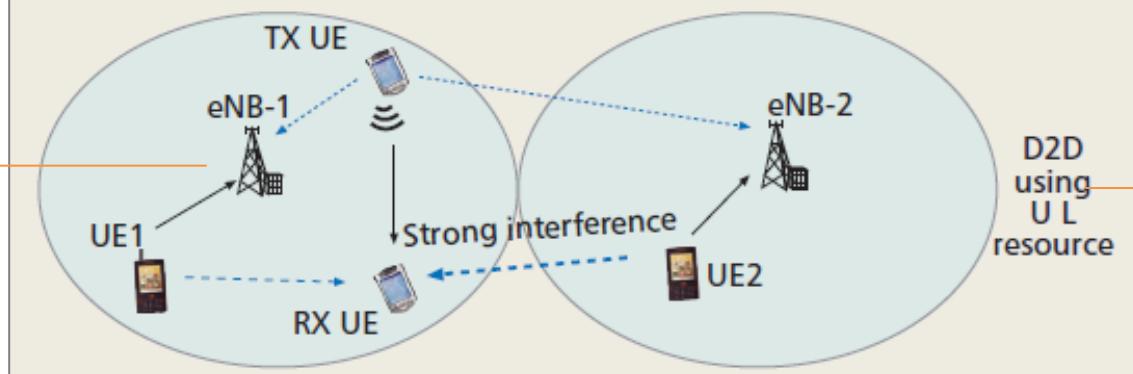
# Interference problem (1/2)

Any served  
mobile UE



*more  
challenging  
interference  
mitigation*

Immobile  
eNB

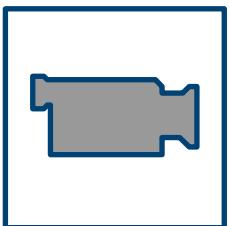
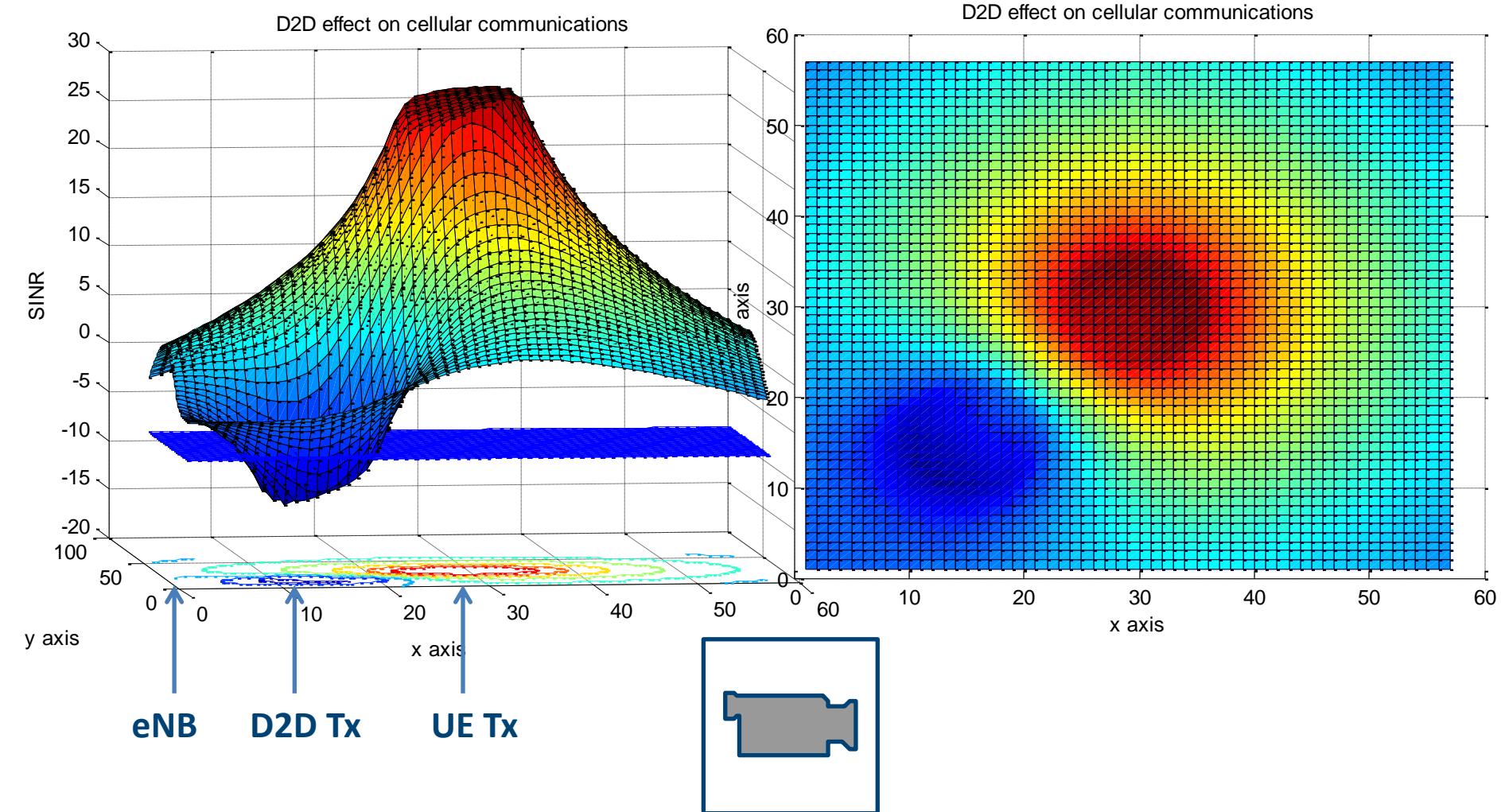


*Preferred  
(regulatory  
reasons too)*

- **Intracell and Intercell** interference (*different cell synchronization*)
- Between cellular and D2D (*overlapping time and frequency resources*)
- Between D2D and D2D (*undesired proximity*)

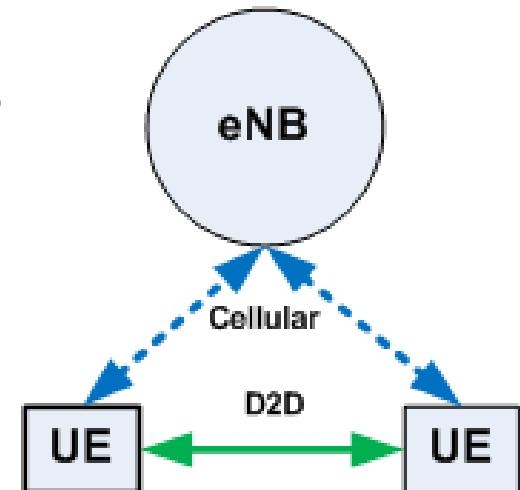


# Interference problem (2/2)



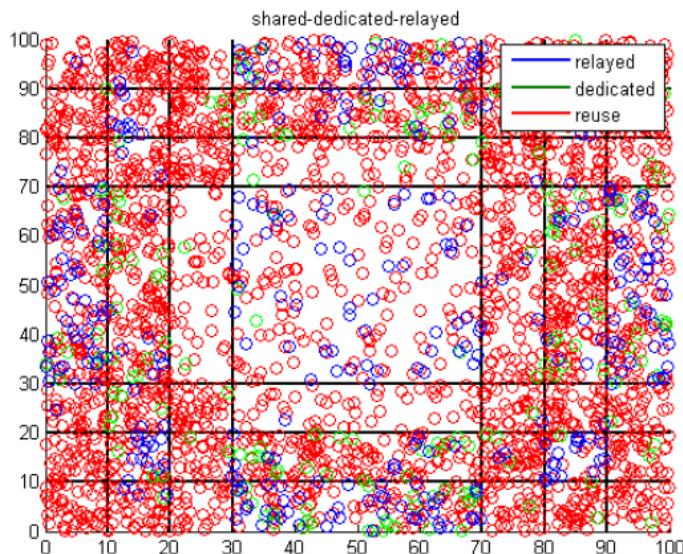
# Best mode selection

- **Decision:** Should D2D pair communicate directly or via the eNB, i.e.: *select D2D or cellular mode?*
- At what **timescale** should:
  - The eNB perform mode selection? (1ms/100ms?)
  - The UEs CQI do estimation and reporting?
  - Network signaling & processing overhead **vs.** up-to-date decisions
- Use what **criteria**, measurements, algorithms to decide (periodic/event-triggered)?
- When deciding **consider**:
  - D2D link quality and cellular link quality
  - Instantaneous load situation of the cell, buffer status of users and QoS
  - Received Signal Strength or distance between 2 nodes

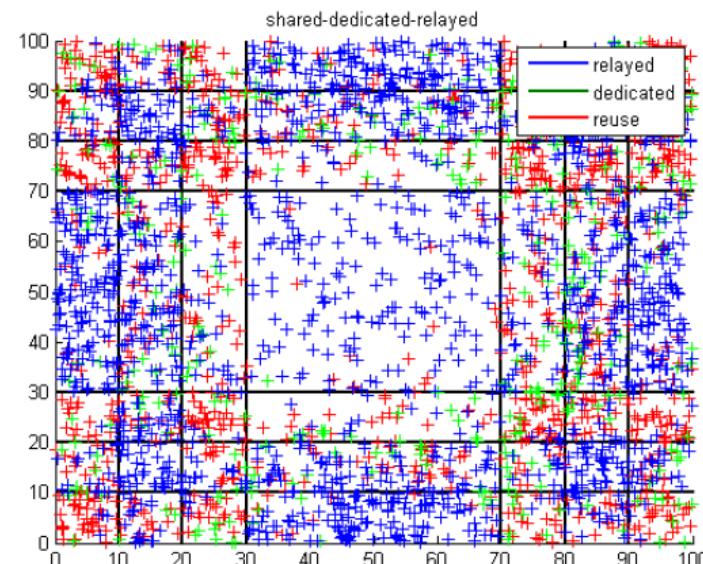


# Resource sharing mode

- **Reuse mode:** D2D reuses the whole resources (ULre/DLre)
- **Dedicated mode:** D2D receives half of the resources
- **Cellular mode:** D2D is relayed by the eNB
- ***The mode selection scheme selects for UL and DL the mode with the highest sum rate that fulfills the cellular SINR constraint.***



(a) Cellular uplink phase (Transmitter locations)



(b) Cellular downlink phase (Receiver locations).



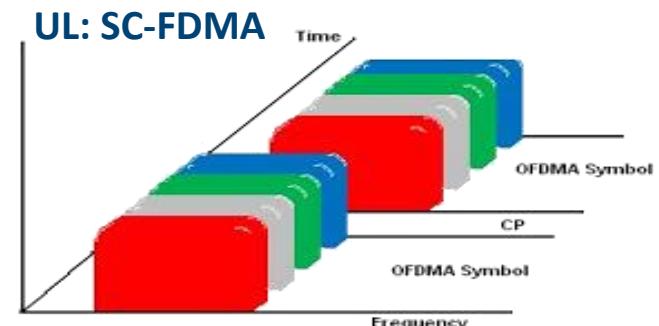
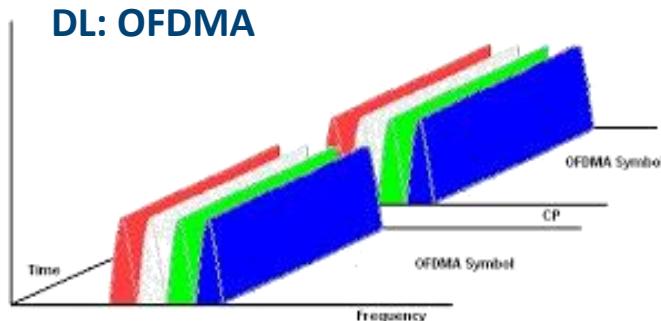
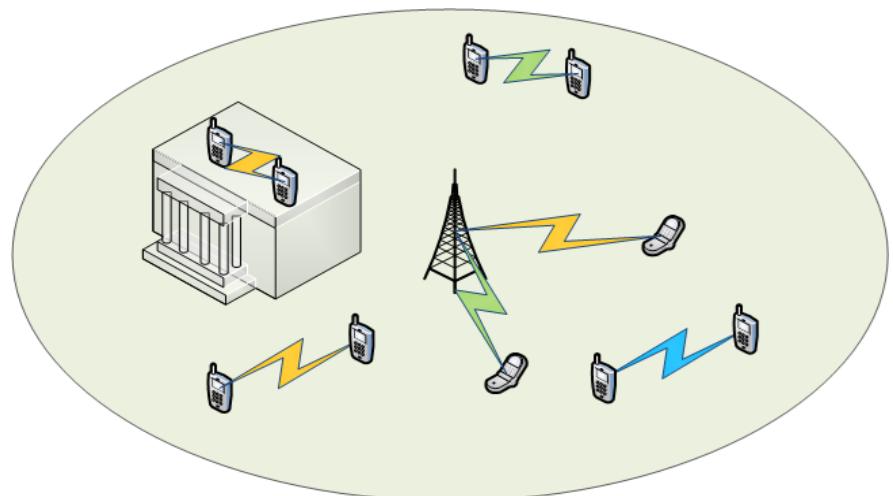
# Resource allocation (1/2)

1. Either **centralized** or **distributed**

2. If centralized select mode

- 1<sup>st</sup> option: **Reserved** resource pool for D2D semi-statically
- 2<sup>nd</sup> option: **Reuse** resources assuring avoidance/cancellation of mutual interference via proper scheduling & power control

3. If reuse mode, allocate resources efficiently





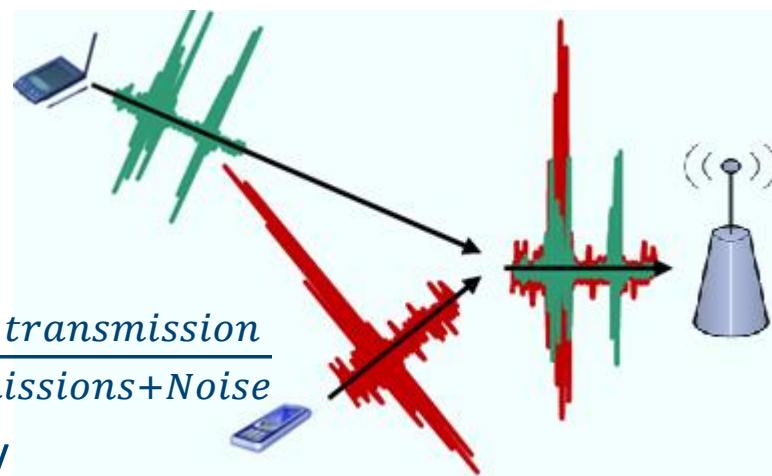
# Resource allocation (2/2)

## Interference-aware methods for efficient spectrum sharing among cellular and D2D connections:

- Mixed integer nonlinear programming (MINLP) problem formulation & greedy RB selection algorithm
- Graph-coloring theory
- Game-theory
- Auction-based strategy
- QoS-based resource allocation scheme
- Minimize maximum channel gain between cellular+D2D UE
- Neighborhood detection and reporting to the eNB:  
Measure interference from other UEs or use GPS information  
⇒ Users self-allocate resources or are allocated by the eNB

# Power control

- **1<sup>st</sup> option:** Predefined maximum D2D transmit level so that cellular degradation is tolerable
- **2<sup>nd</sup> option:** Dynamic power control:
  - Reduce *near-far effect* → protects eNB
  - Enables spatial spectrum reuse
  - $SINR_{eNB} = \frac{\text{Received power from cellular UL transmission}}{\text{Received power from D2D transmissions} + \text{Noise}}$
  - Cellular and D2D treated with same priority
  - Cellular user priority by guaranteeing a minimum transmission rate
  - **Power optimization:** With respect to max rate optimization (MIFTP)
- **3<sup>rd</sup> option:** UE determines pathloss by received power in DL and scales UL transmit power accordingly (eNB power known)

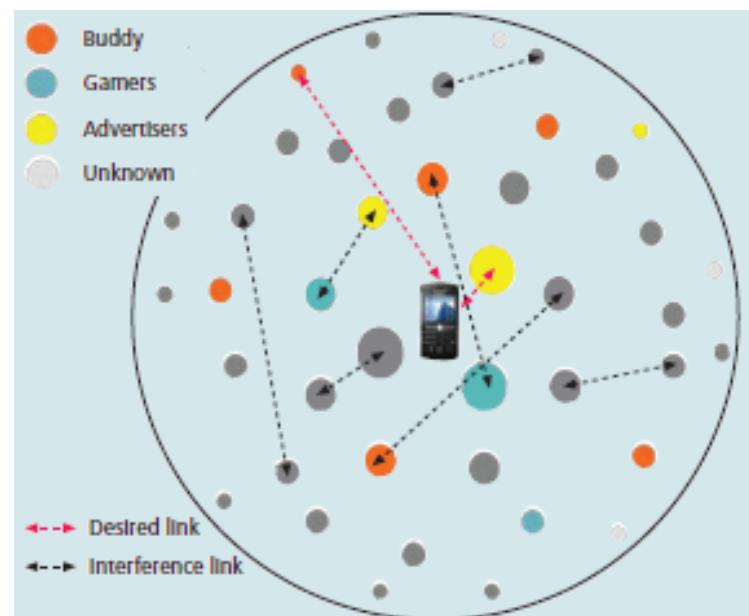




# Peer discovery (1/4)

## Overview

- Device / User / Group / Service discovery
- Meet in time, space, frequency
- Independent of D2D procedure
- Do not reveal the actual radio conditions between the D2D candidate nodes
- Proximity-based service (ProSE)
- Restricted or open discovery depending on UE permissions

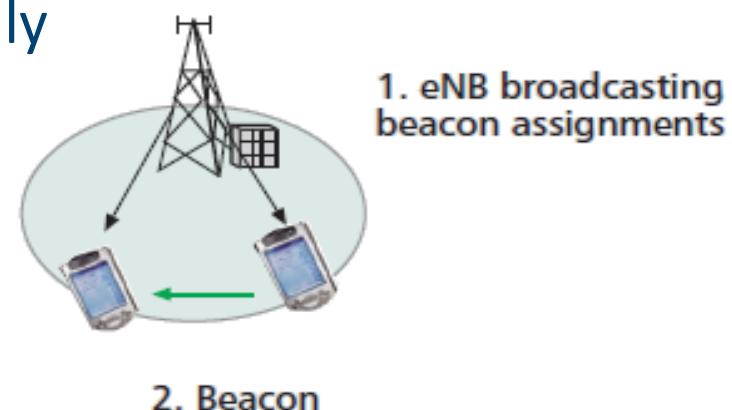




# Peer discovery (2/4)

## Centralized approach - with network support

- **A-priori:** Detect D2D candidates in advance by coordinating the sending of/scanning for beacons
- **A-posteriori:** Network (PDN or MME) realizes the proximity of two communicating nodes while a cellular session is already ongoing (packets eavesdropping)
- Faster, more efficient, user friendly





# Peer discovery (3/4)

## Distributed approach - without network assistance

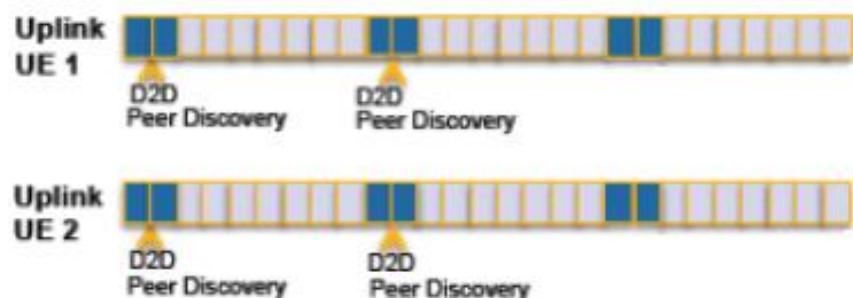
- Periodical transmission of UE beacon signals (= identity)
- Sophisticated scanning
- Security procedures
- Requires user interaction
- Time and energy consuming
- More flexible and scalable than a centralized approach
- PHY and MAC mechanism for Wireless Personal Area Networks (WPAN) **Peer Aware Communications (PAC)** optimized for P2P, fully distributed, infrastructure-less communications



# Peer discovery (4/4)

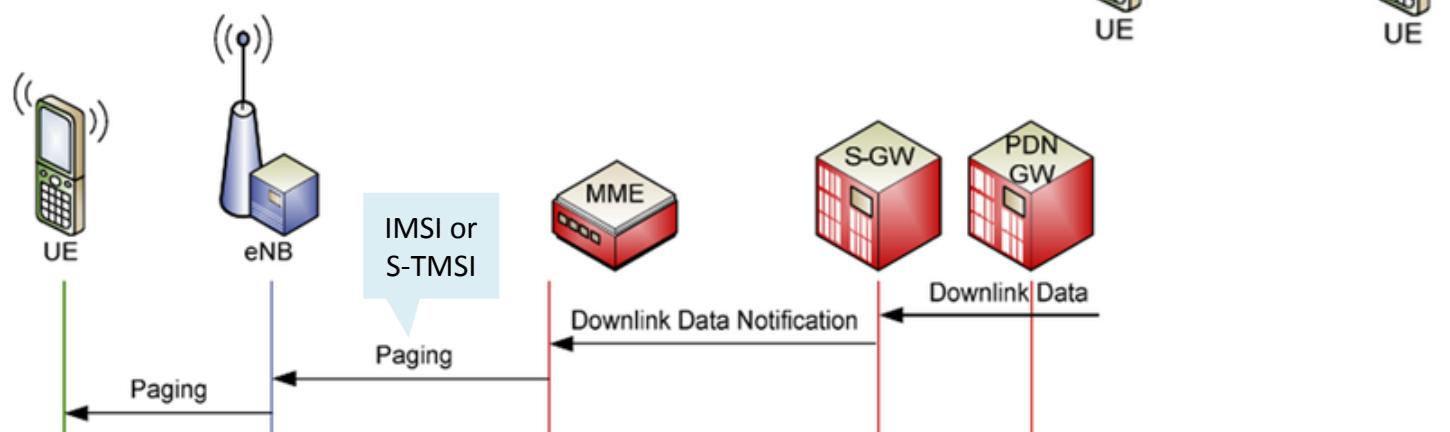
## Qualcomm solution

- Network-assisted discovery
- All devices synchronize to an external time source
- Semi-statically, time-synced allocated resources for discovery signals
- Periodically every device transmits its peer discovery signal and also listens to others' discovery signals
- Communicate when decoded signal “makes sense”
- How to pick Peer Discovery Resource? What if all used?



# Paging

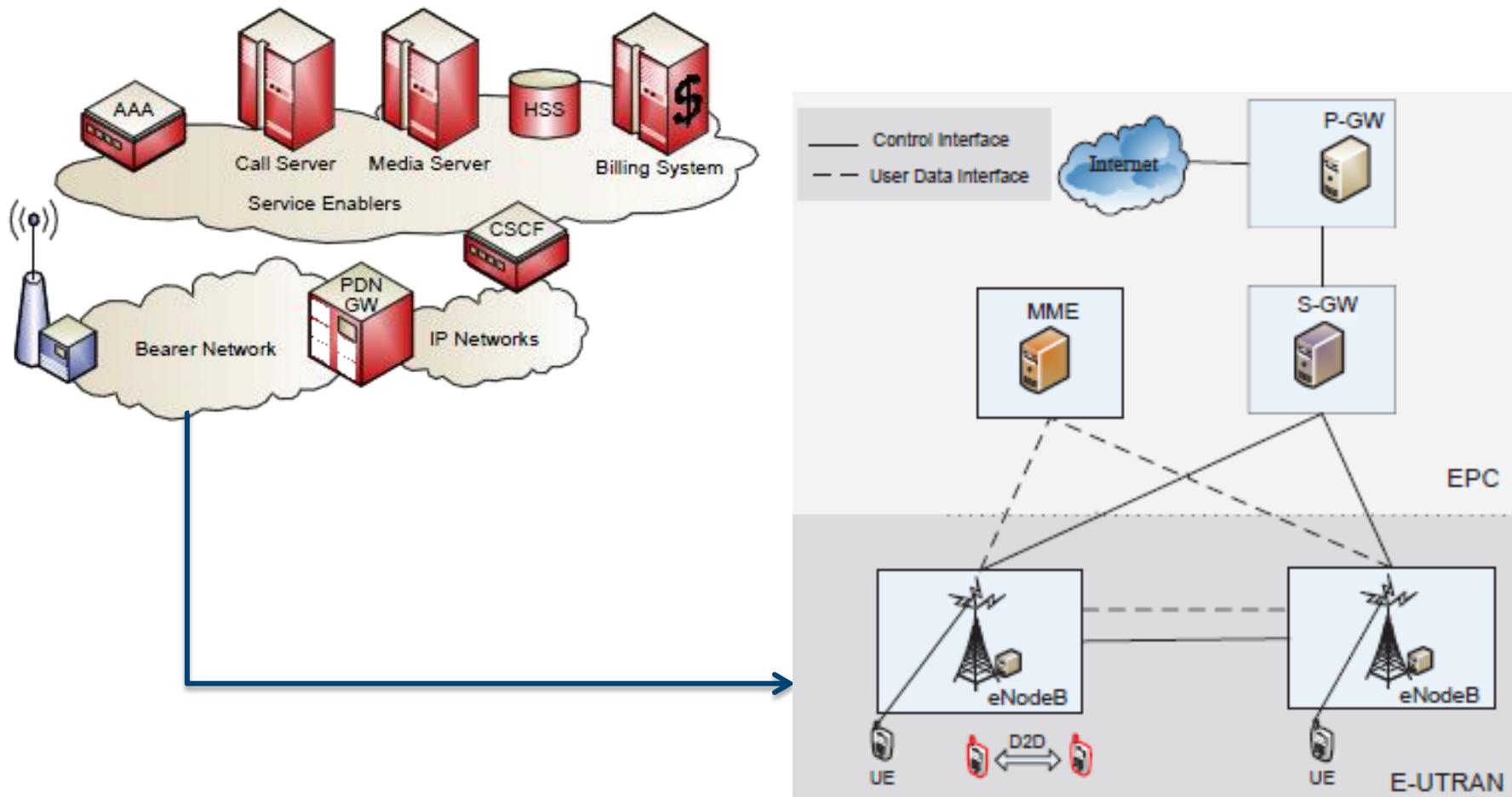
- **Conventional LTE-A paging:**
  - Core Network (MME) initiated
  - UEs monitor a specific channel at specific time instants
  - UEs process each paging message:
  - UE decodes its own ID ⇒ UE paged!
  - If not ⇒ Discard and sleep
- **Direct D2D paging:**
  - Without the intervention of the eNB
  - On licensed band





# **Enhancements to an LTE-A network to enable D2D & future considerations**

# LTE-A architecture overview





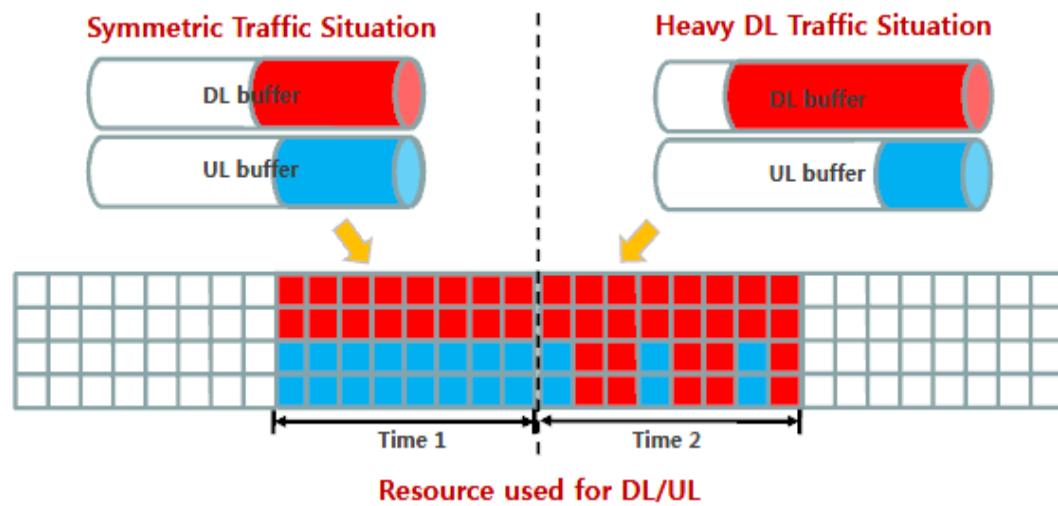
# LTE-A enhancements for D2D

- **Radio identification & bearer setup :** MME: S-TMSI/IP, eNB: C-RNTI
- **User plane:** UDP/IP for D2D, TCP/IP for cellular links, reliable D2D transmissions by Layer 2
- **Interference management**
- **Link adaptation:** Self-adaptation to varying SINR and BLER, automated repeat requests (ARQ) retransmissions, BLER variations should be tolerable
- **Channel measurements:** Time and frequency configurable, Sounding Reference Signal or CQI
- **Mobility:**
  - Expected range of D2D is limited
  - Probably offers limited mobility support
  - Distinction of traffic flows using IP addresses



# Future directions

- “*Public safety would be the primary driving force for LTE D2D in Rel-12.*”
- Enhanced social networking
- Enhanced mobile advertisement
- Home automation
- **Dynamic resource adaptation:** eNB transmits DL in UL resource when the DL traffic is heavy (D2D UE capability)





# Main challenges

- **Competition** with free traditional “d2d” technologies
- **Technical challenges:** fast & light session setup
- **Integration** of current infrastructure LTE-A services, to assure the consistency of the user experience
- Low-power-always-on **proximity discovery**
- Avoid **overhead** when D2D not exploited
- Keep **conventional** UL links together with D2D
- **Lawful** interception of communication information
- **D2D relay mode:** Concern on the information security, wireless radiation and excessive consumption of their battery power, altruism
- A proper **business model** with enough incentive for users needs to be designed



# Business model

- Huge dilemma: Charge or not?
- If yes, must answer the “***Pay for what***” question!
- Attract users to pay for identity, QoS and security, context information, and management, etc.
- Charge the users based on how many minutes or how much bandwidth they use in fully controlled D2D
- Charge a certain amount of fee per month irrespective of the actual D2D data flow in loosely controlled D2D
- Win-win technology paradigm



# Summary

- Why D2D is a promising feature in future LTE-A systems
- Possible applications and real life scenarios
- How a D2D communication is setup from zero
- Discussion on Interference Management via proper Resource Allocation and Power Control mechanisms
- Peer discovery techniques
- LTE-A enhancements at E-UTRAN and EPC
- The future of D2D communications



**Thank you for your kind attention!**

*“Ask me no questions, and I'll tell you no lies.”*

Oliver Goldsmith