

By a **space** we will always mean a Hausdorff topological space  $(X, \tau)$ .

A **compactification** of  $X$  is a pair  $(Y, f)$  where  $Y$  is compact and  $f : X \rightarrow Y$  is a homeomorphism with dense image. Two compactifications  $(Y, f)$  and  $(Z, g)$  of  $X$  are **equivalent** if there is a homeomorphism  $h : Y \rightarrow Z$  that leaves  $X$  'pointwise fixed', i.e. for all  $x \in X$ ,  $h(f(x)) = g(x)$ .

If  $(X, \tau)$  is a locally compact (i.e. every point has a compact neighbourhood), non-compact space, the **one-point compactification**  $(X_\infty, \tau_\infty)$  of  $(X, \tau)$  is  $X_\infty = X \cup \{\infty\}$  where  $\infty$  is a point not in  $X$  and

$$\tau_\infty = \{U \subseteq X : U \in \tau\} \cup \{X_\infty \setminus F : F \subseteq X, \text{ compact}\} \cup \{X_\infty\}.$$

Alternatively, a base of neighbourhoods at each point  $x$  of  $X$  is the set  $\{U \in \tau : x \in U\}$ , while a base of neighbourhoods of  $\infty$  consists of the complements of compact subsets of  $X$ . It is easy to verify that this is a compactification of  $(X, \tau)$ .

Let  $(X, \tau)$  be a locally compact, non-compact space. Consider the abelian C\*-algebra

$$\mathcal{A} = C_0(X) = \{f : X \rightarrow \mathbb{C} \text{ continuous s.t. } \forall \epsilon > 0 \exists K \text{ compact s.t. } \|f\|_{K^c} < \epsilon\}$$

(here  $\|f\|_{K^c} = \sup\{|f(x)| : x \in K^c\}$ ).

If  $\mathcal{B} = \tilde{\mathcal{A}}$  is the unitization of  $\mathcal{A}$ , we will prove that the character space  $(Y, w^*)$  of  $\mathcal{B}$  is (a compactification equivalent to) the one-point compactification of  $(X, \tau)$ .

Recall that  $\mathcal{B} = \mathcal{A} \oplus \mathbb{C}$  is an abelian C\*-algebra with the operations

$$\begin{aligned} (f, \lambda) + (g, \mu) &= (f + g, \lambda + \mu) \\ (f, \lambda) \cdot (g, \mu) &= (fg + \mu f + \lambda g, \lambda\mu) \\ (f, \lambda)^* &= (f^*, \bar{\lambda}) \end{aligned}$$

and the norm

$$\|(f, \lambda)\| = \sup\{\|fg + \lambda g\| : g \in \mathcal{A}, \|g\| \leq 1\}.$$

Now each  $f \in \mathcal{A}$  extends to a continuous<sup>1</sup> function  $\tilde{f}$  on  $X_\infty$  by setting  $\tilde{f}(\infty) = 0$ . Define a map

$$\pi : \mathcal{B} \rightarrow C(X_\infty) : (f, \lambda) \rightarrow f + \lambda$$

and verify it is an isometric \*-isomorphism (to prove that it is onto, take  $g \in C(X_\infty)$  and define  $(f, \lambda) \in \mathcal{B}$  by  $f(x) = g(x) - g(\infty)$  for  $x \in X$  and  $\lambda = g(\infty)$ ; continuity of  $g$  at  $\infty$  shows that  $f \in C_0(X)$ ).

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<sup>1</sup>the condition  $\|f\|_{K^c} < \epsilon$  ensures continuity of  $\tilde{f}$  at  $\infty$

Given  $x \in X$  we define  $\phi_x$  to be the character (verify) on  $\mathcal{B}$  given by  $\phi_x(f, \lambda) = f(x) + \lambda$  and we set  $\phi_\infty(f, \lambda) = \lambda$ .

We claim that

$$Y = \{\phi_x : x \in X_\infty\}.$$

**Proof** Suppose, by way of contradiction, that there exists

$$\phi \in Y \setminus \{\phi_x : x \in X_\infty\}$$

and let  $\mathcal{J} = \ker \phi$ . For all  $x \in X_\infty$ , since  $\phi_x \neq \phi$ , there exists  $a_x = (f_x, \lambda_x) \in \mathcal{J}$  such that  $\phi_x(a_x) \neq 0$ .<sup>2</sup> Thus the continuous function  $g_x \equiv f_x + \lambda_x \in C(X_\infty)$  does not vanish at  $x$ . Hence there is an open neighbourhood  $U_x$  of  $x$  so that  $g_x|_{U_x}$  never vanishes. The open cover  $\{U_x : x \in X_\infty\}$  has a finite subcover,  $\{U_1, \dots, U_n\}$ . Let  $\{g_1, \dots, g_n\}$  be the corresponding functions. Since  $\pi(\mathcal{J})$  is an ideal,  $|g_k|^2 = \bar{g}_k g_k \in \pi(\mathcal{J})$  so  $g \equiv \sum_{k=1}^n |g_k|^2 \in \pi(\mathcal{J})$ . But  $g$  never vanishes; for if  $x \in X_\infty$ , there is some  $k$  with  $x \in U_k$ , and then  $g(x) \geq |g_k(x)|^2 > 0$ . But then  $\frac{1}{g}$  is a continuous function on  $X_\infty$  and so  $\mathbf{1} = \frac{1}{g} \cdot g \in \pi(\mathcal{J})$ . This gives  $\phi(\mathbf{1}) = 0$ , a contradiction.  $\square$

Therefore we have a bijection

$$h : X_\infty \rightarrow Y \quad \text{given by } h(x) = \begin{cases} \phi_x & \text{if } x \in X \\ \phi_\infty & \text{if } x = \infty \end{cases}$$

We prove that  $h$  is continuous. Suppose  $x_i \rightarrow x$  in  $X_\infty$ . If  $x \neq \infty$  then  $x_i \in X$  eventually and so  $h(x_i) = \phi_{x_i}$  eventually and  $h(x) = \phi_x$ . Now for all  $(f, \lambda) \in \mathcal{B}$  we have  $f(x_i) \rightarrow f(x)$  by continuity of  $f$  and so

$$\phi_{x_i}((f, \lambda)) = f(x_i) + \lambda \rightarrow f(x) + \lambda = \phi_x((f, \lambda))$$

showing that  $\phi_{x_i} \xrightarrow{w^*} \phi_x$ .

If  $x_i \rightarrow \infty$  then for every  $f \in C_0(X)$  we have  $\tilde{f}(x_i) \rightarrow 0$ . Indeed given  $\epsilon > 0$  there is a compact set  $K \subseteq X$  with  $\|f\|_{K^c} < \epsilon$ ; but  $U \equiv X_\infty \setminus K$  is a neighbourhood of  $\infty$ , so  $x_i \in U$  eventually and thus  $|\tilde{f}(x_i)| < \epsilon$  eventually. Therefore

$$\phi_{x_i}((f, \lambda)) = f(x_i) + \lambda \rightarrow 0 + \lambda = \phi_\infty((f, \lambda))$$

so  $\phi_{x_i} \xrightarrow{w^*} \phi_\infty$  which completes the proof that  $h$  is continuous on  $X_\infty$ .

Thus  $h$  is a continuous bijection between compact spaces, so it must be a homeomorphism.

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<sup>2</sup>otherwise  $\ker \phi \subseteq \ker \phi_x$  which implies that  $\phi_x = \phi$  (write any  $a \in \mathcal{B}$  as  $a = (a - \phi(a)\mathbf{1}) + \phi(a)\mathbf{1}$ , observe that  $a - \phi(a)\mathbf{1} \in \ker \phi \subseteq \ker \phi_x$  so  $\phi_x(a) = \phi_x(a - \phi(a)\mathbf{1}) + \phi(a)\phi_x(\mathbf{1}) = \phi(a)$ ).

Let  $g : X \rightarrow Y$  be the restriction of  $h$  to  $X$ . Since  $X$  is dense in  $X_\infty$  and  $h$  is a homeomorphism it follows that  $g(X)$  is dense in  $Y$ , so  $(Y, g)$  is a compactification of  $X$ . Since  $h(id(x)) = h(x) = g(x)$  for all  $x \in X$ , the compactifications  $(Y, g)$  and  $(X_\infty, id)$  are equivalent.

This concludes the argument.

### Two irrelevant remarks <sup>3</sup>

**Remark 1** *If  $(X, \tau)$  is a locally compact space and  $F \subseteq U \subsetneq X$  where  $F$  is compact and  $U$  is open, there is a compact neighbourhood  $K$  of  $F$  contained in  $U$  (i.e.  $F \subseteq K^\circ \subseteq K \subseteq U$ ) and there is a continuous function  $f : X \rightarrow [0, 1]$  such that  $f(x) = 1$  for  $x \in F$  and  $f(y) = 0$  for  $y \notin U$ .*

**Proof**  $F$  and  $F' = X_\infty \setminus U$  are disjoint closed sets in the compact, hence normal space  $X_\infty$ . Hence there are  $V, V'$  disjoint open subsets of  $X_\infty$  such that  $F \subseteq V$  and  $F' \subseteq V'$ . Set  $K = X_\infty \setminus V'$ .

To obtain  $f$ , apply Urysohn to  $F$  and  $F'$  to find  $g : X_\infty \rightarrow [0, 1]$  such that  $g(x) = 1$  for  $x \in F$  and  $g(y) = 0$  for  $y \in F'$  and let  $f = g|_X$ .  $\square$

**Remark 2** *The topology of a compact space  $(K, \tau)$  is determined by  $C(K)$ . More precisely, if  $\mathcal{F} \subseteq C(K)$  is a separating family, then the weakest topology  $\tau_{\mathcal{F}}$  on  $K$  making all members of  $\mathcal{F}$  continuous coincides with  $\tau$ .*

**Proof** Since the elements of  $\mathcal{F}$  are  $\tau$ -continuous, clearly  $\tau_{\mathcal{F}} \leq \tau$ . Thus the identity  $id : (X, \tau) \rightarrow (X, \tau_{\mathcal{F}})$  is continuous. Since every  $\tau$ -closed set  $F$  is  $\tau$ -compact, its image under  $id$  will be  $\tau_{\mathcal{F}}$ -compact. If  $\tau_{\mathcal{F}}$  is Hausdorff, then  $F$  will be  $\tau_{\mathcal{F}}$ -closed. Hence the two topologies will have the same closed sets, so they will coincide.

The fact that  $\tau_{\mathcal{F}}$  is Hausdorff follows because  $\mathcal{F}$  separates  $K$ . Indeed, if  $x \neq y$  there is  $f \in \mathcal{F}$  such that  $f(x) \neq f(y)$ . There are disjoint open disks  $V_x, V_y$  in  $\mathbb{C}$  such that  $f(x) \in V_x$  and  $f(y) \in V_y$ . Then  $f^{-1}(V_x)$  and  $f^{-1}(V_y)$  are disjoint  $\tau_{\mathcal{F}}$ -open (because  $f$  is  $\tau_{\mathcal{F}}$ -continuous) neighbourhoods of  $x$  and  $y$ .  $\square$

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<sup>3</sup> $\alpha\phi\theta\tau\alpha\epsilon\gamma\rho\alpha\psi\alpha\dots$