

## On the notion of Index of a curve

**Introduction** <sup>1</sup> If  $\gamma : [0, 1] \rightarrow \mathbb{C}$  is any (piecewise) continuously differentiable function with  $\gamma(0) = \gamma(1)$ , it defines a closed curve  $[\gamma] := \{\gamma(t) : t \in [0, 1]\} \subseteq \mathbb{C}$ . If a point  $\lambda \in \mathbb{C}$  is not on the curve, i.e.  $\lambda \notin [\gamma]$  then we define its *index or winding number with respect to  $\gamma$*  by

$$\text{wind}(\gamma; \lambda) = n(\gamma; \lambda) = \frac{1}{2\pi i} \int_{\gamma} \frac{1}{z - \lambda} dz = \frac{1}{2\pi i} \int_0^1 \frac{1}{\gamma(t) - \lambda} \gamma'(t) dt.$$

We wish to extend this notion to general continuous curves  $\gamma \in C([0, 1])$ .

Clearly if  $\lambda \notin [\gamma]$  and we put  $\gamma_{\lambda}(t) = \gamma(t) - \lambda$  then  $0 \notin [\gamma_{\lambda}]$  and

$$n(\gamma_{\lambda}; 0) = n(\gamma; \lambda).$$

So it suffices to consider  $n(\gamma; 0)$  for nowhere-vanishing  $\gamma \in C([0, 1])$ .

Every  $f \in C(\mathbb{T})$  which vanishes nowhere (so that  $0 \notin f(\mathbb{T})$ ) defines a continuous function

$$\gamma_f : [0, 1] \rightarrow \mathbb{C} : t \mapsto f(e^{2\pi i t})$$

with  $\gamma_f(0) = \gamma_f(1)$  and  $0 \notin [\gamma_f] = f(\mathbb{T})$ .

In order to define the index of such a more general closed curve  $\gamma_f$ , there are two methods. One method [Rud87] approximates  $f$  by a sequence  $p_i$  of trigonometric polynomials, and shows that the sequence  $(n(\gamma_{p_i}, 0))_i$  is eventually well defined and eventually constant, so that one may define  $n(\gamma_f; 0) := \lim_i n(\gamma_{p_i}, 0)$ . The other method [Arv02] directly shows that a never vanishing  $\gamma \in C([0, 1])$  is an exponential and uses periodicity of the exponential function to construct the index.

**Παρατήρηση 1.** *Καθε μη μηδενικός μιγαδικός αριθμός  $z$  μπορεί να γραφτεί  $z = |z|e^{2\pi i\theta}$  για κάποιο  $\theta \in \mathbb{R}$ . Το δεμά είναι ότι αν το  $z$  εξαρτάται κατά συνεχή τρόπο από μια παράμετρο  $t \in [0, 1]$ , τότε (όπως θα δείξουμε με την Πρόταση 3) το  $\theta$  μπορεί να επιλεγεί να εξαρτάται κατά συνεχή τρόπο από το  $t$  (παρόλο που η απεικόνιση  $[0, 1] \rightarrow \mathbb{T} : \theta \mapsto e^{2\pi i\theta}$  δεν έχει συνεχή αντιστροφή).*

**Παρατήρηση 2.** *Ορίζουμε*

$$\log z := - \sum_{n=1}^{\infty} \frac{1}{n} (1 - z)^n, \quad |1 - z| < 1.$$

*Η σειρά συγκλίνει απολυτά στον ανοικτό δίσκο  $D(1, 1) = \{z \in \mathbb{C} : |1 - z| < 1\}$  και ορίζει ολομορφή συναρτησή  $\log$  που ικανοποιεί τη σχέση  $\log 1 = 0$  και  $e^{\log z} = z$  στον  $D(1, 1)$ .* <sup>2</sup>

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<sup>2</sup>Verify that  $\frac{d}{dz} \left( \frac{e^{\log z}}{z} \right) = 0$  on the open and connected set  $D(1, 1)$ , so the quotient  $\frac{e^{\log z}}{z}$  is the constant function  $\frac{e^{\log 1}}{1} = 1$ .

**Πρόταση 3** (απο το βιβλίο του Arveson [Arv02]). Αν η  $\gamma \in C([0, 1])$  δεν μηδενίζεται πουθενά (ισοδυναμικά, αν είναι αντιστρεψίμο στοιχείο της αλγεβρας  $C([0, 1])$ ), τότε υπάρχει (μη μοναδική)  $g \in C([0, 1])$  ώστε  $\gamma = e^g$ .

*Απόδειξη.* Observe that since  $\gamma([0, 1])$  is a compact set and  $0 \notin \gamma([0, 1])$ , the quantity  $\epsilon := \min\{|\gamma(t)| : t \in [0, 1]\}$  is strictly positive.

*Special Case* Suppose that

$$|\gamma(t) - \gamma(s)| < \epsilon \quad \text{for all } t, s \in [0, 1].$$

Then

$$|\gamma(t)| \left| 1 - \frac{\gamma(s)}{\gamma(t)} \right| = |\gamma(t) - \gamma(s)| < \epsilon \leq |\gamma(t)|$$

so  $\left| 1 - \frac{\gamma(s)}{\gamma(t)} \right| < 1 \quad \text{for all } t, s \in [0, 1].$

Thus  $g(s) := \log\left(\frac{\gamma(s)}{\gamma(0)}\right)$  is defined (απο την Παρατήρηση 2) and continuous on  $[0, 1]$  and we see that

$$e^{g(s)} = \frac{\gamma(s)}{\gamma(0)}, \quad s \in [0, 1].$$

*General Case* Since  $\gamma$  is uniformly continuous, we may find a partition  $0 = t_0 < t_1 < \dots < t_n = 1$  of  $[0, 1]$  such that

$$|\gamma(t) - \gamma(s)| < \epsilon \quad \text{for all } t, s \in [t_{k-1}, t_k] \text{ and all } k.$$

By the Special case applied to the interval  $[t_{k-1}, t_k]$  for each  $k = 1, \dots, n$ , there exists  $g_k \in C([0, 1])$  so that

$$\gamma(t_{k-1})e^{g_k(s)} = \gamma(s), \quad s \in [t_{k-1}, t_k].$$

Note that  $g_k(t_{k-1}) = \log 1 = 0$ .

Now define  $g_0 : [0, 1] \rightarrow \mathbb{C}$  as follows:

$$g_0(s) = \begin{cases} g_1(s), & s \in [0, t_1] \\ g_1(t_1) + g_2(s), & s \in (t_1, t_2] \\ \vdots \\ g_1(t_1) + g_2(t_2) + \dots + g_n(s), & s \in (t_{n-1}, 1]. \end{cases}$$

Then  $g_0$  is continuous and for each  $k$ , if  $s \in (t_{k-1}, t_k]$ ,

$$\begin{aligned} e^{g_0(s)} &= e^{g_1(t_1)} e^{g_2(t_2)} \dots e^{g_k(s)}, \\ &= \frac{\gamma(t_1)}{\gamma(0)} \frac{\gamma(t_2)}{\gamma(t_1)} \dots \frac{\gamma(s)}{\gamma(t_{k-1})} = \frac{\gamma(s)}{\gamma(0)} \end{aligned}$$

and so, choosing  $z_0$  s.t.  $\gamma(0) = e^{z_0}$  (since  $\gamma(0) \neq 0$ ) and putting  $g(s) := g_0(s) + z_0$  we obtain

$$e^{g(s)} = e^{g_0(s)} \gamma(0) = \gamma(s), \quad s \in [0, 1].$$

□

## Αναφορές

- [Arv02] William Arveson, *A short course on spectral theory*, Graduate Texts in Mathematics, vol. 209, Springer-Verlag, New York, 2002. MR 1865513
- [Rud87] Walter Rudin, *Real and complex analysis*, third ed., McGraw-Hill Book Co., New York, 1987. MR 924157