

2.

In the comic vision the *animal* world is a community of domesticated animals, usually a flock of sheep, or a lamb, or one of the gentler birds, usually a dove. The archetype of pastoral images. In the tragic vision the animal world is seen in terms of beasts and birds of prey, wolves, vultures, serpents, dragons and the like.

3.

In the comic vision the *vegetable* world is a garden, grove or park, or a tree of life, or a rose or lotus. The archetype of Arcadian images, such as that of Marvell's green world or of Shakespeare's forest comedies.⁵ In the tragic vision it is a sinister forest like the one in *Comus* or at the opening of the *Inferno*,⁶ or a heath or wilderness, or a tree of death.

4.

In the comic vision the *mineral* world is a city, or one building or temple, or one stone, normally a glowing precious stone—in fact the whole comic series, especially the tree, can be conceived as luminous or fiery. The archetype of geometrical images: the "starlit dome"⁷ belongs here. In the tragic vision the mineral world is seen in terms of deserts, rocks and ruins, or of sinister geometrical images like the cross.

5.

In the comic vision the *unformed* world is a river, traditionally fourfold, which influenced the Renaissance image of the temperate body with its four humors.⁸ In the tragic vision this world usually becomes the sea, as the narrative myth of dissolution is so often a flood myth. The combination of the sea and beast images gives us the leviathan and similar water-monsters.

Obvious as this table looks, a great variety of poetic images and forms will be found to fit it. Yeats's "Sailing to Byzantium,"⁹ to take a famous example of the comic vision at random, has the city, the tree, the bird, the community of sages, the geometrical gyre and the detachment from the cyclic world. It is, of course, only the general comic or tragic context that determines the interpretation of any symbol: this is obvious with relatively neutral archetypes like the island, which may be Prospero's island or Circe's.¹

Our tables are, of course, not only elementary but grossly over-simplified, just as our inductive approach to the archetype was a mere hunch. The important point is not the deficiencies of either procedure, taken by itself,

5. Shakespeare's forest (that is, pastoral) comedies include *As You Like It* (ca. 1599). For the "green world" of Andrew Marvell (1621–1678), English metaphysical poet, see especially "The Garden" (1681).

6. The first book of DANTE ALIGHIERI's *Divine Comedy* (1321). *Comus* (1634), a religious masque by Milton.

7. See, for example, Coleridge's poem "Kubla Khan" (written 1797; pub. 1816), which refers to Kubla Khan's "stately pleasure-dome."

8. The four fluids of the body—blood, phlegm, choler, and black bile—whose relative proportions were thought to determine a person's disposition and general health.

9. Poem (1927) by the Irish poet William Butler Yeats (1865–1939).

1. In Greek mythology, a sorceress who lived on the island of Acaea (where Odysseus and his men land in Homer's *Odyssey*). Prospero's island: the setting of Shakespeare's play *The Tempest* (1611).

but the fact that, somewhere and somehow, the two are clearly going to meet in the middle. And if they do meet, the ground plan of a systematic and comprehensive development of criticism has been established.

1951

ROLAND BARTHES

1915–1980

Generally considered one of the leading figures in French structuralism, Roland Barthes is, as Jonathan Culler puts it, "famous for contradictory reasons." On the one hand, there is the scientific Barthes: the one who sought a universal grammar of narrative in his influential essay "Introduction to the Structural Study of Narrative" (1966), or who explored FERDINAND DE SAUSSURE's notion of semiology—a broad science of signs in human culture, of which linguistics would provide a model—in such works as *Elements of Semiology* (1965) and *The Fashion System* (1967). But on the other hand, there is the hedonist and connoisseur: the Barthes who wrote playfully and allusively about pleasure in *The Pleasure of the Text* (1973) and in *A Lover's Discourse* (1977). Even his literary tastes seemed contradictory: he promoted avant-garde writers (Robbe-Grillet, Brecht, Sollers), but he also loved and wrote about the most traditional of French authors (La Bruyère, Racine, Chateaubriand, Balzac, Proust). And he who questioned the importance of the author was himself preeminently an author—indeed, the only author to have written his own volume in a series of "perennial masters" (*Roland Barthes by Roland Barthes*, 1975). A quintessential "man of letters" in the traditional sense, he was also a man of letters in an idiosyncratic, literal sense, organizing three of his books alphabetically so as to avoid thematic or logical organization, and highlighting the material form of letters in one of his book titles, *S/Z* (1970). He was less a path breaker than a habit breaker, resolutely committed to unlearning the routines of intelligibility, even those he himself had helped promote.

Roland Barthes was born in Cherbourg. His father, a naval officer, was killed a year later, and Barthes's mother moved to the paternal family home in Bayonne in southern France. The theorist of the death of the author thus grew up without a father, living with or near his mother until her death in 1977, three years before his own. In 1924 mother and son moved to Paris, where Barthes progressed to the *baccalauréat* in the Parisian schools and began studying for entrance into the prestigious *École Normale*, until his promising academic trajectory was interrupted by the first of several attacks of tuberculosis. Meanwhile, his mother's already strained relations with her Parisian family worsened in 1927 when she gave birth to an illegitimate child—Roland's half-brother, Michel Salgado. Although Barthes's grandparents were well-off, they refused Henriette Binger Barthes and her two sons any financial support, with the result that Henriette had to scrape by on what she earned as a bookbinder.

From 1934 to 1950 Barthes's life alternated between tuberculosis sanatoria (he was exempted from military duty and spent the years of the Occupation in a sanatorium in the Isère), academic institutions where he studied, and, when his health permitted, teaching jobs in Biarritz and abroad in Bucharest and Alexandria. Despite—or perhaps because of—his forced convalescences, he read avidly, founded a theatrical troupe, and began to write. From the first Barthes's writings reflect both his idiosyncratic creativity and his attunement to the intellectual milieu in which he found

himself. His first book, *Writing Degree Zero* (1953), initially published as articles in Albert Camus's journal, *Combat*, analyzes the history of literary styles in terms derived from Marx and from Sartre. In this book Barthes looks at the relations between Literature with a capital L and the various modern forms of its demystification, from STÉPHANE MALLARME'S "vibratory near-disappearance" to Camus's "blank" style (the "zero degree" of the title).

A second, quite different, project Barthes undertook at the same time was an extensive study of the imagery used by the nineteenth-century historian Jules Michelet. Scribbling passages on index cards, Barthes organized Michelet's "imagination" in ways that did not correspond to the explicit intentions of his writing. Like the work of the phenomenological critics Jean-Pierre Richard and GEORGES POULET, Barthes's analysis was a way of structuring Michelet's writing around its unconscious "obsessions." This research was published as a book titled *Michelet* (1954) in the same writers' series in which Barthes himself later appeared.

Barthes's third project in the mid-1950s, different yet again, was a series of short occasional pieces later published as *Mythologies* (1957). In this work, of which we give three examples, Barthes does a kind of Marxian semiology of mass culture and everyday life. His object is to show how mass culture is saturated with ideological propositions ("myths") presented as if they were natural and self-evident; the result in many ways anticipates what is today called "cultural studies." Barthes combines a sharp eye for the social life of signs with a subtle critique of the naturalizations of the ethnocentric, patriarchal, petit-bourgeois French worldview. Critical of the covert functions of *what-goes-without-saying*, Barthes nevertheless enjoys the exhibitions, advertisements, photographs, articles, films, wrestling matches, and commodities that provide the occasion for his little feats of writing. In the essay on soap powders, for example, he both ends up revealing that the competing products are owned by the same company and—in his descriptions of these products in terms of foam and fire, the depth of linen and the triumph of cleanliness—enjoys the process of "frothing" rhetorically himself. In fact, in a perfect illustration of how capitalism devours its critics, an executive at France's largest advertising firm found Barthes's work on advertising so compelling that he began studying with Barthes and persuaded him to work briefly as a consultant for the automaker Renault. Barthes was critical of the myth-making operations of petit-bourgeois culture, but he was also intrigued by the meaning-making functions of cultural objects themselves.

As a researcher in Paris for ten years at the CNRS (National Center for Scientific Research), Barthes—like many others in Paris at that time, including CLAUDE LÉVI-STRAUSS in anthropology, JACQUES LACAN in psychoanalysis, and TZVETAN TODOROV and Gérard Genette in literary studies—continued his exploration of the possibilities of extending Saussure's synchronic linguistic analysis to larger cultural structures. In 1962 Barthes was appointed to a tenured post in "the sociology of signs, symbols, and representations" at the École des Hautes Études (School for Advanced Study), where his seminar became legendary. His book *On Racine* (1963) raised hackles in the traditional academic community for its concentration on the structures of Racine's *textual* world rather than his biographical or historical world. Raymond Picard, a Racine scholar at the Sorbonne, countered with *New Criticism or New Fraud?* (1965). Barthes responded to Picard by arguing that traditional critics' recourse to the values of clarity, nobility, and humanity, which they treat as neutral and self-evident, actually exerts a coercive, censoring force on other interpretive possibilities.

The Picard affair is the backdrop for one of Barthes's most notorious essays, "The Death of the Author." Written at the height of the antiestablishment uprisings of May 1968, it assails academic criticism's typical focus on "the man and his work" (which is in many ways the organizing principle of the present anthology). Indeed, Barthes was surprised to find himself caught in 1968 between generations: while he was attacking the generation of Picard, the students—brandishing the antistructuralist

slogan "Structures don't take to the streets!"—were rebelling against the generation of Barthes himself.

"The Death of the Author" begins with an example taken from Balzac's novella *Sarrasine*—the tale of a sculptor who falls in love with an Italian diva subsequently revealed to be not a woman but a castrato (*Sarrasine* was the text analyzed that year in his seminar, and Barthes went on to publish a full-length study of it in his book *S/Z*). Barthes focuses on a sentence in the text in which a series of exclamations about femininity cannot be clearly attributed to the conscious intentions of any one person, whether that be the author, the narrator, a character, or even "universal wisdom." Barthes argues that the effective, productive, and engaged reading of a text depends on the suspension of preconceived ideas about the character of the particular author—or even about human psychology in general. The text itself is feigning a set of assumptions it will subsequently reveal to be misguided. From the moment that writing detaches itself from an immediate context, "It is language which speaks, not the author." The author, the text, and the reader are each composed of a universe of quotations without origin or end. In its celebration of the birth of the reader, "The Death of the Author" explores the consequences of freeing the reading process from the constraints of fidelity to an origin, a unified meaning, an identity, or any other pre-given exterior or interior reality.

The publication of *S/Z* marks a turning point in Barthes's relation to structuralism. It is a multilevel analysis that refuses to structure the text otherwise than by cutting it into hundreds of little pieces of varying lengths (called *lexias*) and also by identifying five broad functions (called *codes*) at work in the text. Written as if it were meant to constitute a methodological exemplar, it exaggerates the performance of methodology to such an extent that it becomes inimitable and perhaps parodic. When commentators look for a break between structuralism and poststructuralism, *S/Z* stands as a revealing hinge. In it Barthes pursues not so much a *critique* of structuralism (as does JACQUES DERRIDA, for example) as an *explosion* of it. The hints of larger structures at work are fragmentary and multiple, not sustained, and the theoretical comments are printed as digressions, numbering almost a hundred. Boredom with the structuralist project of reducing all narratives to a common grammar combines with delight in the foretaste of a multitude of grammars and rhetorics hinted at but not developed in *S/Z*.

Barthes's subsequent essay reprinted here, "From Work to Text" (1971), is one of the clearest available summaries (including the obligatory disavowal of such a summary) of the poststructuralist theory of the "text" as it was developed not only by Barthes but by all the writers associated with the vanguard journal *Tel Quel*, including Philippe Sollers, JULIA KRISTEVA, Derrida, and others. This description of "textuality" can be seen as one way of marking the transition between structuralism and poststructuralism. Whereas culture and language for Lévi-Strauss and Saussure were structured like a game (chess is the favorite example), the text is structured like *play*—children's play, musical performance, or the excess motion in a machine. But both structuralists and poststructuralists would contrast their analyses to the classical study of literary and other cultural objects ("work"). The *text* is a process; the *work* is a product. *Works* can be found on library shelves; *texts* are signifying fields into which one enters. (The development of the Internet has perhaps made this distinction seem less radical than it did in the 1970s.) Their point is not that literature can be divided into works and texts but that the reader can activate either the closure of the signified (the coherence of a meaning) or the "play" of the signifier (the dissemination and disruption of meanings). The text deserves no vital "respect"—it is not alive and can thus be "broken" or "manhandled" in ways that would violate organic forms. The death of the author turns out to be based not on a murder but on an elimination of the metaphor of life in the first place. The work is "consumed"; the text is "produced" (in *S/Z*, Barthes called these the *readerly* and the *writerly* aspects of a text). Barthes ends the essay by opening onto pleasure, a topic that would engage him more and more from then on.

The Death of the Author¹

In his story *Sarrasine*² Balzac, describing a castrato disguised as a woman, writes the following sentence: 'This was woman herself, with her sudden fears, her irrational whims, her instinctive worries, her impetuous boldness, her fustings, and her delicious sensibility.' Who is speaking thus? Is it the hero of the story bent on remaining ignorant of the castrato hidden beneath the woman? Is it Balzac the individual, furnished by his personal experience with a philosophy of Woman? Is it Balzac the author professing 'literary' ideas on femininity? Is it universal wisdom? Romantic psychology? We shall never know, for the good reason that writing is the destruction of every voice, of every point of origin. Writing is that neutral, composite, oblique space where our subject slips away, the negative where all identity is lost, starting with the very identity of the body writing.

No doubt it has always been that way. As soon as a fact is *narrated* no longer with a view to acting directly on reality but intransitively, that is to say, finally outside of any function other than that of the very practice of the symbol itself, this disconnection occurs, the voice loses its origin, the author enters into his own death, writing begins. The sense of this phenomenon, however, has varied; in ethnographic societies the responsibility for a narrative is never assumed by a person but by a mediator, shaman or relator whose 'performance'—the mastery of the narrative code—may possibly be admired but never his 'genius'. The author is a modern figure, a product of our society insofar as, emerging from the Middle Ages with English empiricism, French rationalism and the personal faith of the Reformation, it discovered the prestige of the individual, of, as it is more nobly put, the 'human person'. It is thus logical that in literature it should be this positivism, the epitome and culmination of capitalist ideology, which has attached the greatest importance to the 'person' of the author. The *author* still reigns in histories of literature, biographies of writers, interviews, magazines, as in the very consciousness of men of letters anxious to unite their person and their work through diaries and memoirs. The image of literature to be found in ordinary culture is tyrannically centred on the author, his person, his life, his tastes, his passions, while criticism still consists for the most part in saying that Baudelaire's work is the failure of Baudelaire the man, Van Gogh's his madness, Tchaikovsky's his vice.³ The *explanation* of a work is always sought in the man or woman who produced it, as if it were always in the end, through the more or less transparent allegory of the fiction, the voice of a single person, the *author* 'confiding' in us.

Though the sway of the Author remains powerful (the new criticism⁴ has often done no more than consolidate it), it goes without saying that certain writers have long since attempted to loosen it. In France, Mallarmé⁵ was

doubtless the first to see and to foresee in its full extent the necessity to substitute language itself for the person who until then had been supposed to be its owner. For him, for us too, it is language which speaks, not the author; to write is, through a prerequisite impersonality (not at all to be confused with the castrating objectivity of the realist novelist), to reach that point where only language acts, 'performs', and not 'me'. Mallarmé's entire poetics consists in suppressing the author in the interests of writing (which is, as will be seen, to restore the place of the reader). Valéry,⁶ encumbered by a psychology of the Ego, considerably diluted Mallarmé's theory but, his taste for classicism leading him to turn to the lessons of rhetoric, he never stopped calling into question and deriding the Author; he stressed the linguistic and, as it were, 'hazardous' nature of his activity, and throughout his prose works he militated in favour of the essentially verbal condition of literature, in the face of which all recourse to the writer's interiority seemed to him pure superstition. Proust⁷ himself, despite the apparently psychological character of what are called his *analyses*, was visibly concerned with the task of inexorably blurring, by an extreme subtilization, the relation between the writer and his characters; by making of the narrator not he who has seen and felt nor even he who is writing, but he who is *going to write* (the young man in the novel—but, in fact, how old is he and who is he?—wants to write but cannot; the novel ends when writing at last becomes possible), Proust gave modern writing its epic. By a radical reversal, instead of putting his life into his novel, as is so often maintained, he made of his very life a work for which his own book was the model; so that it is clear to us that Charlus⁸ does not imitate Montesquiou but that Montesquiou—in his anecdotal, historical reality—is no more than a secondary fragment, derived from Charlus. Lastly, to go no further than this prehistory of modernity, Surrealism, though unable to accord language a supreme place (language being system and the aim of the movement being, romantically, a direct subversion of codes—itsself moreover illusory: a code cannot be destroyed, only 'played off'), contributed to the desacralization of the image of the Author by ceaselessly recommending the abrupt disappointment of expectations of meaning (the famous surrealist 'jolt'), by entrusting the hand with the task of writing as quickly as possible what the head itself is unaware of (automatic writing), by accepting the principle and the experience of several people writing together. Leaving aside literature itself (such distinctions really becoming invalid), linguistics has recently provided the destruction of the Author with a valuable analytical tool by showing that the whole of the enunciation is an empty process, functioning perfectly without there being any need for it to be filled with the person of the interlocutors. Linguistically, the author is never more than the instance writing, just as *I* is nothing other than the instance saying *I*: language knows a 'subject', not a 'person', and this subject, empty 'outside of the very enunciation which defines it, suffices to make language 'hold together', suffices, that is to say, to exhaust it.

The removal of the Author (one could talk here with Brecht⁹ of a veritable 'distancing', the Author diminishing like a figurine at the far end of the lit-

1. Translated by Stephen Heath.

2. Short novel (1830) by Honoré de Balzac (1799–1850), about which Barthes was in the process of writing (see *S/Z*, 1970).

3. Pyotr Tchaikovsky (1840–1893), Russian composer; his "vice" is presumably homosexuality. CHARLES BAUDELAIRE (1821–1867), French poet.

Vincent van Gogh (1853–1890), Dutch painter.

4. The "new criticism" in France at that time included structuralist, thematic, phenomenological, sociological, Marxist, and psychoanalytic criticism.

5. STÉPHANE MALLARMÉ (1842–1898), French poet.

6. Paul Valéry (1871–1945), French poet and critic.

7. Marcel Proust (1871–1922), French novelist.

8. Le baron de Charlus, a character in Proust's *Remembrance of Things Past* (1913–27), said to have been modeled on the aesthete Robert, comte

de Montesquiou-Fezensac (1855–1921).

9. Bertolt Brecht (1898–1956), German poet and dramatist, whose "epic theater" was intended to distance and alienate the audience from traditional theatrical illusion.

erary stage) is not merely an historical fact or an act of writing; it utterly transforms the modern text (or—which is the same thing—the text is henceforth made and read in such a way that at all its levels the author is absent). The temporality is different. The Author, when believed in, is always conceived of as the past of his own book: book and author stand automatically on a single line divided into a *before* and an *after*. The Author is thought to *nourish* the book, which is to say that he exists before it, thinks, suffers, lives for it, is in the same relation of antecedence to his work as a father to his child. In complete contrast, the modern scriptor is born simultaneously with the text, is in no way equipped with a being preceding or exceeding the writing, is not the subject with the book as predicate; there is no other time than that of the enunciation and every text is eternally written *here and now*. The fact is (or, it follows) that *writing* can no longer designate an operation of recording, notation, representation, 'depiction' (as the Classics would say); rather, it designates exactly what linguists, referring to Oxford philosophy,¹ call a performative, a rare verbal form (exclusively given in the first person and in the present tense) in which the enunciation has no other content (contains no other proposition) than the act by which it is uttered—something like the *I declare* of kings or the *I sing* of very ancient poets. Having buried the Author, the modern scriptor can thus no longer believe, as according to the pathetic view of his predecessors, that this hand is too slow for his thought or passion and that consequently, making a law of necessity, he must emphasize this delay and indefinitely 'polish' his form. For him, on the contrary, the hand, cut off from any voice, borne by a pure gesture of inscription (and not of expression), traces a field without origin—or which, at least, has no other origin than language itself, language which ceaselessly calls into question all origins.

We know now that a text is not a line of words releasing a single 'theological' meaning (the 'message' of the Author-God) but a multi-dimensional space in which a variety of writings, none of them original, blend and clash. The text is a tissue of quotations drawn from the innumerable centres of culture. Similar to Bouvard and Pécuchet,² those eternal copyists, at once sublime and comic and whose profound ridiculousness indicates precisely the truth of writing, the writer can only imitate a gesture that is always anterior, never original. His only power is to mix writings, to counter the ones with the others, in such a way as never to rest on any one of them. Did he wish to *express himself*, he ought at least to know that the inner 'thing' he thinks to 'translate' is itself only a ready-formed dictionary, its words only explainable through other words, and so on indefinitely; something experienced in exemplary fashion by the young Thomas de Quincey,³ he who was so good at Greek that in order to translate absolutely modern ideas and images into that dead language, he had, so Baudelaire tells us (in *Paradis Artificiels*),⁴ 'created for himself an unfailling dictionary, vastly more extensive and complex than those resulting from the ordinary patience of purely literary themes'. Succeeding the Author, the scriptor no longer bears within

dictionary from which he draws a writing that can know no halt: life never does more than imitate the book, and the book itself is only a tissue of signs, an imitation that is lost, infinitely deferred.

Once the Author is removed, the claim to decipher a text becomes quite futile. To give a text an Author is to impose a limit on that text, to furnish it with a final signified, to close the writing. Such a conception suits criticism very well, the latter then allotting itself the important task of discovering the Author (or its hypostases:⁵ society, history, psyche, liberty) beneath the work: when the Author has been found, the text is 'explained'—victory to the critic. Hence there is no surprise in the fact that, historically, the reign of the Author has also been that of the Critic, nor again in the fact that criticism (be it new) is today undermined along with the Author. In the multiplicity of writing, everything is to be *disentangled*, nothing *deciphered*; the structure can be followed, 'run' (like the thread of a stocking) at every point and at every level, but there is nothing beneath: the space of writing is to be ranged over, not pierced; writing ceaselessly posits meaning ceaselessly to evaporate it, carrying out a systematic exemption of meaning. In precisely this way literature (it would be better from now on to say *writing*), by refusing to assign a 'secret', an ultimate meaning, to the text (and to the world as text), liberates what may be called an anti-theological activity, an activity that is truly revolutionary since to refuse to fix meaning is, in the end, to refuse God and his hypostases—reason, science, law.

Let us come back to the Balzac sentence. No one, no 'person', says it: its source, its voice, is not the true place of the writing, which is reading. Another—very precise—example will help to make this clear: recent research (J.-P. Vernant)⁶ has demonstrated the constitutively ambiguous nature of Greek tragedy, its texts being woven from words with double meanings that each character understands unilaterally (this perpetual misunderstanding is exactly the 'tragic'); there is, however, someone who understands each word in its duplicity and who, in addition, hears the very deafness of the characters speaking in front of him—this someone being precisely the reader (or here, the listener). Thus is revealed the total existence of writing: a text is made of multiple writings, drawn from many cultures and entering into mutual relations of dialogue, parody, contestation, but there is one place where this multiplicity is focused and that place is the reader, not, as was hitherto said, the author. The reader is the space on which all the quotations that make up a writing are inscribed without any of them being lost; a text's unity lies not in its origin but in its destination. Yet this destination cannot any longer be personal: the reader is without history, biography, psychology; he is simply that *someone* who holds together in a single field all the traces by which the written text is constituted. Which is why it is derisory to condemn the new writing in the name of a humanism hypocritically turned champion of the reader's rights. Classic criticism has never paid any attention to the reader; for it, the writer is the only person in literature. We are now beginning to let ourselves be fooled no longer by the arrogant antiphrostical⁷ recriminations

of good society in favour of the very thing it sets aside ignores, smothers, or destroys; we know that to give writing its future it is necessary to overthrow the myth: the birth of the reader must be at the cost of the death of the Author.