



5 The units of selection
and the gene's-eye
view of evolution

Units of selection

-> selection of **individuals** in traditional darwinian explanation

-or: selection acts at the **level** of the individual

-or: the individual as the **unit** of selection

-BUT:

-> not logically necessary:

-**hierarchy** of biological organisation

-**general principle** of natural selection

(Lewontin: 'heritable variation in fitness')

Biological altruism

-behaviour which **costs** the individual, but **benefits** another
-*prima facie*, **hard to explain** in Darwinian terms

Darwin in *The Descent of Man*:

‘he who was ready to **sacrifice** his life, as many a savage has been, rather than **betray** his comrades, would often leave **no offspring to inherit his noble nature**’

(1871, 163)

-> possible solutions:

- i) not an adaptation at all
- ii) a group or colony-level adaptation
- iii) others?

‘a **tribe** including many members who . . . were **always ready to give aid** to each other and **sacrifice** themselves for the **common good**, would be **victorious over most other tribes**; and this would be natural selection’

(1871, 166)

-i.e. altruism can evolve through group selection

Levels of Selection Problem

- natural selection can operate **at more than one level**
- what's **favoured** by selection at one level, may be **disfavoured** at another

- 1950s and 60s: the '**good of the group**' fallacy was common
- e.g. Konrad Lorenz on animal aggression

- Wynne-Edwards did explicitly invoke group selection to (allegedly) explain *reproductive restraint* in birds

- G. C. Williams's *Adaptation and Natural Selection* (1966)
- argued that group selection is **empirically implausible** (subversion from within)
- and **not needed** to explain altruism anyway

- > conceptual, as well as empirical arguments
- group selection is 'unparsimonious'
- gene as the true 'unit of selection'

- similar arguments by Maynard-Smith, Dawkins and others
- as a result, group selection fell from favour among evolutionary biologists
- recently, situation has changed somewhat

Kin Selection and Inclusive Fitness

-**alternative** explanation for altruism

-W. D. Hamilton (1963)

-basic idea: selection can favour altruism, if it's directed toward kin

-> why? because relatives share genes

-> so beneficiaries of altruism will tend to be altruists themselves

-> so altruistic behaviour can spread

-intuitively obvious, and supported by **mathematical models**

Kin Selection and Inclusive Fitness

Hamilton's rule:

altruism will spread if and only if

$$b > c/r$$

- 'r' is coefficient of relationship between donor and recipient
(i.e. probability that donor and recipient share gene for altruism)

-> led to a highly successful empirical research programme

-often, Hamilton's rule leads to very precise predictions of animal behaviour

-concept of **inclusive fitness**

-> when **social interactions** are taken into account, selection won't maximise individual fitness

-instead: maximisation of **inclusive fitness**

inclusive fitness: personal fitness + sum of weighted effects on every other individual in the population; weights are determined by r

-then: *individuals will act to maximise their inclusive fitness*

The Gene's Eye View of Evolution

- adaptations not for the good of organisms, or groups, but **genes**
- concept of '**selfish gene**'
- evolution as a struggle between competing gene lineages

- > arises directly from kin selection

Hamilton (1963):

'despite the principle of the 'survival of the fittest', the ultimate criterion which determines whether a gene G [that codes for a certain behaviour] will spread is not whether the behaviour is to the benefit of the behaver, but whether it is to the benefit of the gene G; and this will be the case if the average net result of the behaviour is to add to the gene-pool a handful of genes containing G in higher concentration than does the gene-pool itself'

The Gene's Eye View of Evolution

- altruism **anomalous** from organism's viewpoint, but **makes good sense** from gene's viewpoint
- a simpler alternative to inclusive fitness

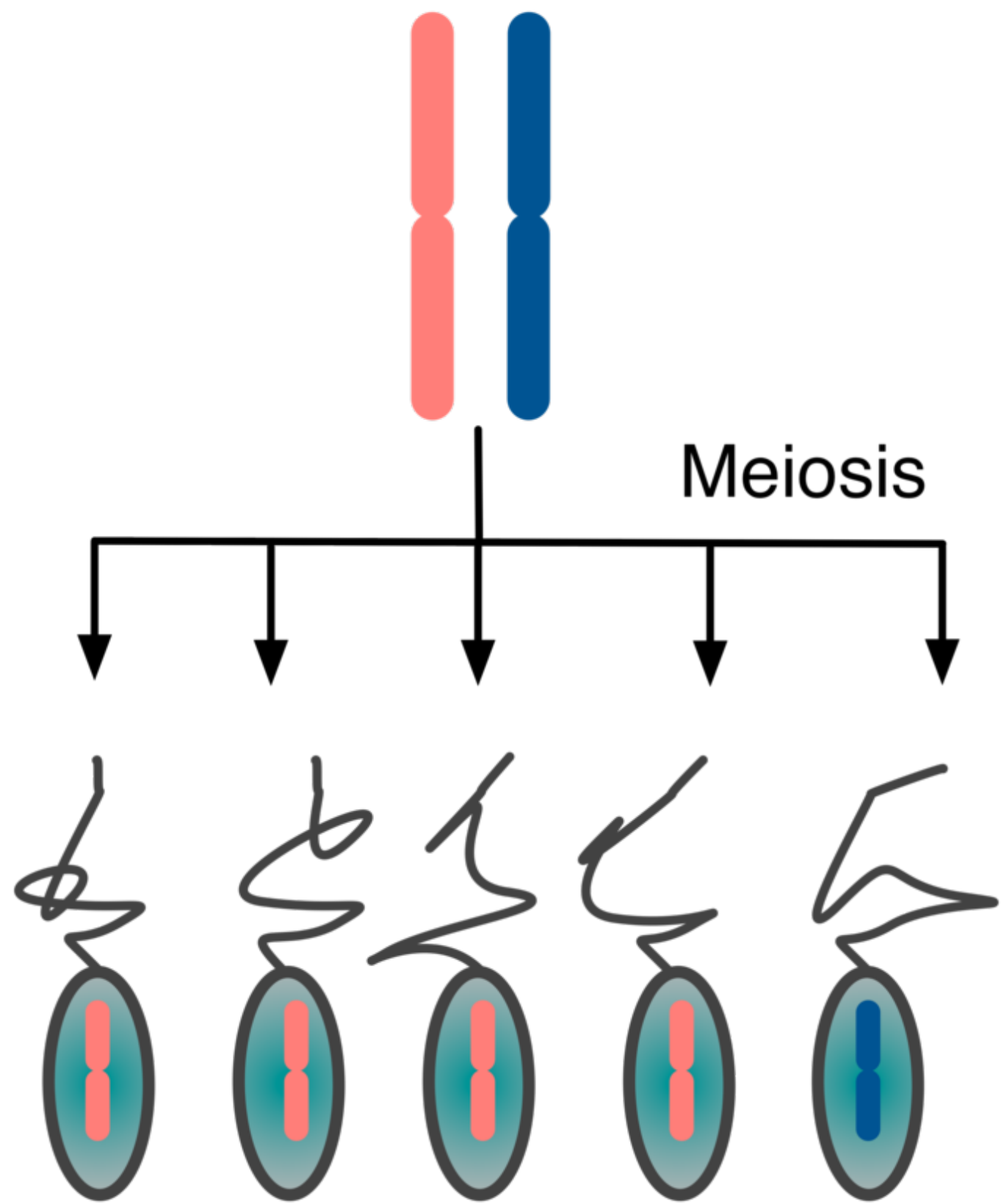
- leads to '**gene's eye reasoning**'
- organismic traits as '**strategies**' designed by genes to spread in population
- heuristically** very powerful

- R. Dawkins (1976) *The Selfish Gene*
- applies gene's eye reasoning across the board, not just to social behaviours

The Gene's Eye View of Evolution

Dawkins's arguments for gene's eye view

- a) germ line genes are **potentially immortal**, organisms aren't
i.e. genes form lineages, organisms don't
-concept of a **replicator**
-> gene as the **ultimate beneficiary** of selection
- b) accommodates empirical phenomena that organism-centred view can't
e.g. outlaw genes, meiotic drive (segregation-distorter genes)
-> **intra-genomic conflict**
- c) a very general conception of evolution
-> all evolutionary change can be understood as **change in gene frequencies**
- d) **heuristically** valuable
-focuses attention on questions that might otherwise go unnoticed
e.g. why are there organisms?
- e) allows problem of altruism to be solved **without group selection**



The Gene's Eye View of Evolution

arguments against gene's eye view

a) genes aren't **directly 'visible'** to natural selection (Gould)

b) genic selectionism is committed to **'beanbag genetics'** (Gould)

-**no one-one mapping** between genes and phenotypic traits of interest

c) **book-keeping argument** (Gould and Lewontin)

-gene's eye view obscures **important causal information**

d) **context-dependence** argument

-effects of genes depend on context

e) what exactly is a gene?

-'gene' has a fairly clear meaning in molecular biology

-**less clear** in evolutionary genetics

The Gene's Eye View of Evolution

- 'gene-centred' view of evolution
- > the gene as the true **unit of selection**
- > organisms are simply '**vehicles**' that genes have constructed to ensure their future propagation
- equivalent to orthodox organism-centred view or not?
- Dawkins is somewhat **unclear** on this point
- general philosophic issue of **realism vs conventionalism**

Dawkins and Hull on replicators and interactors/vehicles

-**two types of entities** involved in natural selection
-**replicators** and **interactors** (or vehicles)

-**replicators**: ‘entities which pass on their structure intact from one generation to another’

-**interactors**: ‘entities which interact as a cohesive whole with their environment’

-evolution as a sequence of **replication and environmental interaction**

-**natural selection** when differential replication is caused by interaction

-permits (supposed) **refinement** of units-of-selection issue:

-> what are the units which **replicate**?

-> what are the units whose **interaction** with environment causes differential replication?

answer to 1 is **genes**, to 2 is an **open empirical issue** -organisms, groups, etc.

Dawkins and Hull on replicators and interactors/vehicles

-consequences of this conceptualisation

a) no **empirical issue** at stake between genic and organismic selectionists

-> different ways of viewing the same set of facts

b) **wrong** to oppose genic selection to group selection (as Dawkins 1976 does)

-for genes are replicators, groups are interactors

c) the **empirical** issue becomes: what are the interactors?

The 'New' Group Selection

- Sober and Wilson (1998)
- 'groups' need not be multi-generational, **isolated** groups
- rather, they can be '**trait groups**', generated by **limited dispersal**
- new group selection avoids many of the pitfalls of the earlier group selection of 1960s
- but some say: it's ultimately **equivalent** to kin selection

- recall question: how can altruism evolve?
- general answer: needs a special **population structure**
- > requires a **statistical tendency** for altruists to find themselves in each other's company
- [Simpson's paradox]
- kin-selection simply a good way of getting this 'positive assortment'
- the only way?

Major Evolutionary Transitions

- multi-level selection theory/hierarchical selection theory

-> natural selection can operate **simultaneously** at **different levels** of the biological hierarchy

-a **generalisation** of the new group selection idea

-ultimately **compatible** with the gene's eye view

-applies in particular to '**major evolutionary transitions**'

-in such transitions, free-living individuals coalesce into groups, ultimately giving rise to new, higher-level individuals

e.g.

individual replicators -> networks of replicates

genes -> chromosomes

prokaryotic cell -> eukaryotic cell

single-celled organism -> multi-celled organism

solitary organism -> colony

human society??

Major Evolutionary Transitions

- each transition requires **higher level selection** to dominate
- individuals must **co-operate**, sacrifice their **individuality**, become part of a whole
- kin selection / Hamilton's rule highly relevant
- many transitions (though not all) involve **closely related** individuals
- within-group competition must be compressed
- individual self-interest** must be aligned with **group's interest**, for transition to happen

- what we call 'individuals' are in fact **groups of co-operating sub-units**

Species selection

- a **macroevolutionary** idea; cf. Eldredge and Gould (1977)
- not** analogous to group selection/ kin selection
- key idea: selection acts on whole species, over geological time
- fittest species: ones which **survive longest/speciate fastest**
- speciation** is the **analog** of organismic reproduction

crucial difference between group selection and species selection:

- > ‘group fitness’ in group selection theory means expected number of total ***individual offspring***
- > ‘species fitness’ in species selection theory means expected number of ***offspring species***

-> different senses of ‘fitness’

-> species selection theory is a genuine ‘hierarchical expansion’ of the basic Darwinian principle; in a sense, group selection theory is not

Reciprocal Altruism and Evolutionary Game Theory

- how to account for altruism towards **non con-specifics?**
- Trivers: **reciprocal altruism**
- it may pay to be altruistic, if there's the **expectation of return benefit in the future**

- also, Hamilton and Axelrod: '**tit-for-tat**' strategy in the **iterated Prisoner's Dilemma**
- more generally: use of **game-theoretic reasoning** in biology

- > lots of theory, but much less empirical support than kin selection

For further study:

-Godfrey-Smith, *Philosophy of biology*, ch. 3.3

-Sterelny, *Dawkins κατά Gould*, part 2

-Hull & Ruse, chapter 3

-Samir Okasha (2006) *Evolution and the Levels of Selection*, OUP.