

RESEARCH ARTICLE

Uniqueness of the blow-down limit for a triple junction problem

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Abstract

We prove the uniqueness of L^1 blow-down limit at infinity for an entire minimizing solution $u : \mathbb{R}^2 \rightarrow \mathbb{R}^2$ of a planar Allen–Cahn system with a triple-well potential. Consequently, u can be approximated by a triple junction map at infinity. The proof exploits a careful analysis of energy upper and lower bounds, ensuring that the diffuse interface remains within a small neighborhood of the approximated triple junction at all scales.

1 | INTRODUCTION

1.1 | The problem and main result

This paper is concerned with the uniqueness of the blow-down limit at infinity for an entire, bounded minimizing solution of the system

$$\Delta u - W_u(u) = 0, \quad u : \mathbb{R}^2 \rightarrow \mathbb{R}^2, \quad (1.1)$$

where W is a potential with three global minima. Specifically for W we assume

(H1). $W \in C^2(\mathbb{R}^2; [0, +\infty))$, $\{z : W(z) = 0\} = \{a_1, a_2, a_3\}$, $W_u(u) \cdot u > 0$ if $|u| > M$ and

$$c_2 |\xi|^2 \geq \xi^T W_{uu}(a_i) \xi \geq c_1 |\xi|^2, \quad i = 1, 2, 3.$$

for some positive constants $c_1 < c_2$ depending on W .

(H2). For $i \neq j$, $i, j \in \{1, 2, 3\}$, let $U_{ij} \in W^{1,2}(\mathbb{R}, \mathbb{R}^2)$ be an 1D minimizer of the action

$$\sigma_{ij} := \min \int_{\mathbb{R}} \left(\frac{1}{2} |U'_{ij}|^2 + W(U_{ij}) \right) d\eta, \quad \lim_{\eta \rightarrow -\infty} U_{ij}(\eta) = a_i, \quad \lim_{\eta \rightarrow +\infty} U_{ij}(\eta) = a_j.$$

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σ_{ij} satisfies

$$\sigma_{ij} \equiv \sigma > 0 \quad \text{for } i \neq j \in \{1, 2, 3\} \text{ and some constant } \sigma. \quad (1.2)$$

Note that (1.1) is the Euler–Lagrange equation associated with the energy

$$E(u, \Omega) := \int_{\Omega} \left(\frac{1}{2} |\nabla u|^2 + W(u) \right) dx. \quad (1.3)$$

An entire *minimizing solution* $u : \mathbb{R}^2 \rightarrow \mathbb{R}^2$ is defined in the following local sense.

Definition 1.1. A function $u : \mathbb{R}^2 \rightarrow \mathbb{R}^2$ is a *minimizing solution* of (1.1) in the sense of De Giorgi if

$$E(u, \Omega) \leq E(u + v, \Omega), \quad \forall \text{ bounded open sets } \Omega \subset \mathbb{R}^2, \forall v \in C_0^1(\Omega). \quad (1.4)$$

The solution we seek can be regarded as the diffuse analogue of the minimal 3-partition of the plane. Specifically, we define

$$\mathcal{P} := \{D_1, D_2, D_3\},$$

which is a partition of the plane into three sectors centered at the origin with opening angles of 120 degrees. Let $\partial\mathcal{P}$ denote the union of three rays that separate $\{D_i\}_{i=1}^3$, that is,

$$\partial\mathcal{P} := \partial D_1 \cup \partial D_2 \cup \partial D_3.$$

We call $\partial\mathcal{P}$ a *triod*. The *triple junction map* is defined by

$$U_{\mathcal{P}} := a_1 \chi_{D_1} + a_2 \chi_{D_2} + a_3 \chi_{D_3}, \quad (1.5)$$

where χ_{Ω} represents the characteristic function of domain Ω .

It is well-known that \mathcal{P} is a minimizing partition of \mathbb{R}^2 into three phases and $\partial\mathcal{P}$ is a minimal cone. \mathcal{P} is minimizing in the sense that for any $\Omega \subset \mathbb{R}^2$, $\mathcal{P} \llcorner \Omega = \{D_i \cap \Omega\}_{i=1}^3$ is a solution of the following variational problem

$$\min \sum_{i < j} \mathcal{H}^1(\partial(A_i \cap \Omega) \cap \partial(A_j \cap \Omega)),$$

$$\mathcal{A} = \{A_i\}_{i=1}^3 \text{ is a 3-partition of } \mathbb{R}^2 \text{ and } \mathcal{P} \llcorner (\mathbb{R}^2 \setminus \Omega) = \mathcal{A} \llcorner (\mathbb{R}^2 \setminus \Omega).$$

The minimality stated above is related to Steiner’s classical result which states that given three points A, B, C on the plane such that the corresponding triangle has no angle greater than or equal to 120 degrees, then if P is a point that minimizes the sum of the distances $|P - A| + |P - B| + |P - C|$, the line segments PA, PB, PC form three 120-degree angles.

In 1996, Bronsard et al. [6] established the existence of an entire solution to (1.1) in the equivariant class of the reflection group \mathcal{G} of the symmetries of the equilateral triangle. The triple-well potential is also assumed invariant under \mathcal{G} . The solution is obtained as a minimizer

in the equivariant class $u(gx) = gu(x)$, $g \in \mathcal{G}$, hence is not necessarily stable under general perturbations. Their results were extended to the three dimensional case in 2008 by Gui and Schatzman [10]. We refer to the book [2] and to the references therein.

In 2021, Fusco [8] succeeded in establishing essentially the result of [6] in the equivariant class of the rotation subgroup of \mathcal{G} (by $\frac{2}{3}\pi$), thus eliminating the two reflections. The symmetry hypothesis fixes the center of the junction at the origin, which simplifies the analysis.

On bounded domains, there are some constructions of triple junction solutions without imposing symmetry assumptions, see for example, the paper by Sternberg and Ziemer [13] for clover-shaped domains in \mathbb{R}^2 via Γ -convergence, and for more general domains by Flores et al. [7] by a mountain pass argument. These Γ -convergence results are not sufficient for establishing the existence of a triple junction solution on \mathbb{R}^2 .

The existence of an entire minimizing solution in the sense of Definition 1.1, characterized by a triple junction structure at infinity, has been independently established in two recent papers: by Alikakos and the author [3] and by Sandier and Sternberg [11]. Under slightly different hypotheses and employing distinct methods, these two studies have yielded comparable results saying that along a subsequence $r_k \rightarrow \infty$, the rescaled function $u_{r_k}(z) := u(r_k z)$ converges in $L^1_{loc}(\mathbb{R}^2)$ to a triple junction map u_0 of the form (1.5). However, it remains unclear a priori if there could exist two different sequences of rescalings $\{r_k\}$ and $\{s_k\}$, leading to distinct blow-down limits u_1 and u_2 , corresponding to distinct minimal 3-partitions \mathcal{P}_1 and \mathcal{P}_2 , respectively. The primary objective of the present paper is to rule out this possibility and demonstrate the uniqueness of the blow-down limit. We now state our main result.

Theorem 1.2. *There exists a bounded, minimizing solution $u : \mathbb{R}^2 \rightarrow \mathbb{R}^2$ of (1.1) such that for any compact $K \subset \mathbb{R}^2$,*

$$\lim_{r \rightarrow \infty} \|u_r(x) - u_0\|_{L^1(K)} = 0,$$

where $u_0 = \sum_{i=1}^3 a_i \mathbb{1}_{D_i}$ for $\mathcal{P} = \{D_i\}_{i=1}^3$ providing a minimal partition of \mathbb{R}^2 into three sectors with angle of 120 degrees and $\partial\mathcal{P}$ is a triod centered at 0.

Remark 1.1. The result above also holds for the case when σ_{ij} are not all equal but still satisfy $\sigma_{ij} + \sigma_{jk} > \sigma_{ik}$. In this scenario, the corresponding minimal partition \mathcal{P} consists of three sectors D_i with opening angles θ_i satisfying $\sum_{i=1}^3 \theta_i = 2\pi$ and $\frac{\sin \theta_1}{\sigma_{23}} = \frac{\sin \theta_2}{\sigma_{13}} = \frac{\sin \theta_3}{\sigma_{12}}$. The proof for the general σ_{ij} case follows the same argument as the proof of Theorem 1.2. In this paper, we will adhere to the equal σ_{ij} case for the sake of clarity and simplicity in presentation.

Uniqueness of blow-up or blow-down limits is one of the central questions in the study of singular structures in geometric PDEs. Following the prominent early works by Allard and Almgren [4] and Simon [12], uniqueness questions have been investigated extensively for free boundary problems, harmonic maps, minimal surfaces and geometric flows. Most of these results rely on some type of the Simon–Łojasiewicz inequality or epiperimetric inequalities, showing the decay of certain monotone quantities at a definite rate. In our proof, the uniqueness of the blow-down limit is obtained from a delicate estimate on the localization of the diffuse interface, derived from a purely variational argument, thus avoiding the use of those classical methods.

1.2 | An overview of the proof

We now list some key steps and ideas in the proof of our results. As mentioned earlier, we can start with a minimizing solution u of (1.1) as constructed in [3] and [11], which converges to a triple junction map of the form (1.5) along some subsequence $r_k \rightarrow \infty$. In particular, for arbitrarily small ε , there exists a sufficiently large R_0 such that

$$3\sigma - \varepsilon \leq \int_{\partial B_{R_0}} \left(\frac{1}{2} |\nabla_T u|^2 + W(u) \right) d\mathcal{H}^1 \leq 3\sigma + \varepsilon,$$

where ∇_T denotes the tangential derivative.

Starting from this estimate, which basically means there are three phase transitions along ∂B_{R_0} , we can derive that $u(z)$ should “behave nicely” on ∂B_{R_0} . To be more specific, u is close to phases a_1, a_2, a_3 on three arcs I_1, I_2, I_3 , respectively. Between these phases, there will be three small arcs I_{ij} ($i \neq j \in \{1, 2, 3\}$) separating them, which can be regarded as the place where phase transition happens. We pick points $A \in I_{12}, B \in I_{13}, C \in I_{23}$ and determine the point D such that $|DA| + |DB| + |DC|$ is minimized. Then we let $T_{ABC} := DA \cup DB \cup DC$ be the approximate triod on B_{R_0} .

With such a nicely behaved boundary data on ∂B_{R_0} , we obtain the following energy upper bound and lower bound in B_{R_0} .

$$\sigma(|DA| + |DB| + |DC|) - CR_0^\alpha \leq \int_{B_{R_0}} \left(\frac{1}{2} |\nabla u|^2 + W(u) \right) dz \leq \sigma(|DA| + |DB| + |DC|) + CR_0^\alpha, \quad (1.6)$$

for some constants $C = C(W)$ and $\alpha \in (0, 1)$. For the upper bound, we utilize the construction of an energy competitor outlined in [11, Proposition 3.3], while for the lower bound we mimic the proof of [3, Proposition 3.1].

We define the diffuse interface as

$$I_\gamma := \{z : |u(z) - a_i| \geq \gamma, \forall i = 1, 2, 3\}.$$

The energy bound (1.6) implies that $I_\gamma \cap B_{R_0}$ is located in an $O(R_0^\beta)$ (for some $\beta < 1$) neighborhood of the approximate triod T_{ABC} . Moreover, away from T_{ABC} , the distance of $u(z)$ to a_i will decay exponentially with respect to $\text{dist}(z, T_{ABC})$ thanks to Hypothesis (H1) and standard elliptic theories.

We proceed by rescaling B_{R_0} to the unit disk B_1 through the function $u_{R_0} := u(R_0 z)$. The exponential decay above implies that u_{R_0} is closely approximated in L^1 norm by a minimal partition $\{\mathcal{D}_{R_0}^i\}_{i=1}^3$ of B_1 determined by the rescaled version of T_{ABC} . Specifically, we have

$$\|u_{R_0} - U_{R_0}\|_{L^1} \leq CR_0^{\beta-1}, \quad (1.7)$$

where $U_{R_0} = \sum a_i \chi_{\mathcal{D}_{R_0}^i}$. We point out that (1.7) holds for any larger scaling $R_i \sim 2^i R_0$, where U_{R_i} is the corresponding approximate triple junction map at the scale R_i .

A key observation is that approximate triple junction maps at two consecutive scales are close to each other, that is,

$$\|U_{R_i} - U_{R_{i+1}}\| \leq CR_i^{\beta-1}. \quad (1.8)$$

This is established by (1.7) and the fact that u_{R_i} and $u_{R_{i+1}}$ are obtained by rescaling the same function u . Finally, we can iterate (1.8) and deduce that U_{R_i} will converge to a unique triple junction map, and thereby concluding the proof.

The article is organized as follows. In Section 2, we present some preliminary results from [1] and [2]. In Sections 3 and 4, we establish the existence of a minimizing solution u and fix a well behaved boundary data on ∂B_{R_0} . Next, we establish the energy bound (1.6) in Section 5. The localization of the diffuse interface within an $O(R_0^\beta)$ neighborhood of the triod T_{ABC} is proved in Section 6. Then in Section 7 we rescale B_{R_0} to B_1 and prove (1.7). Lastly, we conclude the proof of the main theorem utilizing the estimate (1.8) in Section 8.

2 | PRELIMINARIES

Throughout the paper we denote by $z = (x, y)$ a 2D point and by $B_r(z)$ the 2D ball centered at the point z with radius r . In addition, we let B_r denote the 2D ball centered at the origin. We recall the following basic results which play a crucial part in our analysis.

Lemma 2.1 (Lemma 2.1 in [1]). *The hypotheses on W imply the existence of $\delta_W > 0$, and constants $c_W, C_W > 0$ such that*

$$\begin{aligned} |u - a_i| &= \delta \\ \Rightarrow \frac{1}{2}c_W\delta^2 &\leq W(u) \leq \frac{1}{2}C_W\delta^2, \quad \forall \delta < \delta_W, \quad i = 1, 2, 3. \end{aligned}$$

Moreover if $\min_{i=1,2,3} |u - a_i| \geq \delta$ for some $\delta < \delta_W$, then $W(u) \geq \frac{1}{2}c_W\delta^2$.

Lemma 2.2 (Lemma 2.3 in [1]). *Take $i \neq j \in \{1, 2, 3\}$, $\delta < \delta_W$ and let $s_+ > s_-$ be two real numbers. Let $v : (s_-, s_+) \rightarrow \mathbb{R}^2$ be a smooth map that minimizes the energy functional*

$$J_{(s_-, s_+)}(v) := \int_{s_-}^{s_+} \left(\frac{1}{2} |\nabla v|^2 + W(v) \right) dx$$

subject to the boundary condition

$$|v(s_-) - a_i| = |v(s_+) - a_j| = \delta.$$

Then

$$J_{(s_-, s_+)}(v) \geq \sigma - C_W\delta^2,$$

where C_W is the constant in Lemma 2.1.

Lemma 2.3 (Variational maximum principle, Theorem 4.1 in [2]). *There exists a positive constant $r_0 = r_0(W)$ such that for any $u \in W^{1,2}(\Omega, \mathbb{R}^2) \cap L^\infty(\Omega, \mathbb{R}^2)$ being a minimizer of $E(\cdot, \Omega)$, if u satisfies*

$$|u(x) - a_i| \leq r \text{ on } \partial\Omega, \quad \text{for some } r < r_0, \quad i \in \{1, 2, 3\},$$

then

$$|u(x) - a_i| \leq r \quad \forall x \in \Omega.$$

3 | EXISTENCE OF AN ENTIRE MINIMIZING SOLUTION

By the constructions in [3] and [11], there exists a minimizing solution $u : \mathbb{R}^2 \rightarrow \mathbb{R}^2$ of (1.1) such that the following hold:

- (1) There exists $M > 0$ such that

$$|u(z)| + |\nabla u(z)| \leq M, \quad \forall z \in \mathbb{R}^2. \quad (3.9)$$

- (2) [11, Theorem 1.1] For any sequence $r_k \rightarrow \infty$, there is a subsequence, still denoted by $\{r_k\}$, such that

$$u(r_k z) \rightarrow u_0(z) \text{ in } L^1_{loc}(\mathbb{R}^2), \quad (3.10)$$

where $u_0(z) = \sum_{i=1}^3 a_i \mathbb{1}_{D_i}$ for $\mathcal{P} = \{D_1, D_2, D_3\}$ providing a minimal partition of \mathbb{R}^2 into three sectors of the angle $\frac{2}{3}\pi$ and $\partial\mathcal{P}$ is a triod centered at 0. Moreover, along the same subsequence we have the following energy estimate

$$\lim_{r_k \rightarrow \infty} \frac{1}{r_k} E(u, B_{r_k}(0)) = 3\sigma.$$

This energy estimate follows from the Γ -convergence result in Baldo [5] that holds also without the mass constraint (see Gazoulis [9]).

- (3) By [11, Lemma 3.4 & Lemma 3.5], u is asymptotically homogeneous and satisfies an asymptotic energy equipartition property at large scale,

$$\lim_{R \rightarrow \infty} \frac{1}{R} \int_{B_R} W(u) dz = \lim_{R \rightarrow \infty} \frac{1}{R} \int_{B_R} \frac{1}{2} |\nabla u|^2 dz = \lim_{R \rightarrow \infty} \frac{1}{R} \int_{B_R} \frac{1}{2} |\nabla_T u|^2 dz = \frac{3\sigma}{2}. \quad (3.11)$$

Here ∇_T denotes the tangential derivative.

Utilizing (3.11), for any $\varepsilon > 0$, there exists a large $R(\varepsilon)$ such that for any $R > R(\varepsilon)$,

$$3\sigma - \varepsilon \leq \frac{1}{R} \int_{B_R} \left(\frac{1}{2} |\nabla u|^2 + W(u) \right) dz \leq 3\sigma + \varepsilon,$$

$$\frac{3\sigma - \varepsilon}{2} \leq \frac{1}{R} \int_{A_{2R,R}} W(u) dz \leq \frac{3\sigma + \varepsilon}{2},$$

$$\frac{3\sigma - \varepsilon}{2} \leq \frac{1}{R} \int_{A_{2R,R}} \frac{1}{2} |\nabla_T u|^2 dz \leq \frac{3\sigma + \varepsilon}{2},$$

where $A_{2R,R}$ represents the annulus $\{z \in \mathbb{R}^2 : R < |z| < 2R\}$. Fixing ε as a small parameter to be determined later, by Fubini's theorem we can find $R_0(\varepsilon) \in (R(\varepsilon), 2R(\varepsilon))$ such that

$$3\sigma - \varepsilon \leq \int_{\partial B_{R_0}} \left(\frac{1}{2} |\nabla_T u|^2 + W(u) \right) d\mathcal{H}^1 \leq 3\sigma + \varepsilon. \quad (3.12)$$

$$3\sigma - \varepsilon \leq \frac{1}{R_0} \int_{B_{R_0}} \left(\frac{1}{2} |\nabla u|^2 + W(u) \right) dz \leq 3\sigma + \varepsilon. \quad (3.13)$$

As our analysis progresses, we will gradually determine the conditions on the smallness of ε .

4 | “WELL-BEHAVED” PROFILE OF $u(z)$ ON ∂B_{R_0}

Firstly, we take a fixed small constant $\delta = \delta(W)$ which is independent of ε , to be determined later. We keep in mind that in certain places of our analysis, ε is considered to be significantly smaller than δ . From the energy bound (3.12) and Lemma 2.1 we conclude that

$$\mathcal{H}^1(\{z : \min_{i=1,2,3} |u(z) - a_i| > \delta\} \cap \partial B_{R_0}) \leq \frac{C}{\delta^2}, \quad (4.14)$$

for some $C = C(W)$. In other words, most of points on ∂B_{R_0} are close to one of the phases a_i . We set

$$Y_i := \{z : |u(z) - a_i| \leq \delta\} \cap \partial B_{R_0}, \quad i = 1, 2, 3.$$

Then (4.14) implies

$$\sum_{i=1}^3 \mathcal{H}^1(Y_i) \geq 2\pi R_0 - \frac{C}{\delta^2}. \quad (4.15)$$

Lemma 4.1. *For any $i \in \{1, 2, 3\}$, $Y_i \neq \emptyset$.*

Proof. We need to rule out the following two cases.

Case 1. Two of Y_i 's are empty sets. Without loss of generality, assume

$$Y_2 = Y_3 = \emptyset.$$

We construct the following energy competitor in B_{R_0} by writing in polar system $z = (x, y) = re^{i\theta}$:

$$\tilde{u}(r, \theta) = \begin{cases} u(R_0, \theta), & r = R_0 \\ (1 + r - R_0)u(R_0, \theta) + (R_0 - r)a_1, & r \in (R_0 - 1, R_0), \\ a_1, & r \in [0, R_0 - 1]. \end{cases}$$

In view of Lemma 2.1, we have that

$$\begin{aligned}
& \int_{A_{R_0, R_0-1}} |\partial_r \tilde{u}|^2 dz \\
&= \int_{R_0-1}^{R_0} \int_0^{2\pi} |u(R_0, \theta) - a_1|^2 r dr d\theta \\
&\leq \int_{Y_1} |u - a_1|^2 d\mathcal{H}^1 + \int_{\partial B_{R_0} \setminus Y_1} |u - a_1|^2 d\mathcal{H}^1 \tag{4.16} \\
&\leq \int_{Y_1} CW(u) d\mathcal{H}^1 + \frac{C}{\delta^2} M^2 \\
&\leq C(\delta, W).
\end{aligned}$$

$$\int_{A_{R_0, R_0-1}} W(u) dz \leq \int_{A_{R_0, R_0-1}} C|u - a_1|^2 dz \leq C(\delta, W). \tag{4.17}$$

$$\int_{A_{R_0, R_0-1}} |\partial_T \tilde{u}|^2 dz \leq \int_{\partial B_{R_0}} |\partial_T \tilde{u}|^2 d\mathcal{H}^1 \leq 3\sigma. \tag{4.18}$$

Adding (4.16), (4.17) and (4.18) together gives

$$\int_{B_{R_0}} \left(\frac{1}{2} |\nabla u|^2 + W(u) \right) dz \leq C(\delta, W), \tag{4.19}$$

which yields a contradiction with (3.13) given that R_0 can be arranged to be much larger than $C(\delta, W)$. As a result, we eliminate Case 1.

Case 2. One of Y_i 's is empty. Assume without loss of generality that $Y_3 = \emptyset$. Then on ∂B_{R_0} , essentially there are only two phases appearing, namely a_1 and a_2 . There exist $z_1, z_2 \in \partial B_{R_0}$ such that

$$|u(z_1) - a_1| \leq \delta, \quad |u(z_2) - a_2| \leq \delta, \quad \text{for } z_i := R_0 e^{i\theta_i}, \quad i = 1, 2. \tag{4.20}$$

where θ_i denotes the polar angle of z_i ($i = 1, 2$). Then z_1 and z_2 split the circle ∂B_{R_0} into two arcs, denoted by A and B , respectively. Then we define

$$A_1 := \{z \in A : |u(z) - a_1| \leq \delta\},$$

$$A_2 := \{z \in A : |u(z) - a_2| \leq \delta\}.$$

By (4.20) and the continuity of u we know that A_1 and A_2 are two disjoint non-empty closed sets in A . Set

$$z_A^1 := \text{the point from } A_1 \text{ that is closest to } A_2,$$

$$z_A^2 := \text{the point from } A_2 \text{ that is closest to } A_1.$$

Here “closest” refers to the distance along ∂B_{R_0} . Now we have the key observation that on A the arcs $\widehat{z_1 z_A^1}$ and $\widehat{z_2 z_A^2}$ do not overlap. Suppose by contradiction this is not the case, we have

$$z_A^2 \in \widehat{z_1 z_A^1} \cap A, \quad z_A^1 \in \widehat{z_2 z_A^2} \cap A.$$

If we start from z_1 and traverse along the clockwise direction of ∂B_{R_0} for a complete circle, we will encounter at least four phase transitions occurring between the two phases a_1 and a_2 , namely

$$z_1 \rightarrow z_2 \rightarrow z_A^1 \rightarrow z_A^2 \rightarrow z_1.$$

Then we have

$$\int_{\partial B_{R_0}} \left(\frac{1}{2} |\nabla_T u|^2 + W(u) \right) d\mathcal{H}^1 \geq 4\sigma - C\delta^2,$$

which contradicts (3.12). Therefore $\widehat{z_1 z_A^1}$ and $\widehat{z_2 z_A^2}$ cannot overlap. Moreover, appealing to (3.9) we have that

$$\mathcal{H}^1(\widehat{z_A^1 z_A^2}) \geq C,$$

for some positive constant C depending on $|a_1 - a_2|$ and the uniform bound of $|\nabla u|$.

Now for any $z \in \widehat{z_A^1 z_A^2} = A \setminus (\widehat{z_1 z_A^1} \cup \widehat{z_2 z_A^2})$, it holds

$$|u(z) - a_i| > \delta, \quad \forall i \in \{1, 2, 3\}.$$

Utilizing the energy bound (3.12) and the hypothesis (H1), we obtain

$$\mathcal{H}^1(\widehat{z_A^1 z_A^2}) \leq \frac{C}{\delta^2},$$

for some constant C depending only on W .

In the same manner, on B we can define

$$B_1 := \{z \in B : |u(z) - a_1| \leq \delta\},$$

$$B_2 := \{z \in B : |u(z) - a_2| \leq \delta\}.$$

and

$$z_B^1 := \text{the point from } B_1 \text{ that is closest to } B_2,$$

$$z_B^2 := \text{the point from } B_2 \text{ that is closest to } B_1.$$

By the same argument we have $\widehat{z_1 z_B^1}$ and $\widehat{z_2 z_B^2}$ do not overlap and

$$C_1 \leq \mathcal{H}^1(\widehat{z_B^1 z_B^2}) \leq \frac{C_2}{\delta^2},$$

for some positive constants C_1, C_2 . Denote the polar angles for $z_A^1, z_A^2, z_B^1, z_B^2$ by $\theta_A^1, \theta_A^2, \theta_B^1, \theta_B^2$, respectively. Set

$$\Theta_1 := \widehat{\theta_B^1 \theta_A^1} \cup \widehat{\theta_A^1 \theta_B^1}, \quad \Theta_2 := \widehat{\theta_A^2 \theta_B^2} \cup \widehat{\theta_B^2 \theta_A^2}, \quad \Theta_0 := \widehat{\theta_A^1 \theta_A^2} \cup \widehat{\theta_B^1 \theta_B^2}.$$

Here Θ_i ($i = 1, 2$) approximately represents the set of polar angles for a_i phase points on ∂B_{R_0} , where Θ_0 represents the set of polar angles for the transition layer. The size of Θ_0 can be controlled by

$$\frac{C_1}{R_0} \leq |\Theta_0| \leq \frac{C_2}{R_0 \delta^2}. \quad (4.21)$$

Now we first define the following function on ∂B_{R_0-1} :

$$\tilde{u}(R_0 - 1, \theta) = \begin{cases} a_1, & \theta \in \Theta_1, \\ a_2, & \theta \in \Theta_2, \\ \text{smooth connection of } a_1, a_2 & \theta \in \Theta_0. \end{cases} \quad (4.22)$$

Then we extend the energy competitor \tilde{u} to B_{R_0} ,

$$\tilde{u}(r, \theta) := \begin{cases} u(R_0, \theta), & r = R_0 \\ (r - R_0 + 1)u(R_0, \theta) + (R_0 - r)\tilde{u}(R_0 - 1, \theta), & r \in (R_0 - 1, R_0) \\ \tilde{u}(R_0 - 1, \theta), & r = R_0 - 1 \\ \text{energy minimizer,} & r \in [0, R_0 - 1) \end{cases} \quad (4.23)$$

where we require that \tilde{u} minimizes the energy $E(\cdot, B_{R_0-1})$ with respect to the Dirichlet boundary constraint $\tilde{u}|_{B_{R_0-1}}$.

We first estimate the energy in the annulus A_{R_0, R_0-1} .

$$\begin{aligned} & \int_{A_{R_0, R_0-1}} \left(\frac{1}{2} |\nabla \tilde{u}|^2 + W(\tilde{u}) \right) dz \\ &= \left(\int_{A_{R_0, R_0-1} \cap \{\theta \in \Theta_1\}} + \int_{A_{R_0, R_0-1} \cap \{\theta \in \Theta_2\}} + \int_{A_{R_0, R_0-1} \cap \{\theta \in \Theta_0\}} \right) \left(\frac{1}{2} |\nabla \tilde{u}|^2 + W(\tilde{u}) \right) dz. \end{aligned}$$

From (4.21) and (3.9) it follows that

$$\int_{A_{R_0, R_0-1} \cap \{\theta \in \Theta_0\}} \left(\frac{1}{2} |\nabla \tilde{u}|^2 + W(\tilde{u}) \right) dz \leq C(\delta, W).$$

For any $\theta_0 \in \Theta_1$, if $|u(R_0, \theta_0) - a_1| < \delta$, then

$$|\tilde{u}(r, \theta_0) - a_1| \leq |u(R_0, \theta_0) - a_1| < \delta, \quad \forall r \in (R_0 - 1, R_0),$$

which implies

$$c_1 |\tilde{u}(r, \theta_0) - a_1|^2 \leq W(\tilde{u}(r, \theta_0)) \leq CW(u(R_0, \theta_0)), \quad \text{for some } C = C(W), \forall r \in (R_0 - 1, R_0).$$

On the other hand if $|u(R_0, \theta_0) - a_1| \geq \delta$, together with the definition of Θ_1 it holds that

$$|u(R_0, \theta_0) - a_i| \geq \delta, \forall i \in \{1, 2, 3\},$$

and

$$\max\{|\tilde{u}(r, \theta_0) - a_1|^2, W(\tilde{u}(r, \theta_0))\} \leq \frac{C}{\delta^2} W(u(R_0, \theta_0)), \quad \forall r \in (R_0 - 1, R_0).$$

Following the similar computation as in (4.16), (4.18), and (4.17), we obtain

$$\begin{aligned} & \int_{A_{R_0, R_0-1} \cap \{\theta \in \Theta_1\}} |\partial_r \tilde{u}|^2 dz \\ &= \int_{R_0-1}^{R_0} \int_{\Theta_1} |u(R_0, \theta) - a_1|^2 r dr d\theta \\ &\leq C(\delta, W) R_0 \int_{\Theta_1} W(u(R_0, \theta)) d\theta \\ &\leq C(\delta, W). \end{aligned}$$

$$\begin{aligned} & \int_{A_{R_0, R_0-1} \cap \{\theta \in \Theta_1\}} |\partial_T \tilde{u}|^2 dz \leq \int_{\partial B_{R_0}} |\partial_T \tilde{u}|^2 d\mathcal{H}^1 \leq 3\sigma. \\ & \int_{A_{R_0, R_0-1} \cap \{\theta \in \Theta_1\}} W(\tilde{u}) dz \\ &\leq C(\delta, W) \int_{R_0-1}^{R_0} dr \int_{\Theta_1} W(u(R_0, \theta)) r d\theta \leq C(\delta, W). \end{aligned}$$

Summing up the inequalities above implies

$$\int_{A_{R_0, R_0-1} \cap \{\theta \in \Theta_1\}} \left(\frac{1}{2} |\nabla u|^2 + W(u) \right) dz \leq C(\delta, W).$$

This estimate also applies to the energy on $A_{R_0, P_0-1} \cap \{\theta \in \Theta_2\}$. Consequently we have

$$\int_{A_{R_0, P_0-1}} \left(\frac{1}{2} |\nabla \tilde{u}|^2 + W(\tilde{u}) \right) dz \leq C(\delta, W), \quad (4.24)$$

We are left with the the estimation of $E(\tilde{u}, B_{R_0-1})$. Set

$$P_1 := (R_0 - 1) e^{i \frac{\theta_1^1 + \theta_2^2}{2}}, \quad P_2 := (R_0 - 1) e^{i \frac{\theta_1^1 + \theta_2^2}{2}}.$$

Then $P_1 P_2$ intersects with ∂B_{R_0-2} at two points P'_1 and P'_2 , respectively. Up to a possible rotation, we can assume $P_1 P_2$ is parallel to the x -axis and their shared y coordinates is denoted by y_0 . Without loss of generality, we suppose $y_0 \leq 0$, with the a_2 phase part of ∂B_{R_0-1} positioned above $\{y = y_0\}$, while the a_1 phase part is positioned below $\{y = y_0\}$ (see Figure 1).

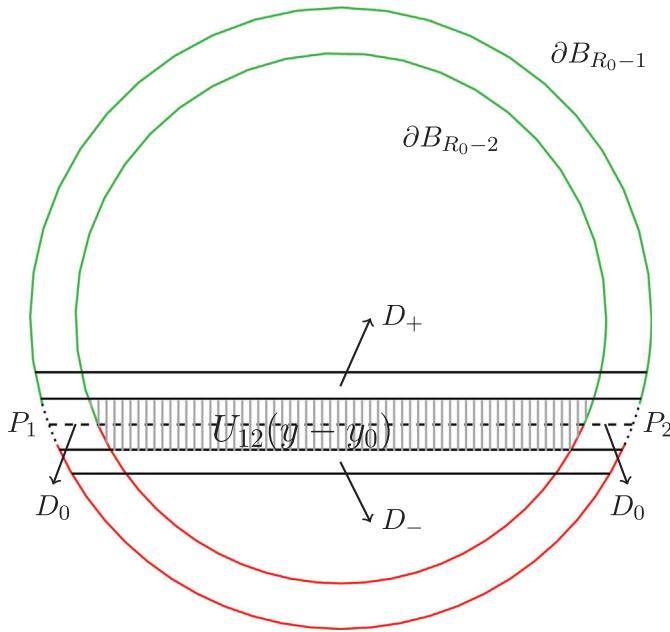


FIGURE 1 Energy upper bound in B_{R_0-1} for Case 2. Red: a_1 ; Green: a_2 .

We pick an 1D minimizing connection U_{12} defined in the Hypothesis (H2). Moreover, it is well-known that U_{ij} will converge to a_1 or a_2 exponentially (see e.g., [2, Proposition 2.4]),

$$|U_{12}(\eta) - a_2| \leq Ke^{-k\eta}, \quad |U_{12}(\eta) - a_1| \leq Ke^{k\eta},$$

for some constants $K, k > 0$. Let $h = R_0^{\frac{1}{3}}$. If $y_0 \leq -R_0 + 3h$, elementary geometry implies that $\mathcal{H}^1(\widehat{z_A^1 z_B^1}) \sim O(R_0^{\frac{2}{3}})$, which means the a_1 phase on ∂B_{R_0-1} has much smaller measure compared to that of the a_2 phase. Hence we can invoke similar analysis as in Case 1 to show that $E(\bar{u}, B_{R_0-1}) \leq CR_0^{\frac{2}{3}} \ll O(R_0)$. This, together with (4.24), yields a contradiction with (3.13). Therefore, we only consider the case $y_0 > -R_0 + 3h$. Set

$$v(x, y) = \begin{cases} U_{12}(y - y_0), & (x, y) \in \bar{B}_{R_0-2} \cap \{y_0 - h \leq y \leq y_0 + h\}, \\ a_2, & (x, y) \in B_{R_0-1} \cap \{y \geq y_0 + 2h\}, \\ a_1, & (x, y) \in B_{R_0-1} \cap \{y \leq y_0 - 2h\}. \end{cases}$$

On $B_{R_0-2} \cap \{y = y_0 - h\}$ (or $B_{R_0-2} \cap \{y = y_0 + h\}$), by the exponential decay of U_{12} we have

$$|v(x, y) - a_1 \text{ (or } a_2)| \leq Ke^{-kR_0^{\frac{1}{3}}}.$$

And for $(x, y) \in D_0 := A_{R_0-1, R_0-2} \cap \{y_0 - h \leq y \leq y_0 + h\}$, we take $v(x, y)$ to linearly interpolate in x between $v|_{\partial B_{R_0-1}}$ and $v|_{\partial B_{R_0-2}}$ for each y . Note that D_0 consists of two small regions with measure controlled by $O(R_0^{\frac{1}{3}})$. For $(x, y) \in D_+ := B_{R_0-1} \cap \{y_0 + h \leq y \leq y_0 + 2h\}$ and $(x, y) \in D_- := B_{R_0-1} \cap \{y_0 - 2h \leq y \leq y_0 - h\}$, let $v(x, y)$ linearly interpolate in y between $v(x, y_0 \pm 2h)$ and

$v(x, y_0 \pm h)$. See Figure 1 for an illustration for all these subdomains. We estimate energy of v :

$$E(v, \overline{B}_{R_0-2} \cap \{y_0 - h \leq y \leq y_0 + h\}) \leq \sigma * \mathcal{H}^1(P_1 P_2) \leq 2R_0 \sigma,$$

$$E(v, B_{R_0-1} \cap \{y \geq y_0 + 2h\} \cap \{y \leq y_0 - 2h\}) = 0,$$

$$E(v, D_0) \leq CH^2(D_0) \leq CR_0^{\frac{1}{3}},$$

$$E(v, D_{\pm}) \leq Ch \cdot \mathcal{H}^1(P_1 P_2) \cdot K^2 e^{-2kR_0^{\frac{1}{3}}} = o(R_0),$$

given R_0 chosen to be sufficiently large. Altogether,

$$E(v, B_{R_0-1}) \leq 2R_0 \sigma + o(R_0).$$

Utilizing the minimality of \tilde{u} in B_{R_0-1} and (4.24), we obtain

$$\int_{B_{R_0}} \left(\frac{1}{2} |\nabla \tilde{u}|^2 + W(\tilde{u}) \right) dz \leq 2R_0 \sigma + o(R_0),$$

which yields a contradiction with (3.12) when we select R_0 to be large enough. Therefore Case 2 is also eliminated and we get

$$Y_i \neq \emptyset, \quad \forall i \in \{1, 2, 3\}.$$

The proof of Lemma 4.1 is complete. \square

From Lemma 4.1, there are $z_1, z_2, z_3 \in \partial B_{R_0}$ such that

$$|u(z_i) - a_i| \leq \delta, \quad \forall i \in \{1, 2, 3\}.$$

Next we apply the same analysis for the two-phase scenario to obtain the existence of three arcs $\widehat{z_1^1 z_1^1}, \widehat{z_1^2 z_1^2}, \widehat{z_1^3 z_1^3}$ that satisfy the following properties,

- (1) $z_i \in \widehat{z_1^i z_1^i}$, for $i = 1, 2, 3$.
- (2) $|u(z_1^i) - a_i| \leq \delta, |u(z_r^i) - a_i| \leq \delta$, for $i = 1, 2, 3$.
- (3) $\widehat{z_1^1 z_1^1}, \widehat{z_1^2 z_1^2}, \widehat{z_1^3 z_1^3}$ are disjoint. For each $i \in \{1, 2, 3\}$, we have

$$z \in \widehat{z_1^i z_1^i} \Rightarrow |u(z) - a_j| > \delta, \quad \forall j \neq i.$$

- (4) Suppose $\widehat{z_1^1 z_1^2}, \widehat{z_1^2 z_1^3}, \widehat{z_1^3 z_1^1}$ are the three small arcs that separate the arcs $\widehat{z_1^1 z_1^1}, \widehat{z_1^2 z_1^2}$ and $\widehat{z_1^3 z_1^3}$. It holds that

$$|u(z) - a_i| > \delta, \quad \forall i \in \{1, 2, 3\}, \forall z \in \widehat{z_1^1 z_1^2} \cup \widehat{z_1^2 z_1^3} \cup \widehat{z_1^3 z_1^1},$$

And consequently,

$$C_1 \leq \mathcal{H}^1(\widehat{z_1^1 z_1^2} \cup \widehat{z_1^2 z_1^3} \cup \widehat{z_1^3 z_1^1}) \leq \frac{C_2}{\delta^2} \quad (4.25)$$

We introduce the following notations for convenience.

$$\begin{aligned}
 I_i &:= \widehat{z_r^i z_l^i} \text{ denotes the set of phase } a_i \text{ on } \partial B_{R_0}, i = 1, 2, 3; \\
 I_{12} &= \widehat{z_r^1 z_l^2}, I_{23} = \widehat{z_r^2 z_l^3}, I_{31} = \widehat{z_r^3 z_l^1} \text{ denote transitional arcs between phases,} \\
 d_1 &:= \min\{\mathcal{H}^1(I_{ij}), i \neq j \in \{1, 2, 3\}\}, \quad d_2 := \max\{\mathcal{H}^1(I_{ij}), i \neq j \in \{1, 2, 3\}\}.
 \end{aligned}$$

Then (4.25) implies

$$\frac{C_1}{3} \leq d_1 \leq d_2 \leq \frac{C_2}{\delta^2}. \tag{4.26}$$

By Property (2), there will be an approximate “phase transition” inside each of the separating arcs I_{12}, I_{23}, I_{31} , and the energy on these arcs is estimated by

$$\int_{I_{12} \cup I_{23} \cup I_{31}} \left(\frac{1}{2} |\partial_T u|^2 + W(u) \right) d\mathcal{H}^1 \geq 3\sigma - C\delta^2, \tag{4.27}$$

for some constant $C = C(W)$. Next we show that on each arc $I_i, u(z)$ will be uniformly close to a_i , with the distance controlled by $C\delta$.

Lemma 4.2. *There exists a constant C which only depends on W , such that for any $i \in \{1, 2, 3\}$ and $z \in I_i$, it holds that*

$$|u(z) - a_i| < C\delta.$$

Proof. Let C be a large constant to be determined later. Without loss of generality, we suppose by contradiction there exists $z^1 \in I_1$ such that $|u(z^1) - a_1| = C\delta$ and

$$|u(z^1) - a_1| = \max\{|u(z) - a_1|, z \in I_1\}.$$

We can choose suitable constants δ and C satisfying

$$C\delta < \delta_W, \tag{4.28}$$

where δ_W is the constant in Lemma 2.1. As a result, for any $z \in \widehat{z_r^1 z_l^1}$, it holds that

$$W(u(z)) \geq \frac{1}{2} c_W |u(z) - a_1|^2.$$

We compute

$$\begin{aligned}
 & \int_{\widehat{z_1^1 z_1^1}} \left(\frac{1}{2} |\partial_T u|^2 + W(u) \right) d\mathcal{H}^1 \\
 & \geq \int_{\widehat{z_1^1 z_1^1}} \left(\frac{1}{2} |\partial_T |u(z) - a_1||^2 + \frac{1}{2} c_W |u(z) - a_1|^2 \right) d\mathcal{H}^1 \\
 & \geq \int_{\widehat{z_1^1 z_1^1}} \left(\sqrt{c_W} \cdot \frac{1}{2} \partial_T (|u(z) - a_1|^2) \right) d\mathcal{H}^1 \\
 & \geq \frac{\sqrt{c_W}}{2} [(C\delta)^2 - \delta^2] \\
 & = \frac{\sqrt{c_W}(C^2 - 1)}{2} \delta^2.
 \end{aligned}$$

Combining this with (4.27), we can select a sufficiently large C (which does not affect (4.28) as we still have the freedom to choose δ) to obtain

$$\begin{aligned}
 & \int_{\partial B_{R_0}} \left(\frac{1}{2} |\partial_T u|^2 + W(u) \right) d\mathcal{H}^1 \\
 & \geq \int_{I_{12} \cup I_{23} \cup I_{31} \cup \widehat{z_1^1 z_1^1}} \left(\frac{1}{2} |\partial_T u|^2 + W(u) \right) d\mathcal{H}^1 \\
 & \geq 3\sigma + C(W)\delta^2,
 \end{aligned}$$

which yields a contradiction with (3.12), as ε can be chosen arbitrarily small in the beginning. This completes the proof of Lemma 4.2. \square

5 | REFINED ENERGY UPPER/LOWER BOUND IN B_{R_0}

We take A, B, C to be the midpoints of the arcs I_{12}, I_{13} and I_{23} , respectively. There exists a point $D \in \bar{B}_{R_0}$ such that $|DA| + |DB| + |DC|$ is minimized. It is well-known that if the triangle ABC possesses internal angles that are all less than $\frac{2\pi}{3}$, then D is the point in the interior of ABC such that

$$\angle ADB = \angle BDC = \angle ADC = \frac{2\pi}{3}.$$

However, if one angle, say $\angle BAC$ is greater than or equal to $\frac{2\pi}{3}$, then D coincide with the vertex A of this triangle.

We define the triod

$$T_{ABC} = DA \cup DB \cup DC.$$

Then we invoke the energy upper bound established in [11, formula (3.19)], which is written in the following proposition within our specific context.

Proposition 5.1 (Energy upper bound). *There exist $C > 0$ and $\alpha \in (0, 1)$, both of which are independent of ε and R_0 , such that when R_0 is sufficiently large, the following energy upper bound holds:*

$$\int_{B_{R_0}} \left(\frac{1}{2} |\nabla u|^2 + W(u) \right) dz \leq \sigma(|DA| + |DB| + |DC|) + CR_0^\alpha. \quad (5.29)$$

Proof. The proof follows essentially the proof of [11, Proposition 3.3] (Upper bound construction part), and therefore, it is omitted herein. We only briefly outline the core idea.

To construct an energy competitor \tilde{u} , we first define a well-behaved boundary data on ∂B_{R_1} such that \tilde{u} equals to a_i on the rescaled arc $\frac{R_1}{R_0} I_i$ for any $i = 1, 2, 3$, where $R_1 = R_0 - R_0^\alpha$. On the annulus A_{R_0, R_1} , let \tilde{u} linearly interpolate between $\tilde{u}|_{\partial B_{R_0}}$ and $\tilde{u}|_{\partial B_{R_1}}$ with the energy $E(\tilde{u}, A_{R_0, R_1})$ controlled by $C(R_0^\alpha + R_0^{1-\alpha})$. For the construction inside B_{R_1} , near the interface, say DA , we set $\tilde{u}(z) = U_{12}(d(z, DA))$ within a thin rectangle with a width of R_0^α and the long side parallel to DA . Here $d(\cdot)$ is the signed distance function. The energy within these rectangles will be approximately $\sigma(|DA| + |DB| + |DC|)$. These three thin rectangles partition B_{R_1} into three subdomains, within which we simply take \tilde{u} equal to phase a_i corresponding to the boundary data. Again, interpolations are required in a R^α -outer layer of the rectangles, with the energy being proved to be negligible. This construction parallels that of $v(x, y)$ in B_{R_0-1} for the upper bound estimate of Case 2 in the proof of Lemma 4.1.

We also mention that a similar approach to construct the energy competitor also appears in [3, Appendix A], for the special case when D coincides with the origin. \square

Corollary 5.2. *By selecting sufficiently small ε and sufficiently large R_0 in Section 3, we can guarantee that the point D is located in a small neighborhood of the origin, that is, $D \in B_{\tau R_0}$, where $\tau = \min\{\frac{1}{100}, \frac{C_1}{20}\}$ for the constant C_1 in (4.25). Furthermore, it follows that D locates in the interior of the triangle ABC and $\angle ADB = \angle BDC = \angle ADC = \frac{2\pi}{3}$.*

Remark 5.1. We will explain the choice of τ in the proof of Proposition 5.3.

Proof. Assume by contradiction $D \notin B_{\tau R_0}$, then an elementary calculation implies there exists a positive constant $\mu \sim O(\tau^2)$ such that

$$|DA| + |DB| + |DC| < (3 - \mu)R_0.$$

This estimate, together with (5.29), yields a contradiction with the lower bound in (3.13) provided R_0 is sufficiently large. \square

Next we establish the lower bound for $E(u, B_{R_0})$.

Proposition 5.3. *There exists a constant $C(W)$ such that*

$$\int_{B_{R_0}} \left(\frac{1}{2} |\nabla u|^2 + W(u) \right) dz \geq \sigma(|DA| + |DB| + |DC|) - CR_0^{\frac{2}{3}}. \quad (5.30)$$

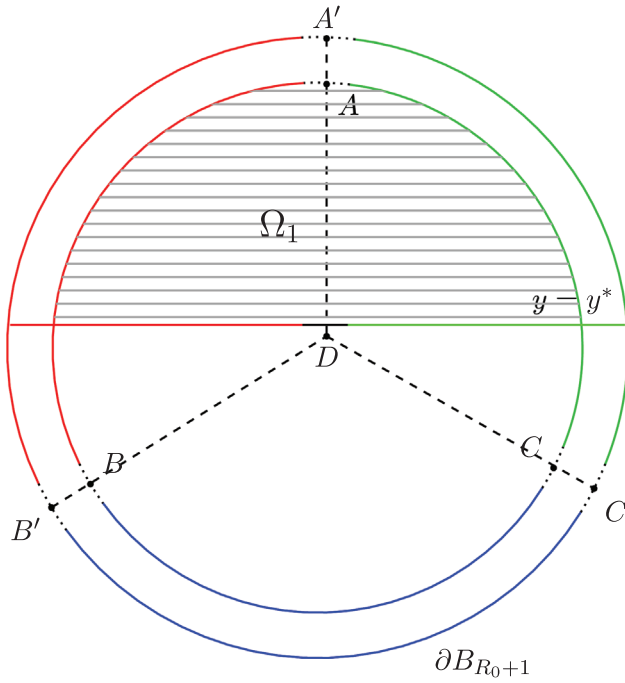


FIGURE 2 Triod T_{ABC} with the center D close to origin, and its extension to $T_{A'B'C'} \in B_{R_0+1}$.

Proof. Up to a possible rotation, we assume \overrightarrow{DA} is parallel to the positive y -axis, \overrightarrow{DB} is parallel to the vector $(-\sqrt{3}, -1)$ and \overrightarrow{DC} is parallel to the vector $(\sqrt{3}, -1)$, as shown in Figure 2. We set

$$A = (x_A, y_A), B = (x_B, y_B), C = (x_C, y_C), D = (x_D, y_D).$$

By Corollary 5.2,

$$|OD| = \sqrt{x_D^2 + y_D^2} < \tau R_0, \quad \text{for } \tau = \min\left\{\frac{1}{100}, \frac{C_1}{20}\right\}.$$

Without loss of generality we assume that

$$x_D = x_A \geq 0,$$

and therefore by simple geometry it holds that

$$y_C \geq y_B.$$

Now we define an extension \tilde{u} of $u|_{B_{R_0}}$ to a larger ball B_{R_0+1} , which satisfies a simpler boundary condition on ∂B_{R_0+1} . We set $\tilde{I}_i, \tilde{I}_{ij}$ as the image of arcs I_i, I_{ij} under the homothetic transformation: $z \rightarrow \frac{R_0+1}{R_0}z$, that is,

$$\tilde{I}_i := \{z \in \partial B_{R_0+1} : \frac{R_0}{R_0+1}z \in I_i\}, \quad \forall i \in \{1, 2, 3\},$$

$$\tilde{I}_{ij} := \{z \in \partial B_{R_0+1} : \frac{R_0}{R_0+1}z \in I_{ij}\}, \quad \forall i \neq j \in \{1, 2, 3\}.$$

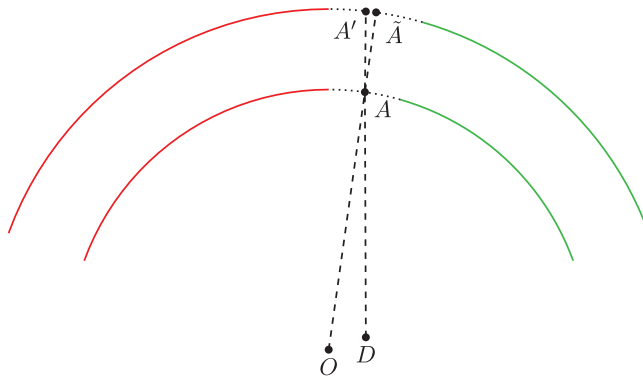


FIGURE 3 A' locates within \tilde{I}_{12} .

The boundary value for \tilde{u} on ∂B_{R_0+1} is given by

$$\tilde{u}(z) = \begin{cases} a_i, & z \in \tilde{I}_i, \\ \text{smooth connection of } a_i, a_j, & z \in \tilde{I}_{ij}. \end{cases} \tag{5.31}$$

We define \tilde{u} in the annulus A_{R_0+1,R_0} by linearly interpolating between $\tilde{u}|_{\partial B_{R_0+1}}$ and $u|_{\partial B_{R_0}}$,

$$\tilde{u}(r, \theta) = (r - R_0)\tilde{u}(R_0 + 1, \theta) + (R_0 + 1 - r)u(R_0, \theta), \quad r \in (R_0, R_0 + 1).$$

Following the same computation as in the previous estimate of energy for the two-phase boundary data (see Case 2 in the proof of Lemma 4.1), we can obtain the following upper bound for the energy in A_{R_0+1,R_0} :

$$\int_{A_{R_0+1,R_0}} \left(\frac{1}{2} |\nabla \tilde{u}|^2 + W(\tilde{u}) \right) dz \leq C(\delta, W).$$

Consequently, in order to get (5.30), it suffices to prove

$$\int_{B_{R_0+1}} \left(\frac{1}{2} |\nabla \tilde{u}|^2 + W(\tilde{u}) \right) dz \geq \sigma(|DA| + |DB| + |DC|) - CR_0^{\frac{2}{3}}, \tag{5.32}$$

with the boundary value (5.31). It follows from Corollary 5.2 that \tilde{u} equals to a_1, a_2, a_3 , respectively on three arcs of ∂B_{R_0+1} with the arc angle close to $\frac{2\pi}{3}$. Moreover, these arcs are separated by small arcs of size $\frac{C}{\delta^2}$ due to the estimate (4.25).

Next we prove the lower bound (5.32). The argument essentially follows the proof of [3, Proposition 3.1], with necessary adjustments tailored to accommodate the scenario where D is not the origin. Firstly, we extend DA to intersect with ∂B_{R_0+1} at A' . We claim that $A' \in \tilde{I}_{12}$. Take \tilde{A} to be the midpoint of \tilde{I}_{12} (\tilde{A} is the intersection of the ray \overrightarrow{OA} and ∂B_{R_0+1}). As illustrated in Figure 3, it follows from Corollary 5.2 and (4.26) that

$$|A'\tilde{A}| \leq |OD| \cdot \frac{2}{R_0} \leq 2\tau \leq \frac{C_1}{10} \leq \frac{3d_1}{10}.$$

which implies that $A' \in \tilde{I}_{12}$ since $\mathcal{H}^1(\tilde{I}_{12}) \geq d_1$. Similarly, we extend DB, DC to intersect with ∂B_{R_0+1} at $B' \in \tilde{I}_{13}$ and $C' \in \tilde{I}_{23}$, respectively.

The coordinates for A', B', C' are denoted as

$$A' = (x'_A, y'_A), \quad B' = (x'_B, y'_B), \quad C' = (x'_C, y'_C),$$

and satisfy

$$\begin{aligned} x'_A &= x_D = x_A, & y'_C &\geq y'_B, \\ \frac{y'_B - y_D}{x'_B - x_D} &= \frac{1}{\sqrt{3}}, & \frac{y'_C - y_D}{x'_C - x_D} &= -\frac{1}{\sqrt{3}}. \end{aligned}$$

For any $y \in (y'_C, R_0 + 1)$, we define the horizontal line segment γ_y and functions $\lambda_1(y), \lambda_2(y)$ and $\lambda_3(y)$ as follows.

$$\gamma_y := \{(x, y) : x \in \mathbb{R}\} \cap B_{R_0+1},$$

$$\lambda_i(y) := \mathcal{H}^1(\gamma_y \cap \{| \tilde{u}(x, y) - a_i | \leq R_0^{-\frac{1}{6}}\}), \quad i \in \{1, 2, 3\}.$$

By the boundary condition on ∂B_{R_0+1} we know for any $y \in [y'_C + d_2, y'_A - d_2]$, it holds that $\lambda_1(y) > 0$ and $\lambda_2(y) > 0$.

We set

$$y^* := \min\{y \in [y'_C + d_2, R_0 + 1] : \lambda_1(y) + \lambda_2(y) \geq \mathcal{H}^1(\gamma_y) - R_0^{\frac{2}{3}}\}, \quad (5.33)$$

$$\zeta(x) := \min\{y^*, \sqrt{(R_0 + 1)^2 - x^2}\},$$

$$K := \{x \in [x'_B + d_2, x'_C - d_2] : |\tilde{u}(x, \zeta(x)) - a_i| < R_0^{-\frac{1}{6}}, i = 1 \text{ or } 2\},$$

$$L := \{y \in [y'_C + d_2, y^*] : \lambda_3(y) > 0\},$$

$$\Omega_1 := \{z = (x, y) \in B_{R_0+1} : y \geq y^*\},$$

$$\Omega_2 := \{z = (x, y) \in B_{R_0+1} : y < y^*\}.$$

Note that when $(R_0 + 1)^2 - y^2 < \frac{R_0^{\frac{4}{3}}}{4}$, $\mathcal{H}^1(\gamma_{y^*}) - R_0^{\frac{2}{3}} < 0$, and therefore the set on which we minimize to get y^* is not empty. We will examine two scenarios based on the value of y^* . Additionally, we can bound the measure of K from below by the definition of y^* and the boundary constraint $\tilde{u}|_{\partial B_{R_0+1}}$:

$$\mathcal{H}^1(K) \geq x'_C - x'_B - 2d_2 - R_0^{\frac{2}{3}}. \quad (5.34)$$

Case 1. $y^* < y'_A - d_2$.

For any $y \in [y^*, y'_A - d_2]$, the horizontal line γ_y intersects ∂B_{R_0+1} at two points, where \tilde{u} takes the value a_1 and a_2 , respectively. The one dimensional energy estimate Lemma 2.2 yields

$$\int_{\gamma_y} \left(\frac{1}{2} |\partial_x \tilde{u}|^2 + W(\tilde{u}) \right) dx \geq \sigma.$$

Integrating along the vertical direction implies

$$\int_{\Omega_1} \left(\frac{1}{2} |\partial_x \tilde{u}|^2 + W(\tilde{u}) \right) dz \geq \sigma(y'_A - y^* - d_2) \geq \sigma(y'_A - y^*) - C(\delta). \quad (5.35)$$

On the domain Ω_2 , we claim that there exists a constant C such that

$$\mathcal{H}^1([y'_C + d_2, y^*] \setminus L) < CR_0^{\frac{2}{3}}.$$

Indeed, we set

$$S := \{y \in [y'_C + d_2, y^*] : \lambda_3(y) = 0\} = [y'_C + d_2, y^*] \setminus L.$$

For $y \in S$, the definitions of y^* and S imply that $\lambda_1(y) + \lambda_2(y) + \lambda_3(y) < \mathcal{H}^1(\gamma_y) - R_0^{\frac{2}{3}}$, or equivalently

$$\mathcal{H}^1(\{x \in [-\sqrt{(R_0 + 1)^2 - y^2}, \sqrt{(R_0 + 1)^2 - y^2}] : |\tilde{u}(x, y) - a_i| > R_0^{-\frac{1}{6}}, \forall i\}) > R_0^{\frac{2}{3}}.$$

From the energy upper bound (5.29) and Lemma 2.1 we have that

$$\begin{aligned} 4R_0 &\geq \int_S \int_{\gamma_y} W(\tilde{u}) dx dy \geq \mathcal{H}^1(S) \cdot \left(\frac{1}{2} c_W R_0^{-\frac{1}{3}} \right) \cdot R_0^{\frac{2}{3}} \\ &\Rightarrow \mathcal{H}^1(S) \leq CR_0^{\frac{2}{3}}, \end{aligned}$$

for some constant C depending on W . The claim is established.

Next we want to estimate the energy in Ω_2 in both vertical and horizontal directions. We split the potential $W(\tilde{u})$ as

$$W(\tilde{u}) = \sin^2 \theta W(\tilde{u}) + \cos^2 \theta W(\tilde{u}),$$

for some $\theta \in [0, \frac{\pi}{2}]$ to be determined.

For $x \in K$, $A(x) = (x, \zeta(x))$ and $B(x) = (x, -\sqrt{(R_0 + 1)^2 - x^2})$ are the two intersection points of the vertical line $\{(x, y) : y \in \mathbb{R}\}$ with $\partial\Omega_2$. We have $\tilde{u}(B(x)) = a_3$ by the boundary condition and $|\tilde{u}(A(x)) - a_i| \leq R_0^{-\frac{1}{6}}$ for $i = 1$ or 2 by the definition of K . Applying Lemma 2.2 and then integrating with respect to x yields

$$\begin{aligned} &\int_{\Omega_2} \left(\frac{1}{2} |\partial_y \tilde{u}|^2 + \sin^2 \theta W(\tilde{u}) \right) dz \\ &\geq \sin \theta \int_{x \in K} \int_{-\sqrt{(R_0+1)^2-x^2}}^{\zeta(x)} \left(\frac{1}{2 \sin \theta} |\partial_y \tilde{u}|^2 + \sin \theta W(\tilde{u}) \right) dy dx \\ &\geq \sin \theta (x'_C - x'_B - 2d_2 - R_0^{\frac{2}{3}}) (\sigma - CR_0^{-\frac{1}{3}}) \\ &\geq \sin \theta \left[(x'_C - x'_B) \sigma - CR_0^{\frac{2}{3}} \right]. \end{aligned} \quad (5.36)$$

Here we utilize $x'_C - x'_B \sim \sqrt{3}R_0$ and $d_2 \leq \frac{C}{\delta^2} \ll R_0^{\frac{2}{3}}$ to derive the last inequality.

By definition, for any $y \in L$,

$$\tilde{u}(-\sqrt{(R_0+1)^2 - y^2}, y) = a_1, \quad \tilde{u}(\sqrt{(R_0+1)^2 - y^2}, y) = a_2,$$

$$\exists (x_0, y) \in \gamma_y, \text{ such that } |\tilde{u}(x_0, y) - a_3| \leq R_0^{-\frac{1}{6}},$$

which implies that there are approximately two phase transitions along γ_y . Thus we can estimate

$$\begin{aligned} & \int_{\Omega_2 \cap \{y \in L\}} \left(\frac{1}{2} |\partial_x \tilde{u}|^2 + \cos^2 \theta W(\tilde{u}) \right) dz \\ & \geq \cos \theta \int_{y \in L} \int_{\gamma_y} \left(\frac{1}{2 \cos \theta} |\partial_x \tilde{u}|^2 + \cos \theta W(\tilde{u}) \right) dx dy \\ & = \cos \theta \int_{y \in L} \left\{ \int_{-\sqrt{(R_0+1)^2 - y^2}}^{x_0} + \int_{x_0}^{\sqrt{(R_0+1)^2 - y^2}} \right\} \left(\frac{1}{2 \cos \theta} |\partial_x \tilde{u}|^2 + \cos \theta W(u) \right) dx \quad (5.37) \\ & \geq \cos \theta \cdot (y^* - y'_C - d_2 - CR_0^{\frac{2}{3}}) \cdot (2\sigma - CR_0^{-\frac{1}{3}}) \\ & \geq 2 \cos \theta (y^* - y'_C) \sigma - CR_0^{\frac{2}{3}}, \end{aligned}$$

where the last inequality follows from $y^* - y'_C < 2R_0$ and $d_2 \ll R_0^{\frac{2}{3}}$.

In case $y'_B + d_2 \geq y'_C - d_2$, we directly proceed with the above estimate (5.37) to derive (5.39). Otherwise, if $y'_B + d_2 < y'_C - d_2$, for any $y \in (y'_B + d_2, y'_C - d_2)$, γ_y will intersect with ∂B_{R_0+1} at two points where \tilde{u} equals to a_1, a_3 , respectively. We have that

$$\begin{aligned} & \int_{\Omega_2 \cap \{y \in L\}} \left(\frac{1}{2} |\partial_x \tilde{u}|^2 + \cos^2 \theta W(\tilde{u}) \right) dx dy \\ & \geq \cos \theta \int_{y'_B + d_2}^{y'_C - d_2} \int_{\gamma_y} \left(\frac{1}{2 \cos \theta} |\partial_x \tilde{u}|^2 + \cos \theta W(\tilde{u}) \right) dx dy \quad (5.38) \\ & = \cos \theta (y'_C - y'_B - 2d_2) \sigma \\ & \geq \cos \theta (y'_C - y'_B) \sigma - C. \end{aligned}$$

Adding (5.37) and (5.38) gives

$$\int_{\Omega_2} \left(\frac{1}{2} |\partial_x \tilde{u}|^2 + \cos^2 \theta W(\tilde{u}) \right) dz \geq \cos \theta (2y^* - y'_B - y'_C) - CR_0^{\frac{2}{3}}. \quad (5.39)$$

This, together with (5.36), implies that

$$\int_{\Omega_2} \left(\frac{1}{2} |\nabla \tilde{u}|^2 + W(\tilde{u}) \right) dz \geq [\sin \theta (x'_C - x'_B) + \cos \theta (2y^* - y'_B - y'_C)] \sigma - CR_0^{\frac{2}{3}},$$

holds for any $\theta \in [0, \frac{\pi}{2}]$. Taking $\theta = \arctan \frac{x'_C - x'_B}{2y^* - y'_B - y'_C}$ to maximize the right-hand side, we obtain

$$\int_{\Omega_2} \left(\frac{1}{2} |\nabla \tilde{u}|^2 + W(\tilde{u}) \right) dz \geq \sigma \cdot \sqrt{(x'_C - x'_B)^2 + (2y^* - y'_B - y'_C)^2} - CR_0^{\frac{2}{3}}. \quad (5.40)$$

Combining (5.35) and (5.40) gives that

$$\begin{aligned} & \int_{B_{R_0+1}} \left(\frac{1}{2} |\nabla \tilde{u}|^2 + W(\tilde{u}) \right) dz \\ & \geq \sigma \left[(y'_A - y^*) + \sqrt{(x'_C - x'_B)^2 + (2y^* - y'_B - y'_C)^2} \right] - CR_0^{\frac{2}{3}}. \end{aligned} \quad (5.41)$$

We are left with solving the following minimization problem

$$\begin{aligned} & \min (y'_A - y^*) + \sqrt{(x'_C - x'_B)^2 + (2y^* - y'_B - y'_C)^2}, \\ & \text{subject to } y^* \in (y'_C + d_2, y'_A - d_2). \end{aligned} \quad (5.42)$$

Direct calculation shows that

$$\min \left\{ (y'_A - y^*) + \sqrt{(x'_C - x'_B)^2 + (2y^* - y'_B - y'_C)^2} \right\} = |DA'| + |DB'| + |DC'|,$$

and the minimum is reached at

$$y^* = y_D.$$

The calculation is elementary and provided in Appendix A. Therefore (5.30) holds for the case $y^* < y'_A - d_2$.

Case 2. $y^* \geq y'_A - d_2$. We show that in this case the energy is strictly larger than $\sigma(|DA'| + |DB'| + |DC'|)$. Split

$$W(\tilde{u}) = \sin^2 \theta W(\tilde{u}) + \cos^2 \theta W(\tilde{u}), \text{ for some } \theta \in (0, \frac{\pi}{2}).$$

By the boundary data we have

$$\begin{aligned} \tilde{u}(x, \sqrt{(R_0 + 1)^2 - x^2}) &= a_1, \quad \tilde{u}(x, -\sqrt{(R_0 + 1)^2 - x^2}) = a_3, \quad \forall x \in [x_{B'} + d_2, x'_A - d_2], \\ \tilde{u}(x, \sqrt{(R_0 + 1)^2 - x^2}) &= a_2, \quad \tilde{u}(x, -\sqrt{(R_0 + 1)^2 - x^2}) = a_3, \quad \forall x \in [x'_A + d_2, x'_C - d_2], \end{aligned}$$

which allows us to estimate the energy in the vertical direction,

$$\begin{aligned} & \int_{B_{R_0+1}} \left(\frac{1}{2} |\partial_y \tilde{u}|^2 + \sin^2 \theta W(\tilde{u}) \right) dz \\ & \geq \int_{x_{B'}+d_2}^{x'_A-d_2} \sigma \sin \theta dx + \int_{x_{A'}+d_2}^{x'_C-d_2} \sigma \sin \theta dx \\ & \geq \sigma \sin \theta (x'_C - x'_B) - C. \end{aligned} \tag{5.43}$$

Applying the same argument as in the claim of Case 1, we have that most of $y \in [y'_C + d_2, y'_A - d_2]$ belong to L , more precisely,

$$H^1([y'_C + d_2, y'_A - d_2] \setminus L) < CR_0^{\frac{2}{3}}.$$

For $y \in L \cap [y'_C + d_2, y'_A - d_2]$, by definition there are two phase transitions: from a_1 to a_3 and from a_3 to a_2 . We perform a similar computation as in (5.37) to obtain

$$\begin{aligned} & \int_{B_{R_0+1} \cap \{y \in L\}} \left(\frac{1}{2} |\partial_x \tilde{u}|^2 + \cos^2 \theta W(\tilde{u}) \right) dx dy \\ & \geq \cos \theta \int_{y \in L \cap [y'_C + d_2, y'_A - d_2]} \int_{\gamma_y} \left(\frac{1}{2 \cos \theta} |\partial_x \tilde{u}|^2 + \cos \theta W(\tilde{u}) \right) dx dy \\ & \geq 2 \cos \theta (y'_A - y'_C) \sigma - CR_0^{\frac{2}{3}}. \end{aligned} \tag{5.44}$$

Adding (5.43) and (5.44) and maximizing with respect to θ yield

$$\begin{aligned} \int_{B_{R_0+1}} \left(\frac{1}{2} |\nabla \tilde{u}|^2 + W(\tilde{u}) \right) dz & \geq \sigma \sqrt{(x'_C - x'_B)^2 + 4(y'_A - y'_C)^2} - CR_0^{\frac{2}{3}} \\ & \geq \frac{49}{50} 2\sqrt{3}R_0\sigma. \end{aligned} \tag{5.45}$$

where the last line follows from Corollary 5.2 which implies that $x'_C - x'_B \geq (1 - 2\tau)\sqrt{3}R_0$ and $y'_A - y'_C \geq (1 - 2\tau)\frac{3R_0}{2}$. Note that

$$|DA'| + |DB'| + |DC'| \leq 3R_0 + 3,$$

which together with (5.45) leads to

$$\int_{B_{R_0+1}} \left(\frac{1}{2} |\nabla \tilde{u}|^2 + W(\tilde{u}) \right) dz > \sigma(|DA'| + |DB'| + |DC'|).$$

This completes the proof of Proposition 5.3. □

At the end of this section, we will derive that y^* is not far from y_D . Set

$$\beta := 1 - \min \left\{ \frac{1}{6}, \frac{1 - \alpha}{2} \right\} \in (0, 1), \tag{5.46}$$

where $\alpha \in (0, 1)$ is the constant in Proposition 5.1. Then we have the following lemma:

Lemma 5.4. *There is a constant C depending on W , such that*

$$|y^* - y_D| \leq CR_0^\beta,$$

where y^* is defined in (5.33).

Proof. By examining the proof of Proposition 5.3, we have

$$\int_{B_{R_0+1}} \left(\frac{1}{2} |\nabla \tilde{u}|^2 + W(\tilde{u}) \right) dz \leq \int_{B_{R_0}} \left(\frac{1}{2} |\nabla \tilde{u}|^2 + W(\tilde{u}) \right) dz + C(\delta, W).$$

This together with the upper bound (5.29) and (5.41) implies

$$\begin{aligned} \sigma \left[(y'_A - y^*) + \sqrt{(x'_C - x'_B)^2 + (2y^* - y'_B - y'_C)^2} \right] \\ \leq \sigma(|DA| + |DB| + |DC|) + CR_0^\alpha + CR_0^{\frac{2}{3}}. \end{aligned} \quad (5.47)$$

From Appendix A, the left-hand side attains its minimum value $\sigma(|DA'| + |DB'| + |DC'|)$ when $y^* = y_D$. When the functional is perturbed by a small quantity $R_0^\alpha + R_0^{\frac{2}{3}}$, it is straightforward to show that y^* can be perturbed away from y_D by an amount no greater than CR_0^β , where β is defined by (5.46). \square

6 | LOCALIZATION OF THE DIFFUSE INTERFACE

We insist on the choice of A, B, C, D at the beginning of Section 5, and assume without loss of generality that \overrightarrow{DA} is parallel to the positive y -axis. Then the triod defined by

$$T_{ABC} = DA \cup DB \cup DC$$

divides B_{R_0} into three regions:

D_1 := the region enclosed by $DA, DB, \partial B_{R_0}$;

D_2 := the region enclosed by $DA, DC, \partial B_{R_0}$;

D_3 := the region enclosed by $DB, DC, \partial B_{R_0}$.

For any $\gamma > 0$, we define the diffuse interface I_γ by

$$I_\gamma := \{z : |u(z) - a_i| \geq \gamma, \forall i = 1, 2, 3\}.$$

From now on we fix $\gamma = 2C\delta$, where $C = C(W)$ is the constant in Lemma 4.2 which measures the closeness of u to a_i on arc I_i . Note that C is independent of δ , which allows γ to be arbitrarily small by choosing suitably small δ . The main result of this section is the following proposition which states that I_γ is contained in a $O(R_0^\beta)$ neighborhood of T_{ABC} .

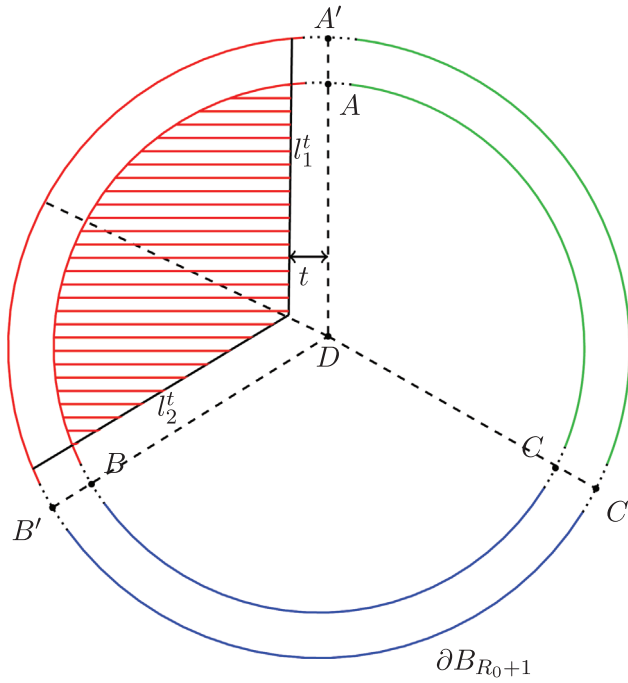


FIGURE 4 Definition of l_1^t, l_2^t . In the red shaded region, $|u(z) - a_1| \leq \gamma$.

Proposition 6.1. *There exists a constant $C = C(\gamma, W)$, such that for sufficiently small ε and associated R_0 , it holds that*

$$I_\gamma \cap B_{R_0} \subset N_{CR_0^\beta}(T_{ABC}) := \{z \in B_{R_0} : \text{dist}(z, T_{ABC}) \leq CR_0^\beta\}. \quad (6.48)$$

Moreover, there are positive constants $K = K(M, W)$ and $k = k(W)$ such that

$$|u(z) - a_i| \leq Ke^{-k(\text{dist}(z, \partial D_i) - CR_0^\beta)^+}, \quad z \in D_i, \quad i = 1, 2, 3, \quad (6.49)$$

where $(a)^+ = \max\{a, 0\}$.

Proof. Let C_0 be the constant in Lemma 5.4 and \tilde{u} be defined as the extension of $u|_{B_{R_0}}$ onto B_{R_0+1} in the proof of Proposition 5.3.

For any $t \in [0, \frac{1}{2}R_0]$, we define the line segments (see Figure 4)

$$\begin{aligned} l_1^t &:= \{(x_D - t, y) : \frac{y - y_D}{t} \geq \frac{\sqrt{3}}{3}\} \cap \bar{B}_{R_0+1}, \\ l_2^t &:= \{(x, y) : \frac{y - (y_D + \frac{\sqrt{3}}{3}t)}{x - (x_D - t)} = \frac{\sqrt{3}}{3}, x \leq x_D - t\} \cap \bar{B}_{R_0+1}. \end{aligned} \quad (6.50)$$

From Lemma (5.4), when $t \geq \sqrt{3}C_0R_0^\beta$, we have that $l_1^t \subset \bar{\Omega}_1$, for Ω_1 defined by $B_{R_0+1} \cap \{y \geq y^*\}$.

We set

$$\mathcal{A} := \{t : t \in [\sqrt{3}C_0R_0^\beta, \frac{1}{2}R_0], \max_{z \in I_1^t} |\tilde{u}(z) - a_1| > \gamma\}.$$

If $\mathcal{A} = \emptyset$ then we trivially get (6.53). Now suppose $\mathcal{A} \neq \emptyset$, we show the measure of \mathcal{A} is $O(R_0^\beta)$. The proof relies on the part of the lower bound over Ω_1 derived in (5.35) only considers the horizontal gradient, thereby allowing for the addition of vertical displacement to provide improvement. See [1, Lemma 4.3] for a similar idea.

For any $t \in \mathcal{A}$, there exists a point $z_t \in I_1^t$ such that

$$|\tilde{u}(z_t) - a_1| > \gamma.$$

By the boundary data on ∂B_{R_0+1} ,

$$\tilde{u}(x_D - t, \sqrt{(R_0 + 1)^2 - (x_D - t)^2}) = a_1.$$

Thus there exists a constant $C_1 := C_1(\gamma, W)$ such that

$$\int_{y_D + \frac{\sqrt{3}t}{3}}^{\sqrt{(R_0+1)^2 - (x_D-t)^2}} \left(\frac{\lambda}{2} |\partial_y \tilde{u}(x_D - t, y)|^2 + \frac{1}{\lambda} W(\tilde{u}(x_D - t, y)) \right) dy \geq C_1, \quad \forall \lambda > 0. \tag{6.51}$$

Set

$$\kappa := \frac{H^1(\mathcal{A})C_1}{\sigma(y'_A - y^*)}.$$

We compute the energy on Ω_1 :

$$\begin{aligned} & \int_{\Omega_1} \left(\frac{1}{2} |\nabla \tilde{u}|^2 + W(\tilde{u}) \right) dx dy \\ &= \int_{\Omega_1} \left(\frac{1}{2} |\partial_x \tilde{u}|^2 + \frac{1}{1 + \kappa^2} W(\tilde{u}) \right) dx dy \\ & \quad + \int_{\Omega_1} \left(\frac{1}{2} |\partial_y \tilde{u}|^2 + \frac{\kappa^2}{1 + \kappa^2} W(\tilde{u}) \right) dy dx \\ &\geq \frac{1}{\sqrt{1 + \kappa^2}} \int_{\Omega_1} \left(\frac{\sqrt{1 + \kappa^2}}{2} |\partial_x \tilde{u}|^2 + \frac{1}{\sqrt{1 + \kappa^2}} W(\tilde{u}) \right) dx dy \\ & \quad + \frac{\kappa}{\sqrt{1 + \kappa^2}} \int_{\Omega_1} \left(\frac{\sqrt{1 + \kappa^2}}{2\kappa} |\partial_y \tilde{u}|^2 + \frac{\kappa}{\sqrt{1 + \kappa^2}} W(\tilde{u}) \right) dy dx \\ &\geq \frac{1}{\sqrt{1 + \kappa^2}} \sigma(y'_A - y^* - d_2) \\ & \quad + \frac{\kappa}{\sqrt{1 + \kappa^2}} \int_{\mathcal{A}} \int_{I_1^t} \left(\frac{\sqrt{1 + \kappa^2}}{2\kappa} |\partial_y \tilde{u}|^2 + \frac{\kappa}{\sqrt{1 + \kappa^2}} W(\tilde{u}) \right) dy dx \end{aligned}$$

$$\begin{aligned}
&\geq \frac{1}{\sqrt{1+\kappa^2}}\sigma(y'_A - y^*) + \frac{\kappa}{\sqrt{1+\kappa^2}}\mathcal{H}^1(\mathcal{A})C_1 - C(\delta) \\
&= \sqrt{\sigma^2(y'_A - y^*)^2 + (C_1\mathcal{H}^1(\mathcal{A}))^2} - C(\delta).
\end{aligned} \tag{6.52}$$

This, together with (5.40), updates the total energy in B_{R_0+1} :

$$\begin{aligned}
&\int_{B_{R_0+1}} \left(\frac{1}{2}|\nabla\tilde{u}|^2 + W(\tilde{u}) \right) dz \\
&\geq \sqrt{\sigma^2(y'_A - y^*)^2 + (C_1\mathcal{H}^1(\mathcal{A}))^2} + \sigma\sqrt{(x'_C - x'_B)^2 + (2y^* - y'_B - y'_C)^2} - CR_0^{\frac{2}{3}} \\
&\geq \sigma \left[(y'_A - y^*) + \sqrt{(x'_C - x'_B)^2 + (2y^* - y'_B - y'_C)^2} \right] - CR_0^{\frac{2}{3}} + \frac{(C_1\mathcal{H}^1(\mathcal{A}))^2}{2\sigma(y'_A - y^*)} \\
&\geq \sigma(|DA'| + |DB'| + |DC'|) - CR_0^{\frac{2}{3}} + \frac{(C_1\mathcal{H}^1(\mathcal{A}))^2}{2\sigma(y'_A - y^*)}.
\end{aligned}$$

Here $-C(\delta)$ in (6.52) is absorbed by $-CR_0^{\frac{2}{3}}$ as R_0 can be chosen arbitrarily large and δ is a constant only depending on W . Combining this with the upper bound (5.29) implies

$$\frac{(C_1\mathcal{H}^1(\mathcal{A}))^2}{2\sigma(y'_A - y^*)} \leq C(R_0^{\frac{2}{3}} + R_0^\alpha) \Rightarrow \mathcal{H}^1(\mathcal{A}) \leq C_2(\gamma, W)R_0^\beta. \tag{6.53}$$

We define

$$\mathcal{B} := \{t : t \in [\sqrt{3}C_0R_0^\beta, \frac{1}{2}R_0], \max_{z \in I_2^t} |\tilde{u}(z) - a_1| > \gamma\}. \tag{6.54}$$

An analogous computation implies

$$\mathcal{H}^1(\mathcal{B}) \leq C_2(\gamma, W)R_0^\beta. \tag{6.55}$$

Set

$$C_3(\gamma, W) := \sqrt{3}C_0 + 3C_2.$$

From (6.53) and (6.55) it follows that there exists $t_0 \in [\sqrt{3}C_0R_0^\beta, C_3R_0^\beta]$ (note that R_0 is chosen to be large enough so that $C_3R_0^\beta < \frac{1}{2}R_0$) such that

$$|\tilde{u}(z) - a_1| \leq \gamma, \quad \forall z \in I_1^{t_0} \cup I_2^{t_0}.$$

Let $\mathcal{D}_{1,\gamma} \subset \mathcal{D}_1$ denote the region enclosed by $I_1^{t_0}, I_2^{t_0}$ and ∂B_{R_0} . By Lemma 4.2, we have

$$|u(z) - a_1| \leq \gamma, \quad \forall z \in \partial\mathcal{D}_{1,\gamma}.$$

Here we write u instead of \tilde{u} because $u = \tilde{u}$ on \bar{B}_{R_0} .

By the variational maximum principle (see Lemma 2.3), given γ (or equivalently, δ) small enough, we conclude that

$$|u(z) - a_1| \leq \gamma \text{ on } D_{1,\gamma}. \quad (6.56)$$

This further implies that the diffuse interface $I_\gamma \cap D_1$ is contained in a $C_3 R_0^\beta$ neighborhood of T . The same argument works for $I_\gamma \cap D_j$ for $j = 2, 3$ and we conclude the proof of the first part (6.48).

Utilizing (6.56), hypothesis (H1) and the comparison principle for elliptic equations, the exponential decay estimate (6.49) follows (cf. [2, Proposition 6.4]), which completes the proof. \square

7 | RESCALING TO THE UNIT DISK B_1

In the following two sections, we initially choose a small δ , ensuring that the specified smallness criteria for δ in the proofs of Lemma 4.2 and Proposition 6.1 are met. The choice of δ depends only on the potential W . Subsequently we determine a possibly even smaller ε and the associated $R_0(\varepsilon) \in (R(\varepsilon), 2R(\varepsilon))$ (see Section 3 for the selection of $R_0(\varepsilon)$), ensuring all energy bounds established in Sections 3, 5, and 6 are satisfied, and also fulfilling the requirements on the largeness of R_0 in the proofs of Lemma 4.1 and Lemma 4.2. Also it is noteworthy that for any $R > R(\varepsilon)$, there exists $R_0 \in (R, 2R)$ such that all the results remain valid. Then we consider the rescalings

$$\begin{aligned} u_{R_0}(z) &:= u(R_0 z), \text{ for } z \in \overline{B_1}, \\ \tilde{A} &:= \left(\frac{x_A}{R_0}, \frac{y_A}{R_0} \right), \\ \tilde{B} &:= \left(\frac{x_B}{R_0}, \frac{y_B}{R_0} \right), \\ \tilde{C} &:= \left(\frac{x_C}{R_0}, \frac{y_C}{R_0} \right), \\ \tilde{D} &:= \left(\frac{x_D}{R_0}, \frac{y_D}{R_0} \right), \\ I_{R_0}^i &:= \{z \in \partial B_1 : R_0 z \in I_i\}, \quad i = 1, 2, 3, \\ D_{R_0}^i &:= \{z \in B_1 : R_0 z \in D_i\}, \quad i = 1, 2, 3, \\ T_{R_0} &:= \tilde{D}\tilde{A} \cap \tilde{D}\tilde{B} \cap \tilde{D}\tilde{C}. \end{aligned}$$

From Section 4 and Proposition 6.1 we have the following properties:

(1) On ∂B_1 ,

$$|u_{R_0}(z) - a_1| \leq C\delta, \quad \forall z \in I_{R_0}^i, \quad i = 1, 2, 3,$$

where the constant C only depends on W .

(2) The diffuse interface is contained in an $O(R_0^{\beta-1})$ neighborhood of T_{R_0} , that is,

$$\{z \in B_1 : |u_{R_0} - a_i| \geq 2C\delta, \quad \forall i = 1, 2, 3\} \subset \{z \in B_1 : \text{dist}(z, T_{R_0}) \leq C(\delta, W)R_0^{\beta-1}\}.$$

(3) For $i = 1, 2, 3$, within $D_{R_0}^i$,

$$|u_{R_0}(z) - a_i| \leq Ke^{-kR_0(\text{dist}(z, \partial D_{R_0}^i) - CR_0^{\beta-1})^+}.$$

Denote the approximated triple junction map associated with T_{R_0} by

$$U_{R_0}(z) := a_1 \chi_{D_{R_0}^1}(z) + a_2 \chi_{D_{R_0}^2}(z) + a_3 \chi_{D_{R_0}^3}(z), \quad z \in B_1, \quad (7.57)$$

The aforementioned properties imply the following estimate on the L^1 -closeness of u_{R_0} and U_{R_0} .

Proposition 7.1. *There is a constant $C_1 = C_1(W)$ that satisfies*

$$\|u_{R_0} - U_{R_0}\|_{L^1(B_1)} \leq C_1 R_0^{\beta-1}. \quad (7.58)$$

Proof. Let $C = C(W)$ be the constant in Proposition 6.1. Note that this constant initially depends on δ and W . However, since we have fixed a δ that depends on W , it now becomes a constant solely dependent on W . We have

$$\begin{aligned} \int_{D_{R_0}^1} |u_{R_0}(z) - U_{R_0}(z)| dz &= \int_{D_{R_0}^1} |u_{R_0}(z) - a_1| dz \\ &= \int_{\text{dist}(z, \partial D_{R_0}^1) < CR_0^{\beta-1}} |u_{R_0}(z) - a_1| dz + \int_{\text{dist}(z, \partial D_{R_0}^1) \geq CR_0^{\beta-1}} |u_{R_0}(z) - a_1| dz \\ &=: \Lambda_1 + \Lambda_2. \end{aligned}$$

Using $H^2(D_{R_0}^1 \cap \{\text{dist}(z, \partial D_{R_0}^1) < CR_0^{\beta-1}\}) \leq CR_0^{\beta-1}$, and $|u| \leq M$ by (3.9), we get that

$$\Lambda_1 \leq C(M) R_0^{\beta-1}. \quad (7.59)$$

We observe that $\partial D_{R_0}^1$ consists of a circular arc $I_{R_0}^1$ and two line segments $\tilde{D}\tilde{A}$, $\tilde{D}\tilde{B}$. When $\text{dist}(z, \partial D_{R_0}^1) \geq CR_0^{\beta-1}$,

$$\text{dist}(z, \partial D_{R_0}^1) = \min \left\{ \text{dist}(z, \tilde{D}\tilde{A}), \text{dist}(z, \tilde{D}\tilde{B}), \text{dist}(z, I_{R_0}^1) \right\}.$$

We compute

$$\int_{\text{dist}(z, \partial D_{R_0}^1) \geq CR_0^{\beta-1}} e^{-kR_0(\text{dist}(z, \tilde{D}\tilde{A}) - CR_0^{\beta-1})} dz \leq 2 \int_0^1 e^{-kR_0 r} dr \leq \frac{2}{kR_0}.$$

Similarly, we have

$$\begin{aligned} \int_{\text{dist}(z, \partial D_{R_0}^1) \geq CR_0^{\beta-1}} e^{-kR_0(\text{dist}(z, \tilde{D}\tilde{B}) - CR_0^{\beta-1})} dz &\leq 2 \int_0^1 e^{-kR_0 r} dr \leq \frac{2}{kR_0}. \\ \int_{\text{dist}(z, \partial D_{R_0}^1) \geq CR_0^{\beta-1}} e^{-kR_0(\text{dist}(z, \partial B_1) - CR_0^{\beta-1})} dz &\leq 2\pi \int_0^1 (1-r) e^{-kR_0 r} dr \leq \frac{2\pi}{kR_0}. \end{aligned}$$

Summing the inequalities above, we get

$$\Lambda_2 \leq C(k)R_0^{-1}. \quad (7.60)$$

Combining (7.59) and (7.60) yields

$$\int_{\mathcal{D}_{R_0}^1} |u_{R_0}(z) - U_{R_0}(z)| dz \leq CR_0^{\beta-1}. \quad (7.61)$$

The same estimate also applies for $\mathcal{D}_{R_0}^2$ and $\mathcal{D}_{R_0}^3$ and (7.58) immediately follows. \square

8 | PROOF OF THEOREM 1.2

In this final section we will conclude the proof of the main theorem. It suffices to show that the sequential limit u_0 in (3.10) is unique, or equivalently, independent of the sequence $\{r_k\}$.

We argue by contradiction. Suppose there are two sequences of radii $\{r_k\}_{k=1}^\infty$ and $\{s_k\}_{k=1}^\infty$ that converge to two distinct triple junction maps, that is

$$u_{r_k}(z) := u(r_k z) \rightarrow u_1(z) \text{ in } L_{loc}^1(\mathbb{R}^2), \quad r_k \rightarrow \infty \text{ as } k \rightarrow \infty, \quad (8.62)$$

$$u_{s_k}(x) := u(s_k z) \rightarrow u_2(z) \text{ in } L_{loc}^1(\mathbb{R}^2), \quad s_k \rightarrow \infty \text{ as } k \rightarrow \infty, \quad (8.63)$$

where $u_1 = \sum_{j=1}^3 a_j \chi_{\mathcal{D}_I^j}$, for $\mathcal{P}_I := \{\mathcal{D}_I^1, \mathcal{D}_I^2, \mathcal{D}_I^3\}$ is a minimal 3-partition of \mathbb{R}^2 , with $\partial \mathcal{P} = \bigcup_{j=1}^3 \partial \mathcal{D}_I^j$ consists of three rays emanating from the origin and form 120-degree angles pairwise. Similarly, u_2 can be represented as $u_2 = \sum_{j=1}^3 a_k \chi_{\mathcal{D}_{II}^j}$ where $\mathcal{P}_{II} := \{\mathcal{D}_{II}^1, \mathcal{D}_{II}^2, \mathcal{D}_{II}^3\}$ is another minimal 3-partition, which is centered at the origin.

For $\varepsilon \ll 1$, by Sections 3 and 7 we can find a $R(\varepsilon)$ such that for any $R \geq R(\varepsilon)$, there exists $R_0 \in (R, 2R)$ such that the rescaled function $u_{R_0}(x) = u(R_0 x)$ is close to the approximate triple junction map U_{R_0} which is defined through Sections 4, 6, 7. The L^1 closeness is controlled by $O(R_0^{\beta-1})$ for some $\beta \in (0, 1)$. Now we fix the choice of $R_0 \in (R(\varepsilon), 2R(\varepsilon))$, and then find a sequence of radii $\{R_i\}_{i=1}^\infty$ such that $R_i \in (2^i(R(\varepsilon)), 2^{i+1}R(\varepsilon))$ and u_{R_i} satisfies

$$\|u_{R_i} - U_{R_i}\|_{L^1(B_1)} \leq CR_i^{\beta-1}$$

for some $U_{R_i} := \sum_{j=1}^3 a_j \chi_{\mathcal{D}_i^j}$ with $\{\mathcal{D}_i^j\}$ is a partition of B_1 by three rays forming 120-degree angles pairwise (not necessarily centered at the origin). For each $i \in \mathbb{N}^+$, we denote

$$\tilde{D}_i := \bigcap_{j=1}^3 \partial \mathcal{D}_i^j \text{ is the junction point for three phases of } U_{R_i},$$

$$\tilde{A}_i := \partial B_1 \cap \partial \mathcal{D}_i^1 \cap \partial \mathcal{D}_i^2,$$

$$\tilde{B}_i := \partial B_1 \cap \partial \mathcal{D}_i^1 \cap \partial \mathcal{D}_i^3,$$

$$\tilde{C}_i := \partial B_1 \cap \partial \mathcal{D}_i^2 \cap \partial \mathcal{D}_i^3,$$

$$T_i := \tilde{D}_i \tilde{A}_i \cap \tilde{D}_i \tilde{B}_i \cap \tilde{D}_i \tilde{C}_i \text{ is the triod at the scale } R_i.$$

The construction of this U_{R_i} proceeds in the same manner as in the beginning of Section 5. We first choose A_i, B_i, C_i as the midpoints of the three transitional arcs between different phases on ∂B_{R_i} , followed by the selection of D_i such that $|D_i A_i| + |D_i B_i| + |D_i C_i|$ is minimized. Then we divide the coordinates by R_i to rescale all the points (A_i, B_i, C_i, D_i) to $(\tilde{A}_i, \tilde{B}_i, \tilde{C}_i, \tilde{D}_i) \in \bar{B}_1$. All the earlier results presented in Sections 4–7 remain valid upon substituting (A, B, C, D) with (A_i, B_i, C_i, D_i) , respectively, and replacing R_0 by R_i .

For each i , we denote by $\theta_i \in (0, 2\pi]$ the angle of the vector $\overrightarrow{D_i A_i}$, which represents the direction of the a_1 - a_2 interface for the approximate triple junction map at scale R_i . Given that the angles between each pair of interfaces are all 120 degrees, U_{R_i} is completely determined by θ_i and the coordinates of D_i . The following lemma says that in two consecutive scales, θ_i will not change too much.

Lemma 8.1. *There is a constant $C = C(W)$ such that for any $i \in \mathbb{N}^+$,*

$$|\theta_i - \theta_{i+1}| \leq C R_i^{\beta-1}. \quad (8.64)$$

Proof. Without loss of generality, we assume $\tilde{D}_{i+1} = (0, 0)$ and $\tilde{A}_{i+1} = (0, 1)$, which means the approximated a_1 - a_2 interface at scale R_{i+1} aligns with y -axis. Set the coordinates

$$D_i = (x_D^i, y_D^i), \quad A_i = (x_A^i, y_A^i).$$

According to Corollary 5.2 we have

$$\sqrt{(x_D^i)^2 + (y_D^i)^2} \leq \tau R_i, \quad \text{for some } \tau \leq \frac{1}{100}.$$

We consider the original minimizer u on $B_{R_{i+1}}$. By Proposition 6.1,

$$\begin{aligned} |u(x, y) - a_1| &\leq 2C\delta, \quad \text{if } x \leq -C_0 R_{i+1}^\beta, \quad y \geq C_0 R_{i+1}^\beta, \quad (x, y) \in B_{R_{i+1}}, \\ |u(x, y) - a_2| &\leq 2C\delta, \quad \text{if } x \geq C_0 R_{i+1}^\beta, \quad y \geq C_0 R_{i+1}^\beta, \quad (x, y) \in B_{R_{i+1}}, \end{aligned} \quad (8.65)$$

for some constant $C_0(W)$.

Since $R_i \in (2^i R(\varepsilon), 2^{i+1} R(\varepsilon))$, we have

$$\frac{1}{4} < \frac{R_i}{R_{i+1}} < 1.$$

Note that rescaling does not affect the direction of straight lines. Thus in order to show (8.64), it suffices to prove that there exists a constant C such that

$$|x_A^i - x_D^i| \leq C R_i^\beta. \quad (8.66)$$

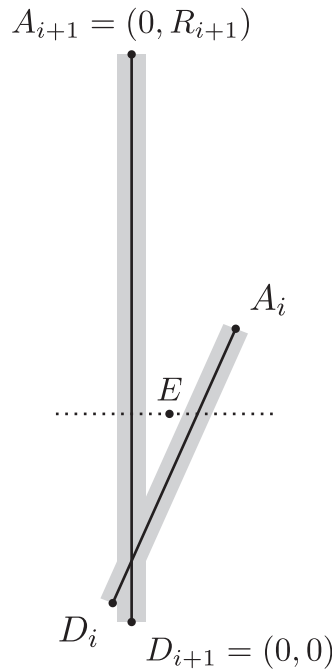


FIGURE 5 Grey region: $O(R_i^\beta)$ transition layer between a_1 and a_2 . A significant difference between θ_i and θ_{i+1} leads to a contradiction that E belongs to both the a_1 phase and the a_2 phase.

Now we take $C = 48C_0$ and show that violation of (8.66) will yield a contradiction. Suppose $|x_A^i - x_D^i| > 48C_0R_i^\beta$. Then it holds that

$$\left| \frac{2x_A^i + x_D^i}{3} - \frac{x_A^i + 2x_D^i}{3} \right| > 16C_0R_i^\beta,$$

which further implies that the distance of either $(\frac{2x_A^i + x_D^i}{3}, \frac{2y_A^i + y_D^i}{3})$ or $(\frac{x_A^i + 2x_D^i}{3}, \frac{y_A^i + 2y_D^i}{3})$ (which are two trisection points of the line segment $D_i A_i$) from the y -axis exceeds $8C_0R_i^\beta$.

Suppose $\frac{2x_A^i + x_D^i}{3} > 8C_0R_i^\beta$ (the case $\frac{2x_A^i + x_D^i}{3} < -8C_0R_i^\beta$ or $|\frac{x_A^i + 2x_D^i}{3}| > 8C_0R_i^\beta$ can be analyzed similarly). We set

$$E := (5C_0R_i^\beta, \frac{2y_A^i + y_D^i}{3}).$$

According to (8.65), we have $|u(E) - a_2| \leq 2C(W)\delta$ because $5C_0R_i^\beta > C_0R_{i+1}^\beta$. On the other hand, we also have

$$5C_0R_i^\beta - \frac{2x_A^i + x_D^i}{3} \leq -3C_0R_i^\beta,$$

which implies that $|u(E) - a_1| \leq 2C(W)\delta$ through a similar estimate as in (8.65) at scale R_i . Consequently, we arrive at a contradiction (as illustrated in Figure 5), thereby concluding the proof. \square

Since \mathcal{P}_I and \mathcal{P}_{II} are two distinct triple junctions centered at the origin, their directions of a_1 - a_2 interface should be different, that is,

$$\varphi_1 - \varphi_2 = \alpha, \quad \text{for some angle } \alpha \neq 0.$$

Here φ_1 denotes the angle of $\partial\mathcal{D}_I^1 \cap \partial\mathcal{D}_I^2$ and φ_2 denotes the angle of $\partial\mathcal{D}_{II}^1 \cap \partial\mathcal{D}_{II}^2$.

For each sufficiently large k , there exist $i(k), j(k) \in \mathbb{N}^+$ such that

$$r_k \in [R_{i(k)}, R_{i(k)+1}], \quad s_k \in [R_{j(k)}, R_{j(k)+1}],$$

with $i(k)$ and $j(k)$ tend to infinity as k increases.

On B_1 , u_{r_k} is converging to a triple junction map u_1 thanks to (8.62); while for the comparable scaling $u_{R_{i(k)}}$ is close to another triple junction map $U_{R_{i(k)}}$. By the same argument as in the proof of Lemma 8.1 we can conclude that

$$\lim_{k \rightarrow \infty} \|U_{R_{i(k)}} - u_1\|_{L^1(B_1)} = 0,$$

$$\lim_{k \rightarrow \infty} |\theta_{i(k)} - \varphi_1| = 0.$$

Similarly, we have

$$\lim_{k \rightarrow \infty} \|U_{R_{j(k)}} - u_2\|_{L^1(B_1)} = 0,$$

$$\lim_{k \rightarrow \infty} |\theta_{j(k)} - \varphi_2| = 0.$$

Therefore we obtain two subsequences of θ_i that converge to distinct angles. However, by Lemma 8.1, we have for any $i < j$,

$$\begin{aligned} |\theta_i - \theta_j| &\leq \sum_{l=i}^{j-1} CR_l^{\beta-1} \\ &\leq \sum_{l=i}^{j-1} C(2^l R(\varepsilon))^{\beta-1} \\ &= C2^{i(\beta-1)} R(\varepsilon)^{\beta-1} \sum_{l=0}^{j-i-1} 2^{l(\beta-1)} \\ &\leq \frac{C2^{i(\beta-1)} R(\varepsilon)^{\beta-1}}{1 - 2^{\beta-1}} \rightarrow 0 \quad \text{as } i \rightarrow \infty, \end{aligned}$$

indicating that $\{\theta_i\}$ is a Cauchy sequence, which yields a contradiction. The proof of Theorem 1.2 is complete.

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APPENDIX A: MINIMIZATION PROBLEM ABOUT y^*

Recall the minimization problem (5.42):

$$\min f(y^*) = (y'_A - y^*) + \sqrt{(x'_C - x'_B)^2 + (2y^* - y'_B - y'_C)^2},$$

subject to $y^* \in (y'_C + d_2, y'_A - d_2)$. Taking derivatives with respect to y^* ,

$$\begin{aligned} \frac{\partial f}{\partial y^*} &= -1 + \frac{2(2y^* - y'_B - y'_C)}{\sqrt{(x'_C - x'_B)^2 + (2y^* - y'_B - y'_C)^2}}, \\ \frac{\partial^2 f}{\partial y^{*2}} &= \frac{4(x'_C - x'_B)^2}{((x'_C - x'_B)^2 + (2y^* - y'_B - y'_C)^2)^{\frac{3}{2}}} > 0. \end{aligned}$$

From the expression above we have that when $y \sim y'_C$, $\frac{\partial f}{\partial y^*} < 0$, whereas when $y \sim y'_A$, $\frac{\partial f}{\partial y^*} > 0$. Therefore there is only one critical point for $f(y^*)$ on the interval $(y'_C + d_2, y'_A - d_2)$, which is a minimum point. Moreover, the minimum y^*_{min} satisfies

$$3(2y^*_{min} - y'_B - y'_C)^2 = (x'_C - x'_B)^2$$

Since $\overrightarrow{DB'}$ parallels $(-\sqrt{3}, -1)$ and $\overrightarrow{DC'}$ parallels $(\sqrt{3}, -1)$,

$$\frac{2y_D - y'_B - y'_C}{x'_C - x'_B} = \frac{1}{\sqrt{3}},$$

which immediately implies $y^*_{min} = y_D$.

Finally we compute

$$|DA'| = y'_A - y_D,$$

$$|DB'| + |DC'| = 2|y_D - y'_B| + 2|y_D - y'_C| = \sqrt{(x'_C - x'_B)^2 + (2y_D - y'_B - y'_C)^2}.$$

Hence,

$$\min f(y^*) = f(y_D) = |DA'| + |DB'| + |DC'|.$$