

## Σημειώσεις στους τελεστές Toeplitz

Υπενθυμιση<sup>1</sup>

**Θεώρημα 1.** Το σύνολο των τελεστών στον  $L^2(\mathbb{T})$  που μετατιθενται με τον  $M_1$  είναι το  $\{M_\phi : \phi \in L^\infty(\mathbb{T})\}$ .

**Πρόταση 2.** Ο μεταδετής του unilateral shift  $T_1 = M_1|_{\tilde{H}^2}$  στον  $\tilde{H}^2$  είναι το σύνολο των αναλυτικών τελεστών Toeplitz: Αν  $A \in \mathcal{B}(\tilde{H}^2)$ , τότε

$$AT_1 = T_1A \iff A = T_\phi \text{ για καποιο } \phi \in \tilde{H}^\infty.$$

Σχεδιο Αποδειξης. Let  $A \in \mathcal{B}(\tilde{H}^2)$  be such that  $AT_1 = T_1A$ .

Define  $\phi := A(f_0) \in \tilde{H}^2$ .

• The hypothesis  $AT_1 = T_1A$  implies that  $A(f_n) = \phi f_n \forall n \in \mathbb{Z}_+$ , hence  $A(p) = \phi p$  for any polynomial  $p$ .

• Given  $\tilde{f} \in \tilde{H}^2$  let  $(p_n)$  be a sequence of polynomials such that  $\|\tilde{f} - p_n\|_{L^2} \rightarrow 0$ .

Hence  $\|A(\tilde{f}) - A(p_n)\|_{L^2} \rightarrow 0$ .

Therefore there is a subsequence  $(p_{k_n})$  such that  $|\tilde{f} - p_{k_n}| \rightarrow 0$  a.e. AND  $|A(\tilde{f}) - A(p_{k_n})| \rightarrow 0$  a.e.

It follows from  $A(p) = \phi p$  that

$$A(\tilde{f}) = \lim_n A(p_{k_n}) = \lim_n \phi p_{k_n} = \phi \tilde{f} \text{ a.e..}$$

• It remains to show that  $\phi \in \tilde{H}^\infty$ . For this, observe that if  $\psi \in H^2$  is such that  $\tilde{\psi} = \phi$  then for all  $z \in \mathbb{D}$  the equality

$$\langle \tilde{\psi} \tilde{f}, k_z \rangle = \langle \phi \tilde{f}, k_z \rangle = \langle A(\tilde{f}), k_z \rangle$$

(where  $k_z(e^{it}) = \sum_{k \geq 0} (\bar{z}e^{it})^k$  is Szegő's kernel) gives

$$\begin{aligned} \langle \tilde{f}, A^*(k_z) \rangle &= \langle A(\tilde{f}), k_z \rangle = \langle \tilde{\psi} \tilde{f}, k_z \rangle = \langle \tilde{\psi} \tilde{f}, k_z \rangle \\ &= (\psi f)(z) = \psi(z) f(z) = \psi(z) \langle \tilde{f}, k_z \rangle = \langle \tilde{f}, \overline{\psi(z)} k_z \rangle \end{aligned}$$

for all  $\tilde{f} \in \tilde{H}^2$  and so  $A^*(k_z) = \overline{\psi(z)} k_z$  which shows that  $\|A^*\| \geq |\overline{\psi(z)}|$  hence  $|\psi(z)| \leq \|A\|$  for all  $z \in \mathbb{D}$ ; thus  $\psi \in H^\infty$  hence  $\phi \in \tilde{H}^\infty$ .  $\square$

**Παρατήρηση 3.** Αν  $E, F$  είναι χώροι Banach και  $D \subseteq E$  είναι πυκνός γραμμικός υποχώρος, τότε κάθε συνεχής γραμμική απεικόνιση  $A : D \rightarrow F$  έχει μοναδική συνεχή γραμμική επέκταση  $\tilde{A} : E \rightarrow F$ . Η απόδειξη στηρίζεται στο γεγονός ότι μια συνεχής γραμμική απεικόνιση είναι ομοιομορφα συνεχής.

Τι μπορούμε να πουμε για μια συνεχή διγραμμική απεικόνιση  $\psi : D \times D \rightarrow F$ ; Η  $\psi$  δεν είναι εν γενει ομοιομορφα συνεχής. Παρολα αυτα ομως:

**Λήμμα 4.** Εστω  $E, F$  χώροι Banach,  $D \subseteq E$  πυκνός γραμμικός υποχώρος, και  $\psi : D \times D \rightarrow F$  μια συνεχής διγραμμική απεικόνιση. Τότε υπαρχει μοναδική συνεχής διγραμμική απεικόνιση  $\tilde{\psi} : E \times E \rightarrow F$  που επεκτεινει την  $\psi$ .

Ομοιως για sesquilinear απεικονισεις.

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<sup>1</sup>toepl compiled 16 Μαΐου 2026

*Απόδειξη.* Observe that if  $x, x', y, y' \in D$ , we have

$$\begin{aligned} \|\psi(x, y) - \psi(x', y')\| &= \|\psi(x - x', y) + \psi(x', y - y')\| \leq \|\psi(x - x', y)\| + \|\psi(x', y - y')\| \\ &\leq \|\psi\| \|x - x'\| \|y\| + \|\psi\| \|x'\| \|y - y'\| \end{aligned} \quad (1)$$

where  $\|\psi\| = \sup\{\|\psi(x, y)\| : x, y \in D, \|x\| = 1 = \|y\|\}$ , which is finite by the assumed continuity of  $\psi$ .

Now given  $\xi, \eta \in E$ , we wish to define  $\tilde{\psi}(\xi, \eta) \in F$ . Choosing sequences  $(x_n), (y_n)$  in  $D$  with  $\|x_n - \xi\| \rightarrow 0$  and  $\|y_n - \eta\| \rightarrow 0$ , by the previous inequality we have for all  $m, n \in \mathbb{N}$

$$\|\psi(x_n, y_n) - \psi(x_m, y_m)\| \leq \|\psi\| \|x_n - x_m\| \|y_n\| + \|\psi\| \|x_n\| \|y_n - y_m\|.$$

Since the sequences  $(x_n)$  and  $(y_n)$  are convergent, they are Cauchy and bounded (!), say by  $M$ . Thus the last inequality gives

$$\|\psi(x_n, y_n) - \psi(x_m, y_m)\| \leq \|\psi\| M (\|x_n - x_m\| + \|y_n - y_m\|).$$

This shows that the sequence  $(\psi(x_n, y_n))_n$  is Cauchy in  $F$ ; since the latter is complete, the sequence converges, and we may define

$$\tilde{\psi}(\xi, \eta) := \lim_n \psi(x_n, y_n).$$

Observe that this is well defined, i.e. does not depend on the sequences used to approximate  $\xi$  and  $\eta$ . Indeed, if also  $(x'_n), (y'_n)$  are sequences in  $D$  with  $\|x'_n - \xi\| \rightarrow 0$  and  $\|y'_n - \eta\| \rightarrow 0$  then by (1) we have

$$\|\psi(x_n, y_n) - \psi(x'_n, y'_n)\| \leq \|\psi\| \|x_n - x'_n\| \|y_n\| + \|\psi\| \|x'_n\| \|y_n - y'_n\| \rightarrow 0$$

since  $(x'_n), (y'_n)$  are bounded. Therefore  $\psi(x'_n, y'_n) \rightarrow \tilde{\psi}(\xi, \eta)$ .

It is clear that  $\tilde{\psi}$  extends  $\psi$  (use constant sequences to approximate elements of  $D$ ).

To show that  $\tilde{\psi}$  is linear in the first variable, let  $\xi = \lim x_n \in E$  and  $\xi' = \lim x'_n \in E$  with  $x_n, x'_n \in D$  and let  $\lambda \in \mathbb{C}$ . Then for all  $\eta = \lim y_n \in E$  with  $y_n \in D$ , since  $x_n + \lambda x'_n \rightarrow \xi + \lambda \xi'$  by the definition of  $\tilde{\psi}$  we have

$$\tilde{\psi}(\xi + \lambda \xi', \eta) = \lim \psi(x_n + \lambda x'_n, y_n) = \lim (\psi(x_n, y_n) + \lambda \psi(x'_n, y_n)) = \tilde{\psi}(\xi, \eta) + \lambda \tilde{\psi}(\xi', \eta).$$

Similarly we show linearity in the second variable.

Finally to show that  $\tilde{\psi}$  is continuous, for all  $\xi = \lim x_n \in E$  and  $\eta = \lim y_n \in E$  with  $x_n, y_n \in D$  we have

$$\|\tilde{\psi}(\xi, \eta)\| = \lim_n \|\psi(x_n, y_n)\| \leq \limsup_n \|\psi\| \|x_n\| \|y_n\| = \|\psi\| \|\xi\| \|\eta\|$$

which shows that  $\|\tilde{\psi}\| \leq \|\psi\|$  (but in fact equality holds since  $\tilde{\psi}$  extends  $\psi$ ).  $\square$

**Παρατήρηση 5.** Ένας φραγμενος τελεστής  $A \in \mathcal{B}(\tilde{H}^2)$  έχει πίνακα Toeplitz ως προς την ο/κ βάση  $\{f_n : n \in \mathbb{Z}_+\}$  αν-ν  $T_1^* A T_1 = T$ .

*Απόδειξη.* For all  $n, m \in \mathbb{Z}_+$  we have

$$\langle T_1^* A T_1 f_n, f_m \rangle = \langle A T_1 f_n, T_1 f_m \rangle = \langle A f_{n+1}, f_{m+1} \rangle.$$

Thus  $T_1^* A T_1 = T$  iff  $\langle A f_n, f_m \rangle = \langle A f_{n+1}, f_{m+1} \rangle \forall n, m \in \mathbb{Z}_+$  iff the matrix of  $T$  has constant diagonals, i.e iff the matrix of  $T$  is Toeplitz.  $\square$

**Πρόταση 6.** *Ενας φραγμενος τελεστης  $A \in \mathcal{B}(\widetilde{H}^2)$  είναι τελεστης Toeplitz αν-ν έχει πινακα Toeplitz ως προς την ο/κ βαση  $\{f_n : n \in \mathbb{Z}_+\}$ , αν-ν  $T_1^*AT_1 = T$ .*

*Απόδειξη.* Suppose the matrix of  $A$  is Toeplitz, so that  $T_1^*AT_1 = T$ .

We ‘lift’ the setting to  $L^2(\mathbb{T})$  in order to apply Proposition 2.

Define an increasing family of closed subspaces  $E_n := \text{span}\{f_k, k \geq -n\}$ ,  $n \in \mathbb{Z}_+$ :

$$\widetilde{H}^2 := E_0 \subseteq E_1 := \text{span}\{f_{-1}, f_0, f_1, \dots\} \subseteq \dots \subseteq L^2(\mathbb{T})$$

whose union  $E_\infty := \bigcup_n E_n$  is dense in  $L^2(\mathbb{T})$ . Note that for all  $n \in \mathbb{N}$  we have  $M_1(E_n) \subseteq E_{n-1}$ , hence  $M_1^n(E_n) \subseteq E_0 = \widetilde{H}^2$ . Thus for each  $n \in \mathbb{N}$  we may define

$$A_n := M_1^{-n}AM_1^n \in \mathcal{B}(E_n).$$

Note that  $\|A_n\| \leq \|A\|$  for all  $n \in \mathbb{N}$ .

• *Claim 1.* For all  $\xi, \eta \in E_n$ , ( $n \in \mathbb{N}$ ) we have

$$\langle A_{n+1}\xi, \eta \rangle = \langle A_n\xi, \eta \rangle.$$

*Proof of the Claim.*

$$\begin{aligned} \langle A_{n+1}\xi, \eta \rangle &= \langle M_1^{-(n+1)}AM_1^{n+1}\xi, \eta \rangle = \langle AM_1M_1^n\xi, M_1M_1^n\eta \rangle \\ &= \langle AT_1M_1^n\xi, T_1M_1^n\eta \rangle \quad \text{since } M_1^n\xi \text{ and } M_1^n\eta \text{ are in } \widetilde{H}^2 \\ &= \langle (T_1^*AT_1)M_1^n\xi, T_1M_1^n\eta \rangle = \langle AM_1^n\xi, T_1M_1^n\eta \rangle \quad \text{since } T_1^*AT_1 = A \\ &= \langle A_n\xi, \eta \rangle. \end{aligned}$$

• It follows for all  $\xi, \eta \in E_\infty$  the sequence of complex numbers

$$\langle A_n\xi, \eta \rangle_n$$

is eventually constant, hence convergent.

Hence we may define

$$\psi : E_\infty \times E_\infty \rightarrow \mathbb{C} : (\xi, \eta) \mapsto \lim_n \langle A_n\xi, \eta \rangle.$$

Since every  $(\xi, \eta) \mapsto \langle A_n\xi, \eta \rangle$  is a sesquilinear form, bounded by  $\|A\|$ , the same holds for  $\psi$ .

• *Using Lemma 4, we may now extend  $\psi$  to a bounded sesquilinear form  $\tilde{\psi} : L^2(\mathbb{T}) \times L^2(\mathbb{T}) \rightarrow \mathbb{C}$ . Since  $L^2(\mathbb{T})$  is a Hilbert space, there exists a unique bounded operator  $A_0 \in \mathcal{B}(L^2(\mathbb{T}))$ , which satisfies*

$$\langle A_0(\xi), \eta \rangle = \psi(\xi, \eta) = \lim_n \langle A_n\xi, \eta \rangle \quad \forall \xi, \eta \in E_\infty.$$

• *Claim.* The operator  $A_0$  commutes with the bilateral shift  $M_1$ .

*Proof of the Claim.* For all  $\xi, \eta \in E_\infty$ , since  $M_1^* = M_1^{-1}$ , we have

$$\begin{aligned} \langle M_1^{-1}A_0M_1\xi, \eta \rangle &= \langle A_0M_1\xi, M_1\eta \rangle = \lim_n \langle A_nM_1\xi, M_1\eta \rangle = \lim_n \langle M_1^{-n}AM_1^n(M_1\xi), (M_1\eta) \rangle \\ &= \lim_n \langle M_1^{-1}M_1^{-n}AM_1^nM_1\xi, \eta \rangle = \lim_n \langle M_1^{-(n+1)}AM_1^{n+1}\xi, \eta \rangle = \langle A_0\xi, \eta \rangle. \end{aligned}$$

Since  $E_\infty$  is dense in  $L^2(\mathbb{T})$ , we have  $A_0 = M_1^{-1}A_0M_1$  or  $M_1A_0 = A_0M_1$ , proving the Claim.

• It follows from the previous Theorem that there exists a  $\phi \in L^\infty(\mathbb{T})$  such that  $A_0 = M_\phi : L^2(\mathbb{T}) \rightarrow L^2(\mathbb{T})$ .

To complete the proof, we show the

• *Claim.*  $A = T_\phi : \widetilde{H}^2 \rightarrow \widetilde{H}^2$ .

*Proof of the Claim.* If  $\xi, \eta \in \widetilde{H}^2$  we have, for all  $n \in \mathbb{N}$ ,

$$\begin{aligned} \langle PA_n \xi, \eta \rangle &= \langle A_n \xi, P\eta \rangle = \langle A_n \xi, \eta \rangle \\ &= \langle M_1^{-n} A M_1^n \xi, \eta \rangle = \langle A M_1^n \xi, M_1^n \eta \rangle = \langle A T_1^n \xi, T_1^n \eta \rangle \quad (\xi, \eta \in \widetilde{H}^2) \\ &= \langle (T_1^*)^n A T_1^n \xi, \eta \rangle = \langle A \xi, \eta \rangle \end{aligned}$$

since  $(T_1^*)^n A T_1^n = A$  from the hypothesis  $T_1^* A T_1 = A$  and induction.

Thus  $\langle A \xi, \eta \rangle = \langle P A_n \xi, \eta \rangle$  for all  $n$  and so

$$\langle A \xi, \eta \rangle = \lim_n \langle P A_n \xi, \eta \rangle = \lim_n \langle A_n \xi, P\eta \rangle = \langle A_0 \xi, P\eta \rangle = \langle M_\phi \xi, P\eta \rangle = \langle P M_\phi \xi, \eta \rangle$$

and therefore  $PM_\phi|_{\widetilde{H}^2} = A$ , as required.  $\square$

*Εναλλακτική αποδειξη της Πρότασης 2.* If  $A \in \mathcal{B}(\widetilde{H}^2)$  satisfies  $AT_1 = T_1A$ , then  $T_1^*AT_1 = T_1^*T_1A = A$  since  $T_1$  is an isometry. It follows from Proposition 6 that there exists  $\phi \in L^\infty(\mathbb{T})$  such that  $A = T_\phi$ .

To show that in fact  $\phi \in \widetilde{H}^\infty$ , we need to prove that  $\hat{\phi}(-m) = 0$  for all  $m > 0$ . But recall that the matrix  $[(T_\phi f_m, f_n)]$  of  $T_\phi$  is given by  $\langle T_\phi f_m, f_n \rangle = \hat{\phi}(n - m)$ . Thus, for all  $m > 0$ ,

$$\begin{aligned} \hat{\phi}(-m) &= \langle T_\phi f_m, f_0 \rangle = \langle T_\phi T_1 f_{m-1}, f_0 \rangle \quad (\text{since } m > 0) \\ &= \langle T_1 T_\phi f_{m-1}, f_0 \rangle \quad (\text{by hypothesis}) \\ &= \langle T_\phi f_m, T_1^* f_0 \rangle = 0 \end{aligned}$$

as required.  $\square$

Συνεπεια για  $T \in \mathcal{B}(\ell^2(\mathbb{Z}_+))$ :

**Πόρισμα 7.** *Ενας φραγμενος τελεστης  $T \in \mathcal{B}(\ell^2(\mathbb{Z}_+))$  ειναι τελεστης Toeplitz, δηλαδη υπαρχει  $\phi \in L^\infty(\mathbb{T})$  ωστε  $\langle T e_m, e_n \rangle = \hat{\phi}(n - m)$ , αν-ν*

$$S^*TS = T.$$